

The “spectral” decomposition for one-dimensional maps

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Abstract. We construct the “spectral” decomposition of the sets $\overline{Per f}$, $\omega(f) = \bigcup \omega(x)$ and $\Omega(f)$ for a continuous map $f : [0, 1] \rightarrow [0, 1]$. Several corollaries are obtained; the main ones describe the generic properties of f -invariant measures, the structure of the set $\Omega(f) \setminus \overline{Per f}$ and the generic limit behavior of an orbit for maps without wandering intervals. The “spectral” decomposition for piecewise-monotone maps is deduced from the Decomposition Theorem. Finally we explain how to extend the results of the present paper for a continuous map of a one-dimensional branched manifold into itself.

1. Introduction and main results

1.0. Preliminaries

Let $T : X \rightarrow X$ be a continuous map of a compact space into itself (in what follows we consider continuous maps only). For $x \in X$ the set $orb x \equiv \{T^i x : i \geq 0\}$ is called *the orbit of x* or *the x -orbit*. The set $\omega(x)$ of all limit points of the x -orbit is called *the ω -limit set of x* or *the limit set of x* . Topological dynamics studies the properties of limit sets. Let us define some objects playing an important role here. A point $x \in X$ is called *non-wandering* if for any open $U \ni x$ there exists $n > 0$ such that $T^n U \cap U \neq \emptyset$. The set $\Omega(T)$ of all non-wandering points is called *the non-wandering set*; clearly, $\Omega(T)$ is closed.

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Let us give an important example. A point $p \in X$ is called *periodic* if $T^n p = p$ for some positive integer n . Such an n is called *a period of p* and the set $\text{orb } p = \bigcup_{i \geq 0} T^i p$ is called *a cycle*. The set of all periodic points of T is denoted by $\text{Per } T$. Clearly, *periodic points are non-wandering*.

We denote the set $\bigcup_{x \in X} \omega(x)$ by $\omega(T)$. The following assertion explains the role of the set $\Omega(T)$.

Assertion 1.1. *For any open set $U \supset \Omega(T)$ and a point $x \in X$ there exists N such that $T^n x \in U$ for all $n > N$, and so $\omega(T) \subset \Omega(T)$.*

Sometimes it is important to know where a point $x \in X$ spends not all the time but almost all the time. The following definition is useful for considering this problem: a point $x \in X$ is called *recurrent* if $x \in \omega(x)$. The set of all recurrent points is denoted by $R(T)$. The set $\overline{R(T)} \equiv C(T)$ is called *the center of T* (here \overline{Z} is the closure of the set Z).

Assertion 1.2 (see, e.g., [Ma]) *For any open $U \supset C(T)$ and $x \in X$ the following property holds: $\lim_{n \rightarrow \infty} \text{card}\{i \leq n : T^i x \in U\} \cdot n^{-1} = 1$.*

Let us summarize the connection between the sets $\text{Per } T, R(T), C(T), \omega(T)$ and $\Omega(T)$ as follows:

$$\text{Per } T \subset R(T) \subset \omega(T) \subset \Omega(T) \tag{1.1}$$

$$\overline{\text{Per } T} \subset \overline{R(T)} = C(T) \subset \omega(T) \subset \Omega(T) \tag{1.2}$$

It is useful to split the sets $\Omega(T)$ and $\omega(T)$ into components such that for any $x \in X$ the set $\omega(x)$ belongs to one of them. The remarkable example of such a splitting is the famous Smale spectral decomposition theorem [S] (see also [B4]). The aim of this paper is to show that *in the one-dimensional case for any continuous map there exists a decomposition which is in a sense analogous to that of Smale*.

1.1. Historical remarks

We start with the history of the subject. From now on fix an arbitrary continuous map $f : [0, 1] \rightarrow [0, 1]$. Speaking of maximality, minimality and ordering among sets we mean that sets are ordered by inclusion. The following definitions are due to A.N.Sharkovskii [Sh3-6]. Let $\omega(x)$ be an infinite limit set maximal among all limit sets. The set $\omega(x)$ is called *a set of genus 1* if it contains no cycles; otherwise it is called *a set of genus 2*. A cycle maximal among limit sets is called *a set of genus 0* (see [Bl4]; periodic attractors and isolated periodic repellers are the most important and well-known examples of sets of genus 0).

In [Sh3-6] A.N.Sharkovskii has in fact constructed the decomposition of the set $\omega(f)$ into sets of genus 0,1 and 2. He studied mostly properties of the partially ordered family of limit sets belonging to a maximal limit set. Furthermore, he obtained a number of fundamental results on properties of the sets $\Omega(f), \omega(f), C(f)$ and $\overline{Per f}$. Here we formulate some of Sharkovskii's results which we need.

Theorem Sh1 [Sh2]. $C(f) \equiv \overline{R(f)} = \overline{Per f} = \Omega(f|\Omega(f))$.

Theorem Sh2 [Sh5]. *A point x belongs to $\omega(f)$ if and only if at least one of the following properties holds:*

- 1) for any $\varepsilon > 0$ there exists $n > 0$ such that $(x - \varepsilon, x) \cap f^n(x - \varepsilon, x) \neq \emptyset$;
- 2) for any $\varepsilon > 0$ there exists $n > 0$ such that $(x + \varepsilon, x) \cap f^n(x + \varepsilon, x) \neq \emptyset$;
- 3) $x \in Per f$.

In particular, $\omega(f)$ is closed and so $\overline{Per f} \subset \omega(f)$.

The main idea of the proofs here is to consider a special kind of recurrence which may occur for maps of the interval and also to use the following

Property C. *If $I = [a, b]$ is an interval and either $fI \supset I$ or $fI \subset I$ or points a and b move under the first iteration of f in different directions then there is $y \in I$ such that $fy = y$.*

We illustrate this approach considering Theorem Sh1. Indeed, let U be an interval complementary to $\overline{Per f}$. Then by Property C for any n either $f^n x > x (\forall x \in U)$ or

$f^n x < x$ ($\forall x \in U$). Suppose that for some n and $x \in U$ we have $f^n x > x, f^n x \in U$. Then $f^n(f^n x) > f^n x > x$, i.e. $f^{2n} x > x$; moreover, if $f^{kn} x > x$ then $f^{(k+1)n} x = f^{kn}(f^n x) > f^n x > x$ which proves that $f^{in} x \geq f^n x > x$ for all i . Now suppose that there exists $y \in U$ and m such that $f^m y \in U$ and $f^m y < y$. Then by the same arguments $f^{jm} y < y$ for any j . This implies that $f^{mn} x > x$ and $f^{mn} y < y$; so by Property C there is a periodic point in the interval $(x, y) \subset U$, which is a contradiction.

Now the definition of a non-wandering point implies that if $z \in U$ is non-wandering then it never enters U again since otherwise it returns to U to the right of itself and at the same time by definition of a non-wandering point there exist points in U which are sufficiently close to the place of the first returning of z into U and are mapped into a small neighborhood of z by the corresponding iteration of f which is impossible by what we have just shown. Clearly this implies that there are no recurrent points of f inside U and, moreover, $\Omega(f|\Omega(f)) = \overline{Per f}$.

One of the most well-known and surprising results about one-dimensional dynamics is, perhaps, the famous Sharkovskii theorem. To state it let us consider the set of all positive integers with the following *Sharkovskii ordering*:

$$3 \prec 5 \prec 7 \prec \dots \prec 2 \cdot 3 \prec 2 \cdot 5 \prec 2 \cdot 7 \dots \prec 2^3 \prec 2^2 \prec 2 \prec 1 \quad (*)$$

Theorem Sh3 [Sh1]. *Let $m \prec n$ and f have a cycle of minimal period m . Then f has a cycle of minimal period n .*

We say that m is \prec -stronger than n if $m \prec n$ and $m \neq n$. We say that f is a map of type m [Bl7] if the \prec -strongest period of cycles of f is m ; in other words, m is the largest period which appears in terms of the Sharkovskii ordering. Such a period does not exist if the periods of cycles of f are exactly $1, 2, 2^2, 2^3, \dots$; then say that f is of type 2^∞ [Bl1].

For piecewise-monotone continuous maps the splittings of the sets $\Omega(f)$, $\omega(f)$ and $C(f)$ in fact analogous to that of Sharkovskii were constructed later by using the different techniques (see the articles of Jonker and Rand [JR1-JR2], Nitecki [N2] and the books of Preston [P1-P2]). For piecewise-monotone maps with a finite number of discontinuities the construction of the splitting is due to Hofbauer [H2-H3].

1.2. A short description of the approach presented

The approach in this paper is different from the one of Sharkovskii and the “piecewise-monotone” approach; it is based on the author’s articles [B11-B112]. First we need more definitions. Let $T : X \rightarrow X$ and $F : Y \rightarrow Y$ be maps of compact spaces. If there exists a *surjective* map $\phi : X \rightarrow Y$ such that $\phi \circ T = F \circ \phi$ then it is said that ϕ *semiconjugates* T to F and ϕ is called *a semiconjugation* between T and F ; if ϕ is a homeomorphism then it is said that ϕ *conjugates* T to F and ϕ is called *a conjugation* between T and F .

Roughly speaking, our approach to one-dimensional maps is the following: we propose models for different kinds of limit sets, study the properties of these models, extend the properties to the limit sets and the map itself, and also obtain some corollaries. In the rest of this Section we formulate the main results of the present paper. The proofs will be given in Sections 2-11. At the end of this Section we apply the results to piecewise-monotone maps and explain how to extend the decomposition to continuous maps of one-dimensional branched manifolds.

An interval I is called *periodic (of period k)* or *k -periodic* if $J, \dots, f^{k-1}J$ are pairwise disjoint and $f^k J = J$ (if it is known only that $f^k J \subset J$ then J is called *a weakly periodic interval*). The set $\bigcup_{i=0}^{k-1} f^i J \equiv \text{orb } J$ is called *a cycle of intervals* if J is periodic and *a weak cycle of intervals* if J is weakly periodic (here k is a period of J).

Let us explain briefly how we will classify limit sets. Fix an infinite set $\omega(x)$ and consider the family \mathcal{A} of all cycles of intervals $\text{orb } I$ such that $\omega(x) \subset \text{orb } I$. There

are two possibilities.

1) *Periods of sets $orb I \in \mathcal{A}$ are not bounded.* Then there exist ordered cycles of intervals containing $\omega(x)$ with periods tending to infinity. This allows us to semi-conjugate $f|_{\omega(x)}$ to a transitive translation in a compact group and implies many properties of $f|_{\omega(x)}$. The set $\omega(x)$ corresponds to a Sharkovskii's set of genus 1.

2) *Periods of sets $orb I \in \mathcal{A}$ are bounded.* Then there exists a minimal cycle of intervals $orb J \in \mathcal{A}$. It is easy to see that all points $y \in \omega(x)$ have the following property: if U is a neighborhood of y in $orb J$ then $\overline{orb U} = orb J$ (otherwise $\overline{orb U}$ generates a cycle of intervals $orb K$ such that $\omega(x) \subset orb K \subset orb J$, $orb K \neq orb J$ which is a contradiction). The idea is to consider all the points $z \in orb J$ with this property. They form a set B which is another example of a maximal limit set. The set B is a Sharkovskii's set of genus 2.

In the following Subsections 1.3 - 1.10 we are going to formulate and discuss the main results of Sections 3 - 10 correspondingly.

1.3. Solenoidal sets

Let us proceed more precisely. Let $T : X \rightarrow X$ be a map of a compact metric space (X, d) into itself. The map T is said to be *transitive* if there exists an x such that $\omega(x) = X$, to be *minimal* if for any $x \in X$ we have $\omega(x) = X$, to be *topologically mixing* (or simply *mixing*) if for any open U, V there exists an N such that $T^n U \cap V \neq \emptyset$ for any $n > N$.

We will also need the definition of the topological entropy; the notion was introduced in [AKMcA] but we give the definition following Bowen[B1]. A set $E \subset X$ is said to be (n, ε) -separated if for any two distinct points $x, y \in E$ there exists $k, 0 \leq k < n$ such that $d(T^k x, T^k y) > \varepsilon$. By $S_n(\varepsilon)$ we denote the largest cardinality of an (n, ε) -separated subset of X . Let $S(\varepsilon) \equiv \limsup n^{-1} \cdot \ln S_n(\varepsilon)$. Then the limit $h(T) = \lim_{\varepsilon \rightarrow 0} S(\varepsilon)$ exists and is called *the topological entropy* of T (see [B1]). Now let us turn back to interval maps.

Let $I_0 \supset I_1 \supset \dots$ be periodic intervals with periods m_0, m_1, \dots . Obviously m_{i+1} is a multiple of m_i for all i . If $m_i \rightarrow \infty$ then the intervals $\{I_j\}_{j=0}^\infty$ are said to be *generating* and any invariant closed set $S \subset Q = \bigcap_{j \geq 0} \text{orb } I_j$ is called a *solenoidal set*; if Q is nowhere dense then we call Q a *solenoid*. In the sequel we use the following notation:

$$\bigcap_{j \geq 0} \text{orb } I_j \equiv Q(\{I_j\}_{j=0}^\infty) \equiv Q;$$

$$Q \cap \overline{\text{Per } f} \equiv S_p(Q) \equiv S_p;$$

$$Q \cap \omega(f) \equiv S_\omega(Q) \equiv S_\omega;$$

$$Q \cap \Omega(f) \equiv S_\Omega(Q) \equiv S_\Omega.$$

Observe that $S_p \subset S_\omega \subset S_\Omega$ and all these sets are invariant and closed (for S_ω it follows from Theorem Sh2).

One can use a transitive translation in an Abelian zero-dimensional infinite group as a model for the map on a solenoidal set. Namely, let $D = \{n_i\}_{i=0}^\infty$ be a sequence of integers, with n_{i+1} a multiple of n_i for all i , and $n_i \rightarrow \infty$. Let us consider a group $H(D)$, defined by $H(D) \equiv \{(r_0, r_1, \dots) : r_{i+1} \equiv r_i \pmod{m_i} (\forall i)\}$ where r_i is an element of a group of residues modulo m_i for any i . The group operation is defined in a trivial way; now denote by τ the (minimal) translation in $H(D)$ by the element $(1, 1, \dots)$.

Theorem 3.1[B14,B17]. *Let $\{I_j\}_{j=0}^\infty$ be generating intervals with periods $\{m_i\}_{i=0}^\infty = D$, $Q = \bigcap_{j \geq 0} \text{orb } I_j$. Then there exists a continuous map $\phi : Q \rightarrow H(D)$ with the following properties:*

- 1) ϕ semiconjugates $f|_Q$ to τ (i.e. $\tau \circ \phi = \phi \circ f$ and ϕ is surjective);
- 2) there exists a unique set $S \subset S_p$ such that $\omega(x) = S$ for any $x \in Q$ and, moreover, S is the set of all limit points of S_Ω and $f|_S$ is minimal;
- 3) if $\omega(z) \cap Q \neq \emptyset$ then $S \subset \omega(z) \subset S_\omega$;
- 4) for any $\mathbf{r} \in H(D)$ the set $J = \phi^{-1}(\mathbf{r})$ is a connected component of Q and:
 - a) if $J = \{a\}$ then $a \in S$;

- b) if $J = [a, b]$, $a \neq b$ then $\emptyset \neq S \cap J \subset S_\Omega \cap J \subset \{a, b\}$;
- 5) $S_\Omega \setminus S$ is at most countable and consists of isolated points;
- 6) $h(f|_Q) = 0$.

It should be noted that the best known example of a solenoid is the Feigenbaum attractor ([CE],[F]) for which generating intervals have periods $\{2^i\}_{i=0}^\infty$. If for a solenoid or a solenoidal set generating intervals have periods $\{2^i\}_{i=0}^\infty$ then we call it *2-adic*.

1.4. Basic sets

Let us turn to another type of maximal infinite limit set. Let $\{J_i\}_{i=1}^l$ be an ordered collection of disjoint intervals (one can imagine these intervals lying on the real line in such a way that $J_1 < J_2 < \dots < J_l$, $J_i \cap J_r = \emptyset$ for $i \neq r$); set $K = \bigcup_{i=1}^l J_i$. A continuous map $\psi : K \rightarrow K$ which permutes the intervals $\{J_i\}_{i=1}^l$ cyclically is called *non-strictly periodic* (or *l-periodic*). Note that this term concerns a map, not an interval; we speak of non-strictly periodic maps to distinguish them from *periodic maps* which are traditionally those with all points periodic. An example of a non-strictly periodic map is a map of the interval restricted to a weak cycle of intervals.

Now let $\psi : K \rightarrow K$ and $\psi' : K' \rightarrow K'$ be non-strictly l -periodic maps (so that K and K' are unions of l intervals). Let $\phi : K \rightarrow K'$ be a monotone semiconjugation between ψ and ψ' and $F \subset K$ be a ψ -invariant closed set such that $\phi(F) = K'$, for any $x \in K'$ we have $\text{int } \phi^{-1}(x) \cap F = \emptyset$ and so $\phi^{-1}(x) \cap F \subset \partial \phi^{-1}(x)$, $1 \leq \text{card}\{\phi^{-1}(x) \cap F\} \leq 2$. Then we say that ϕ *almost conjugates* $\psi|_F$ to ψ' or ϕ is an *almost conjugation* between $\psi|_F$ and ψ' . Remark that here $\text{int } Z$ is an *interior* of a set Z and ∂Z is a *boundary* of a set Z .

Finally let I be an n -periodic interval, $\text{orb } I = M$. Consider a set $\{x \in M : \text{for any relative neighborhood } U \text{ of } x \text{ in } M \text{ we have } \overline{\text{orb } U} = M\}$; it is easy to see that this is a closed invariant set. It is called a *basic set* and denoted by $B(M, f)$ provided it is infinite. Now we can formulate

Theorem 4.1[B14,B17]. *Let I be an n -periodic interval, $M = \text{orb } I$ and $B =$*

$B(M, f)$ be a basic set. Then there exist a transitive non-strictly n -periodic map $g : M' \rightarrow M'$ and a monotone map $\phi : M \rightarrow M'$ such that ϕ almost conjugates $f|_B$ to g . Furthermore, B has the following properties:

- a) B is a perfect set;
- b) $f|_B$ is transitive;
- c) if $\omega(z) \supset B$ then $\omega(z) = B$ (i.e. B is a maximal limit set);
- d) $h(f|_B) \geq \ln 2 \cdot (2n)^{-1}$;
- e) $B \subset \overline{Per f}$;

f) there exist an interval $J \subset I$, an integer $k = n$ or $k = 2n$ and a set $\tilde{B} = \overline{int J \cap B}$ such that $f^k J = J$, $f^k \tilde{B} = \tilde{B}$, $f^i \tilde{B} \cap f^j \tilde{B}$ contains no more than 1 point ($0 \leq i < j < k$), $\bigcup_{i=0}^{k-1} f^i \tilde{B} = B$ and $f^k|_{\tilde{B}}$ is almost conjugate to a mixing interval map (one can assume that if $k = n$ then $I = J$).

So we use transitive non-strictly periodic maps as models for the map f on basic sets. Note that Theorems 3.1 and 4.1 allow us to establish the connection between sets of genus 1 and solenoidal sets, and between sets of genus 2 and basic sets (see Assertion 4.2 in Section 4). Moreover, Theorems 3.1 and 4.1 easily imply that sets of genus 0 and limit solenoidal sets may be characterized as those $\omega(x)$ for which the inclusion $\omega(y) \supset \omega(x)$ implies that $h(f|_{\omega(y)}) = 0$ for any y (see Assertion 4.3 in Section 4).

Now we can construct the “spectral” decomposition for the sets $\overline{Per f}$ and $\omega(f)$. However, to extend the decomposition to the set $\Omega(f)$ we need the following definition. Let $B = B(orb I, f)$ be a basic set and A be the set of all endpoints x of the intervals of $orb I$ with the following properties:

- 1) $x \in \Omega(f)$;
- 2) there exists an integer n such that $f^n x \in B$ and if m is the least such integer then $x, fx, \dots, f^{m-1}x \notin int(orb I)$.

We denote the set $B \cup A$ by $B'(orb I, f)$ and call it an Ω -basic set.

Let us consider an example of a nontrivial Ω -basic set (cf. [Sh2], [Y]). Construct a map $f : [0, 1] \rightarrow [0, 1]$ in the following way: fix 6 points $c_0 = 0 < c_1 < \dots < c_5 = 1$, define $f|_{\bigcup_{i=0}^5 c_i}$ and then extend f on each interval $[c_i, c_{i+1}]$ as a linear function. Namely:

- 1) $c_0 = 0, fc_0 = 2/3$;
- 2) $c_1 = 1/3, fc_1 = 1$;
- 3) $c_2 = 1/2, fc_2 = 5/6$;
- 4) $c_3 = 2/3, fc_3 = 1/6$;
- 5) $c_4 = 5/6, fc_4 = 5/6$;
- 6) $c_5 = 1, fc_5 = 1$.

It is easy to see that the interval $I = [1/6, 1]$ is f -invariant and the point $5/6$ is fixed. Let us show that there exists a basic set $B = B(\text{orb } I, f)$ and $1/2, 5/6 \in B$. For the moment we know nothing about the cardinality of the set $\{x \in \text{orb } I : \text{for any relative neighborhood } U \text{ of } x \text{ in } \text{orb } I \text{ we have } \overline{\text{orb } U} = \text{orb } I\}$, so let us denote this set by L ; by the definition of a basic set we need to prove that L is infinite. Indeed, any left semi-neighborhood of $5/6$ covers the whole interval I after some iterations of f , so $5/6 \in L$. On the other hand $f(1/2) = 5/6$ and the f -image of any right semi-neighborhood of $1/2$ covers some left semi-neighborhood of $5/6$. So $1/2 \in L$ as well. But it is easy to see that there are infinitely many points $z \in (1/2, 5/6)$ such that $f^n z = 1/2$ for some n and the f^n -image of any neighborhood of z covers some right semi-neighborhood of $1/2$ which implies that $z \in L$; so L is infinite and by the definition $L = B(\text{orb } I, f) = B$ is a basic set.

Furthermore, the map f coincides with the identity on $[5/6, 1]$, at the same time $f[1/6, 1/2] = [5/6, 1] = f[5/6, 1]$ and f -image of any right semi-neighborhood of $1/6$ is some right semi-neighborhood of $5/6$. So by the definition we see that there are no points of B in $[1/6, 1/2)$; in particular, $1/6 \notin B$. Moreover, it is easy to see that there are no periodic points of f in $[0, 1/2)$. Indeed, there are no periodic points in $[1/6, 1/2]$

because $f[1/6, 1/2] = [5/6, 1] = f[5/6, 1]$. On the other hand there are no periodic points in $[0, 1/6)$ because $f[0, 1/6) \subset [1/6, 1] = f[1/6, 1]$. So $Per f \cap [0, 1/2] = \emptyset$.

Now let us show that $1/6 \in B' \setminus B$ where $B' = B'(orb I, f)$. Indeed, we have already seen that $f(1/6) = 5/6 \in B$. So by the properties of the point $5/6$ established above we see that for any open $U \ni 1/6$ there exists m such that $f^m U \supset I = [1/6, 1]$. It proves that $1/6 \in \Omega(f)$. Now the definition implies that $1/6 \in B' \setminus B$. It remains to note that by the definition $B' \setminus B$ consists only of some endpoints of intervals from $orb I$, i.e. in our case of some of the points $1/6, 1$. Clearly, $1 \notin B'$ and so $\{1/6\} = B' \setminus B$.

1.5. The decomposition and main corollaries

Now we can formulate the Decomposition Theorem. Let us denote by X_f the union of all limit sets of genus 0.

Theorem 5.4 (Decomposition Theorem)[B14,B17]. *Let $f : [0, 1] \rightarrow [0, 1]$ be a continuous map. Then there exist an at most countable family of pairs of basic and Ω -basic sets $\{B_i \subset B'_i\}$ and a family of collections of solenoidal sets $\{S^{(\alpha)} \subset S_p^{(\alpha)} \subset S_\omega^{(\alpha)} \subset S_\Omega^{(\alpha)} \subset Q^{(\alpha)}\}_{\alpha \in A}$ with the following properties:*

- 1) $\Omega(f) = X_f \cup (\bigcup_\alpha S_\Omega^{(\alpha)}) \cup (\bigcup_i B'_i)$;
- 2) $\omega(f) = X_f \cup (\bigcup_\alpha S_\omega^{(\alpha)}) \cup (\bigcup_i B_i)$;
- 3) $\overline{Per f} = X_f \cup (\bigcup_\alpha S_p^{(\alpha)}) \cup (\bigcup_i B_i)$;
- 4) the set $S_\Omega^{(\alpha)} \setminus S^{(\alpha)}$ is at most countable set of isolated points, the set $\{\alpha : int Q^{(\alpha)} \neq \emptyset\}$ is at most countable and $S^{(\alpha)} = Q^{(\alpha)}$ for all other $\alpha \in A$;
- 5) intersections in this decomposition are possible only between different basic or Ω -basic sets, each three of them have an empty intersection and the intersection of two basic or two Ω -basic sets is finite.

Note that in statement 5) of Decomposition Theorem we do not take into account intersections between a basic set and an Ω -basic set with the same subscript and also between different solenoidal sets with the same superscript.

The Decomposition Theorem in the full formulation is somewhat cumbersome but the idea is fairly clear and may be expressed in the following rather naive version of the Decomposition Theorem:

for any continuous map $f : [0, 1] \rightarrow [0, 1]$ the non-wandering set $\Omega(f)$ and related sets (like $\omega(f)$ and $\overline{Per f}$) are unions of the set X_f , solenoidal sets and basic sets.

The main corollaries of this picture of dynamics are connected with the following problems.

- 1) What is the generic limit behavior of orbits for maps without wandering intervals (we call an interval I *wandering* if $f^n \cap f^m I = \emptyset$ for $n > m \geq 0$ and I does not tend to a cycle)(Section 6)?
- 2) What is the related structure of the sets $\Omega(f)$, $\omega(f)$ and $\overline{Per f}$ (Section 7)?
- 3) How does the dynamics of a map depend on its set of periods of cycles (Section 9)?
- 4) What are the generic properties of invariant measures (Section 10)?

Note that in order to study the generic properties of invariant measures we establish in Section 8 some important properties of transitive and mixing interval maps. In Section 11 we also investigate the connection between the results of the present paper and some recent results of Block and Coven [BC] and Xiong Jincheng [X].

In the following Subsections 1.6-1.10 we outline the way we are going to obtain the aforementioned corollaries of the Decomposition Theorem in Sections 6 - 10 of the paper correspondingly. In Subsections 1.11-1.12 we describe the decomposition for piecewise-monotone interval maps and in Subsection 1.13 we discuss further generalizations.

1.6. The limit behavior and generic limit sets for maps without wandering intervals

In this Subsection we describe the results of Section 6. We start with the reformulation of the Decomposition Theorem for maps without wandering intervals.

Namely, Theorem 3.1 implies that if a map f does not have wandering intervals then in the notation from the Decomposition Theorem for any $\alpha \in A$ we have $\{S^{(\alpha)} = S_p^{(\alpha)} = S_\omega^{(\alpha)} = S_\Omega^{(\alpha)} = Q^{(\alpha)}\}_{\alpha \in A}$; in other words all solenoidal sets are in fact solenoids (recall that solenoids are nowhere dense intersections of cycles of generating intervals which in particular implies that the map on a solenoid is topologically conjugate with the translation in the corresponding group). This makes the formulation of the Decomposition Theorem easier, so let us restate it in this case.

Decomposition Theorem for interval maps without wandering intervals.

Let $f : [0, 1] \rightarrow [0, 1]$ be a continuous map without wandering intervals. Then there exist an at most countable family of pairs of basic and Ω -basic sets $\{B_i \subset B'_i\}$ and a family of solenoids $\{Q^{(\alpha)}\}_{\alpha \in A}$ with the following properties:

- 1) $\Omega(f) = X_f \cup (\bigcup_\alpha Q^{(\alpha)}) \cup (\bigcup_i B'_i)$;
- 2) $\omega(f) = X_f \cup (\bigcup_\alpha Q^{(\alpha)}) \cup (\bigcup_i B_i)$;
- 3) $\overline{Per f} = X_f \cup (\bigcup_\alpha Q^{(\alpha)}) \cup (\bigcup_i B_i)$;

4) *intersections in this decomposition are possible only between different basic or Ω -basic sets, each three of them have an empty intersection and the intersection of two basic or two Ω -basic sets is finite.*

A set A which is a countable intersection of open subsets of a compact metric space X is said to be a G_δ -set. A set G containing a dense G_δ -set is said to be *residual*. A property which holds for a residual subset of a compact metric space is said to be *topologically generic*. One of the corollaries of the aforementioned version of the Decomposition Theorem is the description of generic limit sets for maps without wandering intervals.

First let us explain why the concept of wandering interval appears naturally while studying the problem in question. Indeed, consider a pm-map g without *flat spots* (i.e. intervals I such that fI is a point). Take any point $x \in [0, 1]$ with an infinite orbit not tending to a cycle. Then instead of points z from the set $\bigcup_{i,j}^\infty g^{-i}(g^j x)$ we can

“paste in” intervals $I(z)$ in such a way that a new map will have a wandering interval $I(x)$ and that $orb_f I(x)$ will have essentially the same structure as $orb_g x$. Therefore to consider the problem in question one should forbid the existence of wandering intervals. This remark makes the following Theorem 6.2 quite natural.

Theorem 6.2(cf.[Bl1],[Bl8]). *Let $f : [0, 1] \rightarrow [0, 1]$ be a continuous map without wandering intervals. Then there exists a residual subset $G \subset [0, 1]$ such that for any $x \in G$ one of the following possibilities holds:*

- 1) $\omega(x)$ is a cycle;
- 2) $\omega(x)$ is a solenoid;
- 3) $\omega(x) = orb I$ is a cycle of intervals.

Remark. Note, that possibility 3) of Theorem 6.2 will be essentially specified in Section 10 where we show that in fact generic points x for which $\omega(x) = orb I$ is a cycle of intervals may be chosen in such a way that the set of all limit measures of time averages of iterates of δ -measure δ_x coincides with the set of all invariant measures of $f|orb I$ (precise definitions will be given in Subsection 1.10).

1.7. Topological properties of sets $\overline{Per f}$, $\omega(f)$ and $\Omega(f)$

In this Subsection we summarize the results of Section 7. The following Theorem 7.6 which is the main theorem of Section 7 describes the structure of the set $\Omega(f) \setminus \overline{Per f}$.

Theorem 7.6. *Let $U = (a, b)$ be an interval complementary to $\overline{Per f}$. Then up to the orientation one of the following four possibilities holds.*

- 1) $\Omega(f) \cap U = \emptyset$.
- 2) $\Omega(f) \cap U = \{x_1 < x_2 < \dots < x_n\}$ is a finite set, $card(orb x_1) < \infty, \dots, card(orb x_{n-1}) < \infty$, $(\bigcup_{i=1}^{n-1} x_i) \cap \omega(f) = \emptyset$ and there exist periodic intervals $J_i = [x_i, y_i]$ such that $x_i \in B'(orb J_i, f)$ for $1 \leq i \leq n-1$ and $J_i \supset J_{i+1}$ for $1 \leq i \leq n-2$. Moreover, for x_n there exist two possibilities: a) x_n belongs to a solenoidal set; b) x_n belongs to an Ω -basic set $B'(orb J_n, f)$ where $J_n = [x_n, y_n] \subset J_{n-1}$.

3) $\Omega(f) \cap U = (\bigcup_{i=1}^{\infty} x_i) \cap x$, $x_1 < x_2 < \dots$, $x = \lim x_i$, and there exist generating intervals $J_i = [x_i, y_i]$ such that:

a) $x_i \in B'(\text{orb } J_i, f)$, $\text{card}(\text{orb } x_i) < \infty$ ($\forall i$) and $(\bigcup_{i=1}^{\infty} x_i) \cap \omega(f) = \emptyset$;

b) $x \in S_{\omega}(\{\text{orb } J_i\}_{i=1}^{\infty}) = \omega(f) \cap (\bigcap_{i=1}^{\infty} \text{orb } J_i)$.

4) $\Omega(f) \cap U = \bigcup_{i=1}^{\infty} x_i$, $x_1 < x_2 < \dots$, $\lim x_i = b$, $\text{card}(\text{orb } x_i) < \infty$ ($\forall i$), $(\bigcup_{i=1}^{\infty} x_i) \cap \omega(f) = \emptyset$ and there exist periodic intervals $J_i = [x_i, y_i]$ such that $x_i \in B'(\text{orb } J_i, f)$, $J_i \supset J_{i+1}$ ($\forall i$) and $\bigcap_{i=1}^{\infty} J_i = b$. Moreover, either periods of J_i tend to infinity, $\{J_i\}$ are generating intervals and b belongs to the corresponding solenoidal set, or periods of J_i do not tend to infinity and b is a periodic point.

In any case $\text{card}\{\omega(f) \cap U\} \leq 1$.

Remark. Several results close to Theorem 7.6 are obtained also in Chapters 4 and 5 of [BCo]. Our approach is different from that of [BCo] since we apply the Decomposition Theorem and related technique. It allows to get some additional facts (in particular, it allows to describe the cases when limit sets of points from $\Omega(f) \cap U$ are solenoidal and to investigate the case when the set $\Omega(f) \cap U$ is infinite).

We also prove in Section 7 that $\omega(f) = \bigcap_{n \geq 0} f^n \Omega(f)$ ([B11],[B17]; see [BCo] for an alternative proof). Finally, we extend for any continuous interval map a result from [Yo] where it is proved that if f is a pm-map and $x \in \Omega(f) \setminus \overline{\text{Per } f}$ then there exists $n > 0$ and a turning point c such that $f^n c = x$ (the similar result was obtained in the recent paper [Li], see Theorem 2 there).

1.8. Properties of transitive and mixing maps

Theorem 4.1 implies that properties of a map on basic sets are closely related to properties of transitive and mixing interval maps. We investigate these properties in Section 8 and give here a summary of the corresponding results.

The following lemma shows the connection between transitive and mixing maps of the interval.

Lemma 8.3[B17]. *Let $f : [0, 1] \rightarrow [0, 1]$ be a transitive map. Then one of the following possibilities holds:*

1) *the map f is mixing and, moreover, for any $\varepsilon > 0$ and any non-degenerate interval U there exists m such that $f^n U \supset [\varepsilon, 1 - \varepsilon]$ for any $n > m$;*

2) *the map f is not mixing and there exists a fixed point $a \in (0, 1)$ such that $f[0, a] = [a, 1]$, $f[a, 1] = [0, a]$, $f^2|_{[0, a]}$ and $f^2|_{[a, 1]}$ are mixing.*

In any case $\overline{\text{Per } f} = [0, 1]$.

It turns out that mixing interval maps have quite strong expanding properties: any open interval under iterations of a mixing map $f : [0, 1] \rightarrow [0, 1]$ eventually covers any compact subset of $(0, 1)$. More precisely, let $A(f) \equiv A$ be the set of those endpoints of $[0, 1]$ with no preimages interior to $[0, 1]$.

Lemma 8.5[B17]. *If $f : [0, 1] \rightarrow [0, 1]$ is mixing then there are the following possibilities for A :*

1) $A = \emptyset$;

2) $A = \{0\}$, $f(0) = 0$;

3) $A = \{1\}$, $f(1) = 1$;

4) $A = \{0, 1\}$, $f(0) = 0$, $f(1) = 1$;

5) $A = \{0, 1\}$, $f(0) = 1$, $f(1) = 0$.

Moreover, if I is a closed interval, $I \cap A = \emptyset$, then for any open U there exists n such that $f^n U \supset I$ for $m > n$ (in particular, if $A = \emptyset$ then for any open U there exists n such that $f^n U = [0, 1]$).

Remark. Results closely related to those of Lemmas 8.3 and 8.5 were also obtained in [BM1, BM2].

In fact Lemma 8.5 is one of the tools in the proof of Theorem 8.7 where we show that mixing interval maps have the specification property. It is well-known ([Si1-Si2], [DGS]) that this implies a lot of generic properties of invariant measures of a map, and we will rely on them in the further study of interval dynamics.

Let us give the exact definition. Let $T : X \rightarrow X$ be a map of a compact infinite metric space (X, d) into itself. A dynamical system (X, T) is said to have *the specification property* or simply *specification* [B2] if for any $\varepsilon > 0$ there exists an integer $M = M(\varepsilon)$ such that for any $k > 1$, for any k points $x_1, x_2, \dots, x_k \in X$, for any integers $a_1 \leq b_1 < a_2 \leq b_2 < \dots < a_k \leq b_k$ with $a_i - b_{i-1} \geq M$, $2 \leq i \leq k$ and for any integer p with $p \geq M + b_k - a_1$ there exists a point $x \in X$ with $T^p x = x$ such that $d(T^n x, T^n x_i) \leq \varepsilon$ for $a_i \leq n \leq b_i$, $1 \leq i \leq k$.

Theorem 8.7[B14,B17]. *If $f : [0, 1] \rightarrow [0, 1]$ is mixing then f has the specification property.*

Remark. In Section 8 we in fact introduce a slightly stronger version of the specification property (i-specification property) related to the properties of interval maps and prove that mixing maps of the interval have i-specification.

1.9. Corollaries concerning periods of cycles for interval maps

Here we formulate two results concerning periods of cycles for interval maps which are proved in Section 9. We explain also how the famous Misiurewicz theorem on maps with zero entropy is connected with our results.

Well-known properties of the topological entropy and Theorem Sh1 imply that $h(f) = h(f|\overline{Per f})$. However, it is possible to get a set D such that $h(f) = h(f|D)$ using essentially fewer periodic points of f . Indeed, let A be some set of positive integers, $K_f(A) \equiv \{y \in Per f : \text{minimal period of } y \text{ belongs to } A\}$.

Theorem 9.1[B14,B17]. *The following two properties of A are equivalent:*

- 1) $h(f) = h(f|\overline{K_f(A)})$ for any f ;
- 2) for any k there exists $n \in A$ which is a multiple of k .

In Theorem 9.4 we study how the sets $\Omega(f), \Omega(f^2), \dots$ vary for maps with a fixed set of periods of cycles. In [CN] this problem was investigated for an arbitrary continuous map of the interval and it was proved that $\Omega(f) = \Omega(f^n)$ for any odd n and any continuous interval map. The following theorem is related to the results of [CN].

Theorem 9.4[B14,B18]. *Let $n \geq 0, k \geq 1$ be fixed and f have no cycles of minimal period $2^n(2k + 1)$. Then the following statements hold:*

1) *if $B = B(\text{orb } I, f)$ is a basic set and I has a period m then $2^n(2k + 1) \prec m \prec 2^{n-1}$;*

2) $\Omega(f) = \Omega(f^{2^n})$;

3) *if f is of type $2^m, 0 \leq m \leq \infty$, then $\Omega(f) = \Omega(f^l) (\forall l)$.*

Remark. Another proof of statements 2) and 3) of Theorem 9.4 is given in Chapter 4 of [BCo]. The statement 3) was also proved in [N3] and [Zh].

Note that we use here the Sharkovskii ordering “ \prec ” (see (*) in the beginning of this Section) in the strict sense (i.e. $m \prec n$ implies $m \neq n$). The assertion close to statement 1) of Theorem 9.4 was proved in [N2], Theorem 1.10.

Now we explain the connection between the famous Misiurewicz theorem on maps with zero entropy and our results. Bowen and Franks [BF] have proved that if f is a map of type $m, m \neq 2^n (0 \leq n \leq \infty)$ then $h(f) > 0$. The converse was proved first for pm-maps [MiS] and then for arbitrary continuous maps of the interval into itself [Mi2]. Let us show how to deduce the converse assertion from our results.

If $T : X \rightarrow X$ is a map of a compact metric space X with specification then there exists N such that for any $n > N$ there exists a periodic point $y \in X$ of a minimal period n . Let f be of type $2^n, n \leq \infty$. Suppose that f has a basic set $B = B(\text{orb } I, f)$. By Theorem 4.1 properties of the map restricted on B are close to properties of the corresponding mixing interval map. Furthermore, by Theorem 8.7 this mixing interval map has specification, and so by the property of maps with specification mentioned above one can find integers l, k such that for any $m > l$ there exists an f -cycle of minimal period km , which contradicts the fact that f is of type 2^n . Thus f has no basic sets and $h(f) = 0$ by well-known properties of the topological entropy and the fact that the entropy of f on a solenoidal set is 0 (see Theorem 3.1). Note that now the Decomposition Theorem implies that infinite limit sets of a map

with zero entropy are 2-adic solenoidal sets (another proof of this assertion follows from Misiurewicz's papers [Mi2 - Mi3]).

1.10. Invariant measures for interval maps

We describe here the results from Section 10. To investigate the properties of invariant measures it is natural to consider the restriction of f to a component of the decomposition. We start by study $f|_B$ for a basic set B . By Theorems 4.1 and 8.7 we may apply the results of [Si1-Si2],[DGS] where a lot of generic properties of maps with specification are established. To formulate the theorem which summarizes the results from [Si1-Si2], [DGS] we need some definitions.

Let $T : X \rightarrow X$ be a map of a compact metric space (X, d) into itself. By $M(X)$ we denote the set of all Borel normalized measures on X (i.e. $\mu(X) = 1$ for any $\mu \in M(X)$). The weak topology on the set $M(X)$ is defined by taking the sets

$$V_\mu(f_1, \dots, f_k; \varepsilon_1, \dots, \varepsilon_k) = \{\nu \in M(X) : |\int f_j d\mu - \int f_j d\nu| < \varepsilon_j, j = 1, \dots, k\} \quad (**)$$

as a basis of open neighborhood for $\mu \in M(X)$ with $\varepsilon_j > 0$ and $f_j \in C(X)$ where $C(X)$ is a space of all continuous functions defined on X . The map T transports every measure $\mu \in M(X)$ into another measure $T_*\mu \in M(X)$.

If $\mu = T_*\mu$ then μ is said to be *invariant*. The set of all T -invariant measures $\mu \in M(X)$ with the weak topology is denoted by $M_T(X) \equiv M_T$. A measure $\mu \in M(X)$ is said to be *non-atomic* if $\mu(x) = 0$ for any point $x \in X$. The *support* of μ is the minimal closed set $S \equiv \text{supp } \mu$ such that $\mu(S) = 1$. A measure $\mu \in M_T$ whose *supp* μ coincides with one closed periodic orbit is said to be a *CO-measure* ([DGS], Section 21); if $a \in \text{Per } T$ then the corresponding CO-measure is denoted by $\nu(a)$. The set of all CO-measures which are concentrated on cycles with minimal period p is denoted by $P_T(p)$.

For any $x \in X$ let $\delta_x \in M(X)$ be the corresponding δ -measure (i.e. $\delta_x(x) = 1$). Let $V_T(x)$ be the set of limit measures of time averages $N^{-1} \cdot \sum_{j=0}^{N-1} T_*^j \delta_x$; it is well-

known ([DGS], Section 3) that $V_T(x)$ is a non-empty closed and connected subset of M_T . A point $x \in X$ is said to have *maximal oscillation* if $V_T(x) = M_T$. If $V_T(x) = \{\mu\}$ then a point x is said to be *generic* for μ .

A measure $\mu \in M_T$ is said to be *strongly mixing* if $\lim_{n \rightarrow \infty} \mu(A \cap T^{-n}B) = \mu(A) \cdot \mu(B)$ for all measurable sets A, B . A measure μ is said to be *ergodic* if there is no set B such that $TB = B = T^{-1}B, 0 < \mu(B) < 1$.

We summarize some of the results from [Si1-Si2] and [DGS] in the following

Theorem DGS[Si1-Si2],[DGS]. *Let $T : X \rightarrow X$ be a continuous map of a compact metric space X into itself with the specification property. Then the following statements are true.*

- 1) *For any positive integer l the set $\bigcup_{p \geq l} P_T(p)$ is dense in M_T .*
- 2) *The set of ergodic non-atomic invariant measures μ with $\text{supp } \mu = X$ is residual in M_T .*
- 3) *The set of all invariant measures which are not strongly mixing is a residual subset of M_T .*
- 4) *Let $V \subset M_T$ be a non-empty closed connected set. Then the set of all points x such that $V_T(x) = V$ is dense in X (in particular, every measure $\mu \in M_T$ has generic points).*
- 5) *The set of points with maximal oscillation is residual in X .*

Let us return to interval maps. In fact an interval map on one of its basic set does not necessarily have the specification property. However, applying Theorem DGS and some of the preceding results (Theorem 4.1, Lemma 8.3 and Theorem 8.7) we prove in Theorem 10.3 that the restriction of an interval map to its basic set has all the properties 1)-5) stated in Theorem DGS. The fact that statement 5) of Theorem DGS holds for mixing maps (since mixing maps have specification by Theorem 8.7) allows us to specify the third possible type of generic behavior of an orbit for maps without wandering intervals (as was explained after the formulation of Theorem 6.2).

Namely, in fact the following theorem holds.

Theorem 6.2'(cf.[B11],[B18]). *Let $f : [0, 1] \rightarrow [0, 1]$ be a continuous map without wandering intervals. Then there exists a residual subset $G \subset [0, 1]$ such that for any $x \in G$ one of the following possibilities holds:*

- 1) $\omega(x)$ is a cycle;
- 2) $\omega(x)$ is a solenoid;
- 3) $\omega(x) = \text{orb } I$ is a cycle of intervals and $V_f(x) = M_{f|_{\text{orb } I}}$.

Furthermore, the statement 5) of Theorem 10.3 leads to the consideration of typical behavior of orbits showing the difference between topological and metric typical behavior even for the “simplest” (and still very rich in the dynamical sense) one-dimensional dynamical smooth systems - namely for unimodal maps with so-called *negative Schwarzian* (see the definition later in Subsection 1.12). Indeed, for a unimodal map f with negative Schwarzian it follows from [BL2] (see also [Ke] and [GJ]) that there is a unique set A such that $\omega(x) = A$ for a.e. x .

Moreover, the similarity in typical (in metric sense) behavior of orbits may be also confirmed by the fact that interval maps in question are ergodic with respect to Lebesgue measure (see [BL2]). Let us show that this implies the following conclusion: there is a closed set $V \subset M_f$ such that for a.e. x we have $V_f(x) = V$ where f is a unimodal negative Schwarzian map.

Indeed, let us choose a countable basis of neighborhoods in M_f and denote it by $\{W_i\}$; denote also $M_f \setminus W_i$ by F_i for any i . Then by the ergodicity of f we see that for any i the measure $m_i \equiv \lambda\{x : V_f(x) \subset F_i\}$ is either 0 or 1. Let $\mathcal{M} = \{i : m_i = 1\}$, $V = \bigcap_{i \in \mathcal{M}} F_i$. Then by the definition for a.e. x we have $V_f(x) \subset V$.

At the same time it is easy to see by the construction that the set $\mathcal{L} = \{i : i \notin \mathcal{M}\}$ coincides with the set of all i such that $W_i \cap V \neq \emptyset$. Moreover, for any $l \in \mathcal{L}$ we have by the construction that $\lambda\{x : V_f(x) \subset F_l\} = 0$ and thus $\lambda\{x : V_f(x) \cap W_l \neq \emptyset\} = 1$. So for a.e. x and for any $l \in \mathcal{L}$ we have $V_f(x) \cap W_l \neq \emptyset$ which easily implies $V_f(x) \supset V$

for a.e. x . Together with the conclusion from the preceding paragraph it implies that in fact $V_f(x) = V$ for a.e. x . This fact in turn easily implies that for a map in question there is a unique closed subset A' of the interval such that for a.e. x the corresponding minimal center of attraction (i.e. the minimal closed set containing supports of all measures from $V_f(x)$) is A' (another proof of this statement is given in [Ke]).

It shows that for maps in question typical in a metric sense behavior of orbits is to some extent similar: a.e. point has the same limit set and the same minimal center of attraction. One could say that “the culmination” of such a similarity is achieved on the family of unimodal negative Schwarzian mixing maps having measure absolutely continuous with respect to Lebesgue measure (a.c.i.m.); in this case as it follows from the ergodicity of a map f the only measure in typical $V_f(x)$ is the aforementioned a.c.i.m. However, Theorem 10.3.5) implies that the set of all points with maximal oscillation is residual here, so we can conclude that *the residual set of points with maximal oscillation for a negative Schwarzian mixing map with a.c.i.m. has Lebesgue measure zero* (clearly this is true in fact for any mixing interval map with ergodic a.c.i.m. μ such that $\text{supp } \mu = [0, 1]$). This leads to the following

Problem 1. *Does there exist a unimodal mixing map with negative Schwarzian such that the residual set of all points with maximal oscillation has full Lebesgue measure?*

Let us return to the consideration of the results of Section 10. We prove there the following Theorem 10.4 and Corollary 10.5.

Theorem 10.4. *Let μ be an invariant measure. Then the following properties of μ are equivalent;*

- 1) *there exists $x \in [0, 1]$ such that $\text{supp } \mu \subset \omega(x)$;*
- 2) *the measure μ has a generic point;*
- 3) *the measure μ can be approximated by CO-measures.*

Remark. In fact one can deduce Theorem 10.4 for a non-atomic invariant measure

directly from Theorem DGS and the above mentioned Theorem 4.1, Lemma 8.3, Theorem 8.7; this version of Theorem 10.4 was obtained in [B14],[B17]. Note that even this preliminary version implies the following

Corollary 10.5[B14],[B17]. *CO-measures are dense in all ergodic invariant measures of f .*

In what follows we need the definition of a piecewise-monotone continuous map. A continuous map $f : [0, 1] \rightarrow [0, 1]$ is said to be *piecewise-monotone (a pm-map)* if there exist $n \geq 0$ and points $0 = c_0 < c_1 < \dots < c_{n+1} = 1$ such that for any $0 \leq k \leq n$ f is monotone on $[c_k, c_{k+1}]$ and $f[c_k, c_{k+1}]$ is not a point (so $[c_k, c_{k+1}]$ is not a flat spot); by monotone we always mean non-strictly monotone. Each c_k , $1 \leq k \leq n$ is called *a turning point of f* ; we denote the set $\{c_k\}_{k=1}^n$ by $C(f)$. Each interval $[c_k, c_{k+1}]$ is called *a lap*.

We need also the definition of the measure-theoretic entropy. Let $T : X \rightarrow X$ be a map of a compact metric space (X, d) into itself. A *partition* is a finite family $\alpha = \{A_i\}_{i=1}^N$ of disjoint measurable sets such that $\bigcup_{i=1}^N A_i = X$. If $\alpha = \{A_i\}_{i=1}^N$ and $\beta = \{B_j\}_{j=1}^M$ then $\alpha \vee \beta$ is the partition $\{A_i \cap B_j\}_{i=1, j=1}^{i=N, j=M}$. In the similar way $\bigvee_{k=1}^n \alpha_k$ is defined where each α_k is a partition. Furthermore, if $\alpha = \{A_i\}_{i=1}^N$ is a partition then $T^{-k}\alpha \equiv \{T^{-k}A_i\}_{i=1}^N$, $(\alpha)^t \equiv \bigvee_{k=0}^t T^{-k}\alpha$.

Let $\mu \in M_T$ be a T -invariant measure, α be a partition. The quantity $H_\mu(\alpha) = \sum_{A \in \alpha} \mu(A) \cdot \ln \mu(A)$ is called *the entropy of the partition α* . Then there exists $\lim_{N \rightarrow \infty} N^{-1} \cdot H_\mu((\alpha)^{N-1}) \equiv h_\mu(\alpha, T)$ which is called *the entropy of α with respect to T* . The quantity $h_\mu(T) = \sup\{h_\mu(\alpha, T) : \alpha \text{ is a partition with } H_\mu(\alpha) < \infty\}$ is called *the measure-theoretic entropy of T (with respect to μ)*[K]. The following Theorem DG1 and Corollary DG2 play an important role in the theory of dynamical systems.

Theorem DG1[Di],[Go]. $h(T) = \sup_{\mu \in M_T} h_\mu(T) = \sup\{h_\mu(T) : \mu \in M_T \text{ is ergodic}\}$.

Corollary DG2. $h(T) = \sup_{x \in X} h(T|\omega(x)) = \sup_{x \in R(T)} h(T|\omega(x)) = h(T|\overline{R(T)}) =$

$h(T|C(T))$.

Now let us return to one-dimensional maps. In his recent paper [H4] F.Hofbauer has proved statements 1)-3) of Theorem 10.3 for pm-maps. He used a technique which seems to be essentially piecewise-monotone. Moreover, he has proved that for a pm-map f the set of all f -invariant measures μ such that $h_\mu(f) = 0$ is a residual subset of $M_{f|B}$. This result can be deduced also from Theorem 10.3 and the following theorem of Misiurewicz and Szlenk.

Theorem MiS[MiS]. *If $f : [0, 1] \rightarrow [0, 1]$ is a pm-map then the entropy function h as a map from M_f to the set of real numbers defined by $h(\mu) = h_\mu(f)$ is upper-semicontinuous.*

Indeed, by Theorem 10.3.1) and Theorem MiS the set $h^{-1}(0) \cap M_{f|B}$ is a dense G_δ -subset of $M_{f|B}$. However, the corresponding problem for an arbitrary continuous map of the interval (not necessarily a pm-map) has not been solved yet since there is no result similar to Theorem MiS and concerning arbitrary continuous interval maps. By Theorem 4.1 and Lemma 8.3 it is sufficient to consider a mixing map of the interval so the natural question is whether entropy h as a map from M_f to the set of real numbers is upper-semicontinuous provided that $f : [0, 1] \rightarrow [0, 1]$ is mixing.

Suppose that the answer is affirmative. Then by Theorem DG1 for any mixing f there exists a measure μ of maximal entropy. However, [GZ] contains an example of a mixing map without any such measure. So we get to the following

Problem 2. *Let $g : [0, 1] \rightarrow [0, 1]$ be a mixing map.*

- 1) *Do measures $\mu \in M_g$ with zero entropy form a residual subset of M_g ?*
- 2) *What are the conditions on g that would imply the upper-semicontinuity of the entropy h as a function from M_g to the set of real numbers or at least the existence of a measure of maximal entropy for g ?*

This problem is connected with the following problem which is due to E. M. Coven; to formulate it we need the definition of an *entropy-minimal* map. Namely a

continuous map $f : X \rightarrow X$ of a compact space X into itself is called *entropy-minimal* if the only invariant closed set $K \subset X$ such that $h(f|_K) = h(f)$ is X itself (see [CS]). It is shown in [CS] that entropy-minimal maps are necessarily transitive and at the same time if a transitive map has a unique measure of maximal entropy with support coinciding with the entire compact space then this map is entropy-minimal. So by the results of Hofbauer ([H1]) we see that transitive pm-maps of the interval into itself are entropy-minimal. On the other hand in [CS] the example of a mixing interval map which is not entropy-minimal is given (so by Theorem 8.7 this is an example of a map with specification but without entropy-minimality and hence without measure of maximal entropy).

The problem is to describe the class of transitive interval maps which is wider than all transitive pm-maps but still all maps from this class are entropy-minimal. The following conjectures are connected with the problem; the idea here is to find out what properties of pm-maps being inherited by arbitrary interval maps would imply the corresponding consequences (the existence of a measure of maximal entropy or at least the fact that a map in question is entropy-minimal).

Conjecture(cf. Lemma 8.3). *Let $g : [0, 1] \rightarrow [0, 1]$ be a mixing map and suppose for any open U there exists a positive integer n such that $g^n U = [0, 1]$. Then:*

- 1) *the map g is entropy-minimal;*
- 2) *the entropy h as a function from M_g to the set of real numbers is upper-semicontinuous and g possesses a measure of maximal entropy.*

1.11. The decomposition for piecewise-monotone maps

For pm-maps we can make our results more precise. In fact we are going to illustrate how our technique works applying it to pm-maps. It should be mentioned that the version of the "spectral" decomposition we present here for pm-maps is quite close to that of Nitecki (see [N2]); however we deduce the Decomposition Theorem for pm-maps as an easy consequence of the Decomposition Theorem for arbitrary

continuous maps and the properties of basic and solenoidal sets. The properties of pm-maps will not be discussed in Sections 2-11.

Let f be a pm-map with the set of turning points $C(f) = \{c_i\}_{i=1}^m$.

Lemma PM1. *Let $A = \overline{\bigcup_{c \in C(f)} \text{orb } c}$, $t' = \inf A$, $t'' = \sup A$. Then $f[t', t''] \subset [t', t'']$.*

Proof. Left to the reader. Q.E.D.

Lemma PM2. *Let $\{I_j\}_{j=1}^\infty$ be generating intervals with periods $\{m_j\}_{j=1}^\infty$. Then in the notation of Theorem 3.1 $S = S_p = S_\omega$ (i.e. for any $x \in Q = \bigcap \text{orb } I_j$ we have $\omega(x) = S = Q \cap \overline{\text{Per } f} = S_p = Q \cap \omega(f) = S_\omega$).*

Proof. By Theorem 3.1 $S \subset S_p = Q \cap \overline{\text{Per } f} \subset S_\omega = Q \cap \omega(f)$. Suppose that $S_\omega \setminus S \neq \emptyset$ and $x \in S_\omega \setminus S$. Then we can make the following assumptions.

1) Replacing x if necessary by an appropriate preimage of x we can assume that $x \notin \{\text{orb } c : c \in C(f)\}$. Indeed, the fact that $x \in S_\omega$ implies that there exists y such that $x \in \omega(y)$. But $f|_{\omega(y)}$ is surjective, so for any $n > 0$ there exists $x_{-n} \in \omega(y)$ such that $f^n x_{-n} = x$. Moreover, $x \notin S$, so $x_{-n} \notin S$ too.

Now suppose that there are some points $c \in C(f)$ such that for some $m = m(c)$ we have $f^m c = x$. Clearly $x \notin \text{Per } f$; thus the number $m(c)$ is well defined. Take the maximum M of the numbers $m(c)$ over all $c \in C(f)$ which are preimages of x under iterations of f and then replace x by x_{M+1} . Obviously $x_{M+1} \notin \{\text{orb } c : c \in C(f)\}$.

2) We can assume x to be an endpoint of non-degenerate component $[x, y]$, $x < y$ of Q .

3) We can assume i to be so large that $\text{orb } I_i \cap C(f) = Q \cap C(f) \equiv C'$, where $I_i = [x_i, y_i] \ni x$. Indeed, if $c \in C(f) \setminus Q$ then there exists $j = j(c)$ such that $c \notin \text{orb } I_j$. So if N is the maximum of such $j(c)$ taken over all $c \in C(f) \setminus Q$ then for any $i > N$ we have $\text{orb } I_i \cap C(f) = Q \cap C(f)$.

4) By Theorem 3.1 $\omega(c') = S$ for any $c' \in C'$. So $x \notin \omega(c')$ for any $c' \in C'$. Now the first assumption implies that $x \notin \{\overline{\bigcup \text{orb } c'} : c' \in C'\} = D \supset S$. Thus we can assume i to be so large that $[x_i, x + \varepsilon] \cap D = \emptyset$ for some $\varepsilon > 0$.

Let $A = I_i \cap D, t' = \inf A, t'' = \sup A$. Note that then by the assumption 4) $x \notin [t', t'']$. The fact that $x \in S_\omega$ implies that there exists a point z such that $x \in \omega(z)$; by Theorem 3.1 $S \subset \omega(z)$. At the same time $S \subset D$ and so $S \cap I_i \subset D \cap I_i \subset [t', t'']$. But by Lemma PM1 the interval $[t', t'']$ is f^{m_i} -invariant and so by the properties of solenoidal sets the fact that $S \subset \omega(z)$ implies that $\omega(z) \cap I_i \subset [t', t'']$. Thus we see that $x \in \omega(z) \cap I_i \subset [t', t'']$ which contradicts the assumption 4). Q.E.D.

Lemma PM3. *Let $g : [0, 1] \rightarrow [0, 1]$ be a continuous map, I be an n -periodic interval, $B = B(\text{orb } I, g)$ be a basic set, $J \subset I$ be another n -periodic interval. Furthermore, let $I = L \cup J \cup R$ where L and R are the components of $I \setminus J$. Then at least one of the functions $g^n|L, g^n|R$ is not monotone. In particular, if g is a pm-map then $C(g) \cap \text{orb } I \supset C(g) \cap \text{orb } J$ and $C(g) \cap \text{orb } I \neq C(g) \cap \text{orb } J$.*

Remark. It is easy to give an example of intervals $I \supset J$ such that $fI = I, fJ = J$ and a basic set $B = B(\text{orb } I, g)$ exists. Indeed, consider a mixing pm-map f with a fixed point a and then “glue in” intervals instead of a and all preimages of a under iterations of f . It is quite easy to see that this may be done in such a way that we will get a new map g with the required property; J will be an interval which replaces a itself.

Proof. We may assume $n = 1$ and J to be an interval complementary to B . Suppose that $g|L$ and $g|R$ are monotone. By Theorem 4.1 there exists a transitive map $\psi : [0, 1] \rightarrow [0, 1]$ which is a monotone factor of the map g ; in other words g is semi-conjugate to ψ by a monotone map ϕ . By the definition of a basic set $\phi(J) = a$ is a point a . The monotonicity of ϕ and the fact that $g|L$ and $g|R$ are monotone imply that $\psi|[0, a]$ and $\psi|[a, 1]$ are monotone; moreover, $\psi(a) = a$. Clearly, it contradicts the transitivity of ψ . Q.E.D.

We need the following definition: if $B = B(\text{orb } I, f)$ is a basic set then the period of I is called *the period of B* and is denoted by $p(B)$. To investigate the decomposition for a pm-map let us introduce the following ordering in the family of all basic sets

of $f : B(\text{orb } I_1, f) = B_1 \succ B(\text{orb } I_2, f) = B_2$ if and only if $\text{orb } I_1 \supset \text{orb } I_2$. The definition is correct for a continuous map of the interval. So it is possible to analyze the structure of the decomposition via \succ -ordering in the continuous case. We do not follow this way to avoid unnecessary complexity and consider only pm-maps.

For any set $D \subset C(f)$ consider the family $G(D)$ of all basic sets $B(\text{orb } I, f)$ such that $D = \text{orb } I \cap C(f)$. Let us investigate the properties of the family $G(D)$ with the \succ -ordering. Fix a subset $D \subset C(f)$ and suppose that $B_1 = B(\text{orb } I_1, f) \in G(D)$, $B_2 = B(\text{orb } I_2, f) \in G(D)$. Then either $\text{orb } I_1 \supset \text{orb } I_2$ or $\text{orb } I_1 \subset \text{orb } I_2$. Indeed, otherwise let $J = I_1 \cap I_2 \neq \emptyset$ and let for instance $p(B_1) \leq p(B_2)$. It is easy to see that the period of J is equal to $p(B_2)$. Now Lemma PM3 implies $C(f) \cap \text{orb } I_2 \neq C(f) \cap \text{orb } J$ which is a contradiction.

Thus we may assume $\text{orb } I_1 \supset \text{orb } I_2$; by Lemma PM3 it implies that $p(B_1) < p(B_2)$. So if $D \subset C(f)$ and $G(D)$ is infinite then $G(D) = \{B_1 \succ B_2 \succ \dots\}$. Moreover, assume that $B_i = B(\text{orb } I_i, f)$; then $Q(D) \equiv \bigcap \text{orb } I_i$ is a solenoidal set, $D \subset Q(D)$, for any $z \in D$ we have by Lemma PM2 that $\omega(z) = S(D) \equiv Q(D) \cap \overline{\text{Per } f}$ and the corresponding group is $H(\{p(B_i)\}_{i=1}^{\infty})$. Let us show that there is no basic set $B(\text{orb } J, f) = B \notin G(D)$ such that $\text{orb } J \subset \text{orb } I_1$.

Indeed, let $B = B(\text{orb } J, f)$ be such a basic set. Let $E = \text{orb } J \cap C(f)$; then $\emptyset \neq E \subset D, E \neq D$. At the same time it is easy to see that $Q(D) \subset \text{orb } J$. Indeed, let $z \in E \subset D$. Then by what we have shown in the previous paragraph $\omega(z) = S(D) \subset Q(D)$ and at the same time $\omega(z) \subset \text{orb } J$ as well; in other words, $\omega(z) \subset Q(D) \cap \text{orb } J$, which implies $Q(D) \subset \text{orb } J$ and contradicts the fact that $E \neq D$.

Note that if $G(D) = \{B_1 \succ B_2 \succ \dots\}$ then the well-known methods of one-dimensional symbolic dynamics easily yield that $f|_{B_i}$ is semiconjugate by a map ϕ to a one-sided shift $\sigma : M \rightarrow M$ and $1 \leq \text{card } \phi^{-1}(\xi) \leq 2$ for any $\xi \in M$. Indeed, let $B_i = B(\text{orb } I_i, f), B_{i+1} = B(\text{orb } I_{i+1}, f), \text{orb } I_{i+1} \subset \text{orb } I_i$ and \mathcal{R} be a collection

of components of the set $orb I_i \setminus orb I_{i+1}$. Then for each interval $J \in \mathcal{R}$ a map $f|J$ is monotone and for some finite subset $\mathcal{F} = \mathcal{F}(J)$ of \mathcal{R} we have $fJ \supset J'$ if $J' \in \mathcal{F}$ and $fJ \cap J' = \emptyset$ if $J' \notin \mathcal{F}$. Construct an oriented graph X with vertices which are elements of \mathcal{R} and oriented edges connecting $J \in \mathcal{R}$ with $J' \in \mathcal{R}$ if and only if $fJ \supset J'$. This graph generates a one-sided shift $\sigma : M \rightarrow M$ in the corresponding topological Markov chain. Let $K = \{x : f^n x \in orb I_i \setminus orb I_{i+1}\}$. Then $f|K$ is monotonically semiconjugate to $\sigma : M \rightarrow M$ (*monotonically* means here that a preimage of any point is an interval, probably degenerate) and B coincides with ∂K ; in other words, to get a set B from K we need to exclude from K interiors of all non-degenerate intervals which are components of K .

Let us return to the properties of the family of all basic sets. If $D \subset C(f)$ then $G(D)$ is either infinite or finite. Let $\{D^i\}_{i=1}^k$ be all D^i such that $G(D)$ is infinite and $\{\widetilde{B}_r\}_{r=1}^R$ be all basic sets belonging to finite sets $G(D)$. The family of all possible sets $D \subset C(f)$ is finite so $R < \infty, k < \infty$ and basic sets from $\{G(D^i)\}_{i=1}^k$ together with the collection $\{\widetilde{B}_r\}_{r=1}^R$ form the family of all basic sets. Note that $D^i \cap D^j = \emptyset (i \neq j)$. Indeed, otherwise $\emptyset \neq D^i \cap D^j \subset Q(D^i) \cap Q(D^j)$; by the Decomposition Theorem this is only possible if $Q(D^i) = Q(D^j)$. But $D^r = C(f) \cap Q(D^r)$ ($r = i, j$) and thus $D^i = D^j$ which is a contradiction. Moreover, if $E \subset C(f)$ is such that $G(E)$ is finite and $E \cap D^i \neq \emptyset$ then $E \supset D^i$. Indeed, considering points from $E \cap D^i$ it is easy to see that for any $B = B(orb J, f) \in G(E)$ we have $Q(D^i) \subset orb J$ and hence $E \supset D^i$.

Clearly, we have already described all basic and some solenoidal sets via \succ -ordering. However, there may exist generating intervals $\{I_j\}$ with periods $\{m_j\}$ and the corresponding solenoidal set $Q = \bigcap orb I_j$ such that $Q \cap C(f) = F$ and $F \neq D^i (1 \leq i \leq k)$. Then by the Decomposition Theorem $F \cap D^i = \emptyset (1 \leq i \leq k)$ and $f|orb I_N$ has no basic sets for sufficiently large N . Applying the analysis of maps with zero entropy to $f|orb I_N$ we finally obtain the Decomposition Theorem for pm-maps.

Theorem PM4 (Decomposition Theorem for pm-maps). *Let $f : [0, 1] \rightarrow [0, 1]$ be a pm-map. Then there exist an at most countable family of pairs of basic and Ω -basic sets $\{B_i \subset B'_i\}$ and a family of triples of solenoidal sets $\{S^{(\alpha)} \subset S_\Omega^{(\alpha)} \subset Q^{(\alpha)}\}_{\alpha \in A}$ such that:*

- 1) $\Omega(f) = X_f \cup (\bigcup_\alpha S_\Omega^{(\alpha)}) \cup (\bigcup_i B'_i)$;
- 2) $\omega(f) = \overline{Per f} = X_f \cup (\bigcup_\alpha S^{(\alpha)}) \cup (\bigcup_i B_i)$;
- 3) $card A \leq card C(f)$;
- 4) $S^{(\alpha)} = S_p^{(\alpha)} = S_\omega^{(\alpha)}$ for any $\alpha \in A$;

5) *intersections in this decomposition are only possible between different basic or Ω -basic sets, intersection of any three sets is empty and intersection of any two sets is finite;*

6) *there exist a finite number of pairwise disjoint subsets $\{D^i\}_{i=1}^k, \{F_j\}_{j=1}^l$ of $C(f)$, a finite collection of basic sets $\{\tilde{B}_r\}_{r=1}^R$ and a finite collection of cycles of intervals $\{orb K_j\}_{j=1}^l$ with the following properties:*

a) *for any $i, 1 \leq i \leq k$ the family $G(D^i)$ is an infinite chain $B_1^i = B(orb I_1^i, f) \succ B_2^i = B(orb I_2^i, f) \succ \dots$ of basic sets with periods $p_1^i < p_2^i < \dots$ and $Q(D^i) = \bigcap_n orb I_n^i$ is a solenoidal set with the corresponding group $H(p_1^i, p_2^i, \dots)$;*

b) *$f|_{B_n^i}$ is semiconjugate to a one-sided shift in a topological Markov chain and the semiconjugation is at most 2-to-1;*

c) *if $i \neq j, B \in G(D^i), \hat{B} \in G(D^j)$ then neither $B \succ \hat{B}$ nor $\hat{B} \succ B$;*

d) *all basic sets of f are $\{\tilde{B}_r\}_{r=1}^R \cup \bigcup_{i=1}^k \{B_n^i\}_{n=1}^\infty$;*

e) *for any $j, 1 \leq j \leq l$ the cycle of intervals $orb K_j$ has period N_j , there exists a unique solenoidal set $Q_j \subset orb K_j, h(f|_{orb K_j}) = 0, orb K_j \cap C(f) = F_j \subset Q_j \subset orb K_j$ and the group corresponding to Q_j is $H(N_j, 2N_j, 4N_j, \dots)$;*

f) $\{Q(D^i)\}_{i=1}^k \cup \{Q_j\}_{j=1}^l = \{Q^{(\alpha)}\}_{\alpha \in A}$;

g) *there exists a countable set of pairwise disjoint cycles of intervals $\{orb L_j\}$ (perhaps some of them are degenerate) such that $C(f) \cap int(orb L_j) = \emptyset (\forall j)$ and*

$X_f \subset \bigcup_j \text{orb } L_j$.

Remark that we have not included the proofs of statements 3) and 6.g) which are left to the reader.

Let us make several historical remarks. Jonker and Rand [JR1, JR2] constructed the “spectral” decomposition of $\Omega(f)$ for a map with a unique turning point (*a unimodal map*); they used the kneading theory of Milnor and Thurston [MilT]. The unimodal case was studied also in [Str]. The decomposition was extended to an arbitrary pm-map by Nitecki [N2] and Preston [P1-P2]. For piecewise-monotone maps with discontinuities the decomposition is due to Hofbauer [H2, H3].

Our Decomposition Theorem for a pm-map is related to those of Nitecki and Preston. However, we would like to note some differences: 1) we deduce the Decomposition Theorem for a pm-map from the general Decomposition Theorem for a continuous map of the interval; 2) we investigate the properties of basic sets using an approach which seems to be new.

1.12. Properties of piecewise-monotone maps of specific kinds

To conclude the part of this Introduction concerning pm-maps we discuss some specific kinds of pm-maps. First we need some definitions. A pm-map f is said to be *topologically expanding* or simply *expanding* if there exists $\gamma > 1$ such that $\lambda(fI) \geq \gamma \cdot \lambda(I)$ for any interval I provided $f|I$ is monotone (here $\lambda(\cdot)$ is Lebesgue measure). Let g be a continuous interval map, J be a non-degenerate interval such that $g^n|J$ is monotone for any $n \geq 0$ (recall that by monotone we mean non-strictly monotone); following Misiurewicz we call J a *homterval*. Remark also that one can define the topological entropy of $f|K$ without assuming K to be an invariant or even compact set [B3]. Now we are able to formulate

Lemma PM5[B13]. *The following properties of f are equivalent:*

- 1) f is topologically conjugate to an expanding map;
- 2) if $d < b$ then $f|[d, b]$ is non-degenerate and if $\{c_1, \dots, c_k\} = C(f) \cap \text{int}(\overline{\text{Per } f})$

then $\bigcup_{i=1}^k (\bigcup_{n \geq 0} f^{-n} c_i)$ is a dense subset of $[0, 1]$;

3) there exists $\delta > 0$ such that $h(f|J) \geq \delta$ for any non-degenerate interval J ;

4) f has neither homtervals nor solenoidal sets.

Proof. We give here only a sketch of the proof. It is based on the Decomposition Theorem and the following important theorem of Milnor and Thurston, proved in [MilT].

Theorem MT. *Let f be a pm-map with $h(f) > 0$. Then there exists an expanding map g with two properties:*

1) $\lambda(g[d, b]) = e^{h(f)} \cdot \lambda([d, b])$ for any $d < b$ provided that $g|([d, b])$ is monotone;

2) f is topologically semiconjugate to g by a monotone map.

An expanding map g with the properties from Theorem MT is called a map of a constant slope.

Suppose that statement 1) from Lemma PM5 holds for a map f . Then the properties of solenoidal sets and the definition of a homterval imply that statement 4) holds. Indeed, we may assume f itself to be an expanding map with the constant of expansion $\gamma > 1$. Let n be such that $\gamma^n > 2$. Consider the map f^n and let ε be the length of the shortest lap of f^n . Then any interval J with $\lambda(J) \leq \varepsilon$ covers no more than 1 turning point of f and hence $\lambda(f^n J) > 2\lambda(J)$. So there exists a number k such that for any $i > k$ we have $\lambda(f^{in} J) > \varepsilon$; now properties of continuous maps easily imply that for the corresponding $\delta > 0$ and any $j > kn$ we have $\lambda(f^j J) > \delta$. Clearly it implies that f has neither homtervals nor solenoidal sets, i.e. that statement 4) holds.

Now let statement 4) hold. Then by the Decomposition Theorem we see that because of the non-existence of solenoidal sets there are only finitely many basic sets. Besides it follows from the non-existence of solenoidal sets and homtervals that there are no periodic intervals on which f has zero entropy; this implies that all \succ -minimal basic sets are cycles of intervals on which the map f is transitive.

Let us show that it implies statement 2) of Lemma PM5. Indeed, the non-existence of homtervals implies that the map f is non-degenerate on every non-degenerate interval, so 2a) holds. Now let us prove that 2b) holds too. Let J be an interval; consider the orbit of J under iterations of f . The non-existence of homtervals implies that there are numbers $n < m$ such that $f^n J \cap f^m J \neq \emptyset$. It is easy to see now that there is a weak cycle of intervals $I, fI, \dots, f^{k-1}I, f^k I \subset I$ and a number n such that $\bigcup_{i \geq n} f^i J = \bigcup_{r=0}^{k-1} f^r I$. But by what has already been proved in the previous paragraph $\bigcup_{r=0}^{k-1} f^r I$ should contain a cycle of intervals M on which f is transitive (otherwise it would have contained either homtervals or solenoidal sets which is impossible). On the other hand by the properties of basic sets $M \subset \overline{Per f}$; hence there is $c \in C(f)$ such that $c \in \text{int}(M) \subset \text{int}(Per f)$ which means that there exists $c \in \text{int}(\overline{Per f})$ with preimages in the interval J for any J . It proves statement 2) of Lemma PM5.

Now suppose that statement 2) holds. Let us show that there exist cycles of intervals on which the map is transitive. Indeed, otherwise every basic or solenoidal set has an empty interior. Suppose that for any n the set $\{x : f^n x = x\}$ has an empty interior too. Then the set $A = \bigcup_{n \geq 0} \{x : f^n x = x\} \cup (\bigcup_{\alpha} S^{(\alpha)}) \cup (\bigcup_i B_i)$ is of the first category and so has an empty interior. At the same time by the Decomposition Theorem $A = \overline{Per f}$ and by the statement 2) $A = \overline{Per f}$ has a non-empty interior; this contradiction shows that there exists n such that $\text{int}\{x : f^n x = x\} \neq \emptyset$. However this in its turn contradicts statement 2) and shows that our first assumption was false and there exist cycles of intervals on which the map is transitive.

Now take all cycles of intervals on which f is transitive. On each cycle there exists a semiconjugation to a constant slope map (Theorem MT); indeed, the semiconjugation exists because transitive interval maps have positive entropy (see e.g. Lemma 9.3; this fact also may be easily deduced from the Decomposition Theorem). Moreover, in fact it must be a conjugation because otherwise “expanding” properties of transitive maps (see Lemma 8.3) imply that the constant slope map in question is degenerate.

Using some technical arguments and statement 2) itself one can now construct a conjugation between the map f and some expanding map, i.e. statement 1) holds. The equivalence of all these statements and statement 3) may be proved by similar methods. It completes the sketch of the proof of Lemma PM5. Q.E.D.

For a map with constant slope the Decomposition Theorem may be refined. Namely, in [Bl10] the following theorem is proved.

Theorem PM6[Bl10]. *Let f be a map of constant slope and $\{B_i\}_{i=1}^N$ be the family of all basic sets of f . Then $N \leq \text{card}C(f)$, the family of limit sets of genus 0 is finite and there is no solenoidal sets.*

Let us apply Theorem PM6 and investigate the continuity of topological entropy for pm-maps. Let M_n be the class of pm-maps f such that $\text{card}C(f) \leq n$. For any $c \in C(f)$ let $q(c, f)$ be the number of basic sets $B = B(\text{orb}I, f)$ such that $c \in \text{orb}I$ if $c \in \text{Per}f$ or ∞ otherwise.

The machinery of discontinuity of the entropy as a function h from M_n to the set of real numbers was investigated in [Mi5] and in different way in [Bl12]; in [MiŚl] the analogous result was obtained for piecewise-monotone maps with discontinuities. Roughly speaking if h is not continuous at $f \in M_n$ (where $\text{card}C(f) = n$) then there exists $c \in C(f) \cap \text{Per}f$ which can “blow up” turning into a periodic interval J such that for a new map $g \in M_n$ we have $h(g|\text{orb}_gJ) > h(f)$. However, this is impossible if $q(c, f) \geq n$. Namely, the following theorem holds.

Theorem PM7[Bl12]. *Let $f \in M_n, \text{card}C(f) = n$ and $q(c, f) \geq n$ for any $c \in C(f)$. Then the entropy function h as a function from M_n to the set of real numbers is continuous at f .*

As a corollary we obtain in [Bl12] a new proof of the following result of M.Misiurewicz [Mi5].

Corollary PM8[Mi5],[Bl12]. *Let $f \in M_1, C(f) = \{c\}$ and either $h(f) = 0$ and $c \notin \text{Per}f$ or $h(f) > 0$. Then the entropy function h as a function from M_1 to the set*

of real numbers is continuous at f .

The most important example of a pm-map is perhaps a *smooth map of an interval*, by which we mean a C^∞ -map $f : [0, 1] \rightarrow [0, 1]$ with a finite number of non-flat critical points. We denote the set of all smooth maps with n critical points by Sm_n ; $Sm \equiv \cup Sm_n$. Let us define the *Schwarzian derivative* as $Sf \equiv f'''/f' - 3/2 \cdot (f''/f')^2$. If for $f \in Sm_n$ we have $Sf < 0$ outside the critical points of f then we say that f is a map with *negative Schwarzian*. The family of all such f is denoted by NS_n ; $NS \equiv \cup_{n \geq 0} NS_n$.

Does there exist a smooth map with a wandering interval? This question is related to Denjoy theorem [D] and since 1970's (namely, since the appearance of G. Hall's example which we discuss later) it has been attracting great attention. The main conjecture was that the answer is negative. Let us describe the history of the verification of this conjecture.

- 0) [D] for a diffeomorphism of a circle with the first derivative of bounded variation;
- 1) [Mi1] for a map $f \in NS_1$ with a 2-adic solenoid;
- 2) [Gu] for a map $f \in NS_1$;
- 3) [MSt] for a map $f \in Sm_1$;
- 4) [Yo] for a smooth homeomorphism of the circle with a finite number of non-flat critical points;
- 5) [L] for a map $f \in NS$ with critical points which are turning points (the principal step towards the polymodal case);
- 6) [BL1] for a map $f \in Sm$ with critical points which are turning points;
- 7) [MMSt] for a map $f \in Sm$.

Remark also that in [MMSt] the following nice theorem was proved.

Theorem MMS. *Let $f \in Sm$. Then there exist a positive integer N and a number $\xi > 0$ such that for any periodic point p of minimal period $n > N$ the following inequality holds: $|Df^n(p)| \geq 1 + \xi$.*

Remark. G.Hall constructed an example of a C^∞ -piecewise-monotone map with finitely many critical points (among them there are flat critical points) which has a homterval. It shows that C^∞ -property alone is not sufficient for the conjecture in question to be true.

Together with Theorem 6.2' and the Decomposition Theorem for pm-maps these results imply the following

Corollary PM9. *Let $f \in Sm$. Then there exist k cycles of intervals $\{orb I_j\}_{j=1}^i, q$ solenoids $\{Q_j\}_{j=1}^q$ and l cycles of intervals $\{L_j\}_{j=1}^l$ such that $i + q \leq C(f)$ and the following statements are true:*

- 1) $f|_{orb I_j}$ is transitive ($1 \leq j \leq i$);
- 2) $int(orb L_j) \cap C(f) = \emptyset$ ($1 \leq j \leq l$);
- 3) there exists a residual subset $G \subset [0, 1]$ such that for $x \in G$ either $\omega(x) \subset orb L_j$ is a cycle for some $1 \leq j \leq l$, or $\omega(x) = Q_j$ for some $1 \leq j \leq q$, or $\omega(x) = orb I_j$ and $V_f(x) = M_{f|_{orb I_j}}$ for some $1 \leq j \leq i$.

Remark. In [B11] we describe generic limit sets for pm-maps without wandering intervals.

1.13. Further generalizations

Now we would like to discuss possible generalizations of these results. First note that we consider a pm-map as a particular case of a continuous map of the interval; at the same time one can consider a continuous map as a generalization of a pm-map. It is natural to ask whether there are other generalizations and here a pm-map with a finite number of discontinuities is another important example.

This class of maps was investigated by F.Hofbauer in his papers [H2-H4] where he constructed and studied the corresponding "spectral" decomposition. It is necessary to mention also the paper [HR] where components of Hofbauer's decomposition with zero entropy are investigated and the paper [W] where topologically generic limit behavior of pm-maps with finite number of discontinuities is studied.

However, we are mostly interested in continuous maps; this leads to the generalization of our results to continuous maps $f : M \rightarrow M$ of a one-dimensional branched manifold (“graph”) into itself. It turns out that the “spectral” decomposition and the classification of its components can be generalized for a continuous map of a “graph” with slight modifications.

More precisely, let $f : M \rightarrow M$ be a continuous map of a “graph”. Let $K = \bigcup_{i=1}^n K_i$ be a submanifold with connected components K_1, \dots, K_n ; we call K a *cyclical submanifold* if K is invariant and f cyclically permutes the components K_1, \dots, K_n . A cyclical submanifold R can generate a maximal limit set; the definition is analogous to that for the interval. Namely, let $L = \{x \in R : \text{for any relatively open neighborhood } U \text{ of } x \text{ in } R \text{ we have } \overline{orbU} = R\}$ be an infinite set. Then there are two possibilities.

1) $f|_R$ has no cycles. Then $f|_L$ acts essentially as an irrational rotation of the circle. In this case we denote L by $Ci(R, f)$ and call $Ci(R, f)$ a *circle-like set*. For instance, if $g : S^1 \rightarrow S^1$ is the Denjoy map of the circle (i.e. the example of the circle homeomorphism with a wandering interval) then $R = S^1$ and $Ci(S^1, g)$ is the unique minimal set of g . The existence of a monotone map which semiconjugates g to the irrational rotation is in this case a well-known fact; moreover, this semiconjugation is at most 2-to-1 on $Ci(S^1, g)$, i.e. essentially $g|_{Ci(S^1, g)}$ is similar to the corresponding irrational rotation.

In [AK] this kind of dynamics was proven to take place for any continuous maps of the circle into itself without periodic points; namely it was shown in [AK] that if $g : S^1 \rightarrow S^1$ is a map without periodic points then there is a monotone semiconjugation between g and some irrational rotation of the circle. It turns out that actually similar monotone semiconjugation exists in general case of graph maps as well. Namely if $f|_R$ does not have periodic points then there is a finite union K of disjoint circles and a map $g : K \rightarrow K$ which cyclically permutes the circles, maps each circle into itself by the corresponding iteration of g as an irrational rotation and at the same time

may be obtained as a factor-map of $f|R$ by a monotone semiconjugation ϕ (in other words, $f|R$ is monotonically semiconjugate to g , see [Bl5] and also [Bl9], [Bl11]). This shows that in general a map on any of its circle-like sets is similar to an irrational rotation and justifies the terminology.

Clearly, to construct an example of a graph map with no periodic points one can take an irrational circle rotation and “blow up” one or several orbits, replacing all but finitely many points in them by intervals and also the rest of the points by finite graphs; it is easy to see that this construction can be carried out so that the new graph map is continuous and it follows from the construction that it will not have periodic points. The aforementioned results in fact show that this is essentially the only way such examples may be constructed.

2) $f|R$ has cycles. Then we denote L by $B(R, f)$ and call $B(R, f)$ a *basic set*. The properties of a basic set of a map of a “graph” are analogous to those of a basic set of a map of the interval.

The definitions of a solenoidal set and of a limit set of genus 0 are similar to those for the interval. Limit sets of genus 0, solenoidal sets, circle-like sets and basic sets are the components of the “spectral” decomposition for a map of a “graph”.

The Decomposition Theorem for a map of a “graph” and its several corollaries are proved in [Bl5, Bl9, Bl11]. For example, the generic properties of invariant measures are analogous to those for a map of the interval (clear modifications are connected with the existence of circle-like sets). It should be mentioned also that the famous Sharkovskii theorem on the co-existence of periods of cycles (Theorem Sh3) was generalized for continuous maps of the circle [Mi4], of the letter Y [ALM] and of any n -od [Ba]. There are also some recent results concerning the description of sets of periods of cycles for continuous maps of an arbitrary finite “graph” into itself [Bl13, Bl14, LM] and for continuous maps of an arbitrary finite “tree” into itself [Bl15] (here “tree” is a finite “graph” which does not contain subsets homeomorphic to the circle).

Almost all the results of this paper are contained in the author's Ph.D. Thesis (Kharkov,1985). The preprint [Bl16] is a preliminary version of the present paper.

When I was revising the paper I learned about the nice recent Block and Coppel's book on topological dynamics of interval maps [BCo] where the authors among a lot of questions consider few problems related to those studied in the present paper. Briefly, this "overlapping" may be described as follows. First of all some classical results of A.N. Sharkovskii are proved in [BCo]; for example, Theorem Sh2 is proved there, which is apparently the first proof of this remarkable result published in English (see [BCo], Chapter 4, Proposition 6) . The authors also obtain some results, close to Theorem 7.6 and Theorem 9.4. All necessary remarks are made in the corresponding places in the text of the present paper.

I would like also to mention two books in Russian [SKSF] and [SMR] written by A.N. Sharkovskii and his collaborators in which a lot of problems of interval maps are considered; however spectral decomposition and related questions are not discussed there.

Acknowledgments. I would like to express my gratitude to the Institute for Mathematical Sciences in SUNY at Stony Brook for the kind hospitality which made possible the revising of this paper. I am also grateful to M. Lyubich and J. Milnor for providing useful comments. I would like to thank Wesleyan University where the revising of the paper was finished and E.M. Coven for helpful comments concerning entropy-minimality and the results of [AK] and [BCo]. My special thanks to the referees for careful reading of the first version of the paper and useful suggestions.

2. Technical lemmas

From now on we will use all notions introduced in Section 1 without repeating

definitions. At the same time for the sake of convenience we will repeat formulations of theorems and lemmas we are going to prove. Fix a continuous map $f : [0, 1] \rightarrow [0, 1]$. We will prove in this Section some elementary preliminary lemmas which nevertheless seem quite important. Let us start with the following easy

Lemma 2.1. 1) Let U be an interval, $f^m U \cap U \neq \emptyset$ for some m . Then there exists a weakly periodic closed interval I of period n such that $\overline{orb U} = \bigcup_{i=0}^{n-1} f^i I = orb I$ is a weak cycle of intervals and $\{orb I \setminus orb U\}$ is a finite set.

2) Let J be a weakly l -periodic closed interval. Then $L = \bigcap_{i \geq 0} f^{il} J$ is a closed l -periodic interval and $\bigcap_i f^i(orb J) = orb L$ is a cycle of intervals.

Proof. 1) Clearly, $\bigcup_{i=0}^{\infty} f^{mi+k} U = J_k$ is an interval for $0 \leq k < m$. Thus the set $\overline{orb U} = \bigcup_{k=0}^{m-1} \overline{J_k}$ consists of a finite number of its components and $card(\overline{orb U} \setminus orb U) < \infty$. Let $I \supset U$ be a component of $\overline{orb U}$ and n be the minimal integer such that $f^n I \cap I \neq \emptyset$. Then $f^n I \subset I$ and the first statement is proved.

2) The proof is left to the reader. Q.E.D.

Denote by L the left side and by R the right side of any point $x \in [0, 1]$. Now if $T = L$ or $T = R$ is a side of $x \in [0, 1]$ then denote by $W_T(x)$ a one-sided semi-neighborhood of x . Let $U = [\alpha, \beta]$ be an interval, $\alpha < \beta, x \in (\alpha, \beta)$. By $Si_U(x) \equiv \{L, R\}$ we denote the set of the sides of x ; also let $Si_U(\alpha) \equiv \{R\}, Si_U(\beta) \equiv \{L\}$. We will consider a pair $(x, T)_U$ where $T \in Si_U(x)$ and call $(x, T)_U$ a U -pair or a pair in U . A set of all U -pairs is denoted by \widehat{U} . If $U = [0, 1]$ then we write simply $Si(x)$, (x, T) and call (x, T) a pair. If (x, T) is a pair in U then we also say that T is a side of x in U . Finally, if $f|W_T(x)$ is not degenerate for any $W_T(x)$ then we say that f is not degenerate on the side T of x .

Let us define the way f acts on pairs. Namely, say that (y, S) belongs to $f(x, T)$ if $y = fx$ and for any $W_T(x)$ there exists $W_S(y)$ such that $fW_T(x) \supset W_S(y)$.

Let us formulate without proof some properties of a continuous map of the interval.

Property C1. Let U be an interval, $x \in fU = V$ and $T \in Si_V(x)$. Then there exists

$y \in U$ and $S \in Si_U(y)$ such that $(x, T) \in f(y, S)$. In particular:

1) if $x \in \text{int} V$ then for any side $T \in Si(x)$ there exists $y \in \text{int} U$ and a side S of y in U such that $(x, T) \in f(y, S)$;

2) if x is an endpoint of a non-degenerate interval V and there exists $y \in \text{int} U$ such that $fy = x$ then there exists $z \in \text{int} U$ and $S \in Si_U(z)$ such that $f(z, S) \ni (x, T)$.

Property C2. Let f be non-degenerate on the side T of x . Then $f(x, T)$ is non-empty.

Property C3. If I, J are closed intervals and $I \subset fJ$ then there exists a closed interval $K \subset J$ such that $fK = I$.

Property C4. Let U be an interval, $x \in U$ be a point, $\lambda(U) \geq \varepsilon > 0$, $n > 0$. Then there exists an interval V such that $x \in V \subset U$, $\lambda(f^i V) \leq \varepsilon$ ($0 \leq i \leq n$) and $\lambda(f^j V) = \varepsilon$ for some $j \leq n$.

Let us consider some examples.

Example 2.1. Let $f(x) \equiv x$. Then $f(x, L) = (x, L)$ and $f(x, R) = (x, R)$ for any $x \in [0, 1]$.

Example 2.2. Let $f(x) = 4x(1 - x)$; then $f(1/2, L) = f(1/2, R) = (1, L)$.

Example 2.3. Let f be continuous and x be a point of local strict maximum of f . Then $f(x, L) = f(x, R) = (fx, L)$.

Let I be a k -periodic interval, $M = \text{orb} I = \bigcup_{i=0}^{k-1} f^i I$. For every $x \in M$ we consider three sets which are similar to the well-known prolongation set. Let \mathcal{U} be either the family L of all left semi-neighborhoods of x in M or the family R of all right semi-neighborhoods of x in M or the family A of all neighborhoods of x in M . For any $W \in \mathcal{U}$ and $n \geq 0$ let us consider the invariant closed set $\overline{\bigcup_{i \geq n} f^i W}$. Set $P_M^{\mathcal{U}}(x, f) \equiv P_M^{\mathcal{U}} \equiv \bigcap_{W \in \mathcal{U}} \bigcap_{n \geq 0} \overline{\bigcup_{i \geq n} f^i W}$. Let us formulate (without proof) some properties of these sets (we will write $P_M(x)$ instead of $P_M^A(x)$ and $P^{\mathcal{U}}(x)$ instead of $P_{[0,1]}^{\mathcal{U}}$).

Property P1. $P_M^{\mathcal{U}}(x)$ is an invariant closed set and $P_M(x) = P_M^L(x) \cup P_M^R(x)$.

Property P2. Let $y \in \overline{\text{orb } x}$. Then $P_M(x) \subset P_M(y)$.

Property P3. If $y = f^n x$ and $f^n(x, T) = \{(y, S_i)\}_{i=1}^t$ then $P_M^T(x) = \bigcup_{i=1}^t P_M^{S_i}(y)$.

We say that a point y is a *limit point of orb x from the side T* or that a *side T is a limit side of $y \in \omega(x)$* if for any open semi-neighborhood $W_T(y)$ we have $W_T(y) \cap \text{orb } x \neq \emptyset$.

Property P4. If y is a limit point of orb x from the side T then $P_M^T(y) \supset P_M(x)$ and $P_M^T(y) \supset \omega(x)$.

Property P5. $f|P_M^{\mathcal{U}}(x)$ is surjective.

Property P6. $P_M^{\mathcal{U}}(x) = \bigcup_{i=0}^{m-1} f^i P_M^{\mathcal{U}}(x, f^m)$.

Moreover, the following lemma is true (note that by the definition if $W \in \mathcal{U}$ then either x is an endpoint of W or $x \in W$).

Lemma 2.2. Let I be a periodic interval, $M = \text{orb } I, x \in M$. Then one of the following possibilities holds for the set $P_M^{\mathcal{U}}(x)$.

1) There exists an interval $W \in \mathcal{U}$ with pairwise disjoint forward iterates and $P_M^{\mathcal{U}}(x) = \omega(x)$ is a 0-dimensional set.

2) There exists a periodic point p such that $P_M^{\mathcal{U}}(x) = \text{orb } p$.

3) There exists a solenoidal set Q such that $P_M^{\mathcal{U}}(x) = Q$.

4) There exists a periodic interval J such that $P_M^{\mathcal{U}}(x) = \text{orb } J$.

If additionally $x \in \Omega(f)$ then $x \in P(x)$.

Proof. The possibility 1) is trivial. Suppose this possibility does not hold. Clearly, it means that if $W \in \mathcal{U}$ then for some $l < n$ we have $f^l W \cap f^n W \neq \emptyset$. By Lemma 2.1 $\overline{\bigcup_{i \geq k} f^i W}$ is a weak cycle of intervals and there exists a periodic interval J_W such that $\bigcap_{k \geq l} (\overline{\bigcup_{i \geq k} f^i W}) = \text{orb } J_W$. Let us choose a family of intervals $\{W_m\}$ so that $W_m \in \mathcal{U}$, $W_m \supset W_{m+1}$ and $\lambda(W_m) \rightarrow 0$. Denote J_{W_m} by J_m . Then $\text{orb } J_m \supset \text{orb } J_{m+1}$ ($\forall m$) and $P_M^{\mathcal{U}}(x) = \bigcap_{m \geq 0} \text{orb } J_m$. If periods of J_m tend to infinity then we get to the case 3) of the lemma. Otherwise $\text{orb } J_m$ tend either to a cycle (the case 2)) or to a cycle

of intervals (the case 4)). Q.E.D.

Let us consider some examples.

Example 2.4. Let $f : [0, 1] \rightarrow [0, 1]$ be a transitive map. Then for any pair (x, T) we have $P^T(x) = [0, 1]$.

Example 2.5. Let $f : [0, 1] \rightarrow [0, 1]$, $f(0) = 0$, $f(1) = 1$ and $fx > x$ for any $x \in (0, 1)$. Then for the pair $(0, R)$ we have $P^R(0) = [0, 1]$ and for any other pair (x, T) we have $P^T(x) = \{1\}$.

3. Solenoidal sets

The following Theorem 3.1 is the central theorem concerning solenoidal sets.

Theorem 3.1[B14,B17]. *Let $\{I_j\}_{j=0}^{\infty}$ be generating intervals with periods $\{m_i\}_{i=0}^{\infty} = D$, $Q = \bigcap_{j \geq 0} \text{orb } I_j$. Then there exists a continuous map $\phi : Q \rightarrow H(D)$ with the following properties:*

- 1) ϕ semiconjugates $f|_Q$ to τ (i.e. $\tau \circ \phi = \phi \circ f$ and ϕ is surjective);
- 2) there exists the unique set $S \subset S_p$ such that $\omega(x) = S$ for any $x \in Q$ (S is a set of all limit points of S_Ω and $f|_S$ is minimal);
- 3) if $\omega(z) \cap Q \neq \emptyset$ then $S \subset \omega(z) \subset S_\omega$;
- 4) for any $\mathbf{r} \in H(D)$ the set $J = \phi^{-1}(\mathbf{r})$ is a connected component of Q and:
 - a) if $J = \{a\}$ is degenerate then $a \in S$
 - b) if $J = [a, b]$, $a \neq b$ then $\emptyset \neq S \cap J \subset S_\Omega \cap J \subset \{a, b\}$;
- 5) $S_\Omega \setminus S$ is at most countable and consists of isolated points;
- 6) $h(f|_Q) = 0$.

Proof. If $y \in Q$ then there exists a well-defined element $\mathbf{r} = (r_0, r_1, \dots) \in H(D)$ such that $y \in f^{r_i} I_i$ ($\forall i$). Let us define $\phi : Q \rightarrow H(D)$ as follows: $\phi(y) \equiv \mathbf{r}(y)$. Then ϕ is continuous, surjective and $\phi^{-1}(\mathbf{s}) = \bigcap_{i \geq 0} f^{s_i} I_i$ is a component of Q for any $\mathbf{s} = (s_0, s_1, \dots) \in H(D)$. Clearly, $\tau \circ \phi = \phi \circ f$ and all the components of Q are

wandering.

Now we are going to prove statement 2). Let us denote by J_z the component of Q containing z . Besides let S be the set of all limit points of S_Ω and also $x \in Q$. We will show that $\omega(x) = S$. First observe that $J_x \cap S_\Omega \neq \emptyset$; this easily implies that $\omega(x) \subset S$.

On the other hand let $y \in S$. By the definition there exists a sequence $\{U_i\}$ of intervals, where every U_i is a component of $orb I_i$, with the following property: $U_i \rightarrow y$, $y \notin U_i$ ($\forall i$). Since $U_i \cap Perf f \neq \emptyset$ we have $y \in \overline{Perf f}$. Moreover, we can choose a sequence $\{n_i\}$ such that $f^{n_i} J_x \subset U_i$ ($\forall i$). Therefore $y \in \omega(x)$ and $\omega(x) = S \subset \overline{Perf f}$. Statement 2) is proved.

Statements 3) and 6) easily follow from what has been proved and are left to the reader (statement 3) follows from the construction and statement 6) may be deduced from statement 3) and the well-known properties of the topological entropy). Statement 4) follows from statements 1)-2) and the observation that J_z is wandering for any $z \in Q$ (indeed, $\phi(J_z)$ as a point of $H(D)$ has an infinite τ -orbit and an infinite ω -limit set which together with $\tau \circ \phi = \phi \circ f$ implies that J_z itself is a wandering interval). Statement 5) follows from statements 2) and 4). Q.E.D.

In the sequel it is convenient to use the following

Corollary 3.2. *Let $\{I_j\}$ be a family of generating intervals, $Q = \bigcap_{j \geq 0} orb I_j$. Then the following statements hold:*

- 1) $Q \cap Perf f = \emptyset$;
- 2) if $J \subset int Q$ is an interval then J is wandering;
- 3) if $int Q = \emptyset$ (i.e. Q is a solenoid) then $f|_Q$ is conjugate to the minimal translation τ in $H(D)$.

Proof. Left to the reader. Q.E.D.

4. Basic sets

Now we pass to the properties of basic sets. The main role here plays the following **Theorem 4.1**[B14,B17]. *Let I be an n -periodic interval, $M = \text{orb} I$ and $B = B(M, f)$ be a basic set. Then there exist a transitive non-strictly n -periodic map $g : M' \rightarrow M'$ and a monotone map $\phi : M \rightarrow M'$ such that ϕ almost conjugates $f|B$ to g . Furthermore, B has the following properties:*

- a) B is a perfect set;
- b) $f|B$ is transitive;
- c) if $\omega(z) \supset B$ then $\omega(z) = B$ (i.e. B is a maximal limit set);
- d) $h(f|B) \geq \ln 2 \cdot (2n)^{-1}$;
- e) $B \subset \overline{\text{Per } f}$;
- f) there exist an interval $J \subset I$, an integer $k = n$ or $k = 2n$ and a set $\tilde{B} = \overline{\text{int } J \cap B}$ such that $f^k J = J$, $f^k \tilde{B} = \tilde{B}$, $f^i \tilde{B} \cap f^j \tilde{B}$ contains no more than 1 point ($0 \leq i < j < k$), $\bigcup_{i=0}^{k-1} f^i \tilde{B} = B$ and $f^k|_{\tilde{B}}$ is almost conjugate to a mixing interval map (one can assume that if $k = n$ then $I = J$).

Let us formulate some assertions before proving Theorem 4.1; they easily follow from Theorems 3.1 and 4.1 and show the connection between basic sets and sets of genus 1 and 2 introduced by Sharkovskii in [Sh3-Sh6].

Assertion 4.2[B14,B17]. *1) Limit sets of genus 1 are solenoidal sets which are maximal among all limit sets, and vice versa;*

2) limit sets of genus 2 are basic sets, and vice versa.

Assertion 4.3[B14,B17]. *Two following properties of a set $\omega(x)$ are equivalent:*

- 1) for any y the inclusion $\omega(y) \supset \omega(x)$ implies that $h(f|_{\omega(y)}) = 0$;
- 2) $\omega(x)$ is either a solenoidal set or a set of genus 0.

Now we pass to the proof of Theorem 4.1.

Proof of Theorem 4.1. We divide the proof by steps. The proofs of the first three ones are left to the reader.

Step B1. $f|M$ is surjective.

Step B2. B is an invariant closed set.

Step B3. $B(M, f) = B(\text{orb } I, f) = \bigcup_{i=0}^{n-1} B(f^i I, f^n)$.

Example. Let $f : [0, 1] \rightarrow [0, 1]$ be a transitive map. Then $B([0, 1], f) = [0, 1]$.

Remark. One can make the Steps B1-B3 without the assumption $\text{card } B = \infty$.

In the rest of the proof we assume $I = M = [0, 1]$.

Step B4. For any $x \in B$ there exists a side T of x such that $P^T(x) = [0, 1]$ (we call such T a source side).

Remark. In general case if I is an n -periodic interval, $M = \text{orb } I$, $x \in I$ and T is a side of x in I such that $P_M^T(x) = M$ then we call T a source side of x for $F|M$.

Suppose that for some $x \in B$ there is no such side. Then $x \neq 0, 1$ (indeed, if, say, $x = 0$ then the fact that $x \in B$ implies that $P^R(x) = [0, 1]$ which proves Step B4). Furthermore, the assumption implies that $P^L(x) \neq [0, 1]$ and $P^R(x) \neq [0, 1]$. On the other hand $x \in B$, i.e. by the definition $P(x) = P^L(x) \cup P^R(x) = [0, 1]$ (the fact that $P(x) = P^L(x) \cup P^R(x)$ follows from Property P1 in Section 2). By Lemma 2.2 it implies that $P^L(x)$ and $P^R(x)$ are cycles of intervals. But the set B is infinite; hence there exist a point $y \in B$ and a side S such that $y \in \text{int } P^S(x)$ and so necessarily $P^S(x) = [0, 1]$ which is a contradiction.

Step B5. Let U be an interval and $x \in B \cap \text{int}(fU)$. Then there exists $y \in (\text{int } U) \cap B$.

Indeed, first let us choose the side S of x in $\text{int } U$ such that $P^S(x) = [0, 1]$ (it is possible by Step B4 and because $\text{int}(fU)$ is open). Then by Property C1.1 from Section 2 we can find a point $y \in \text{int}(U)$ such that $fy = x$ and, moreover, $(x, S) \in f(y, T)$ for some side T of y in U . Now by the definition of a basic set we see that $y \in \text{int}(U) \cap B$.

Let us denote by \mathcal{B} the set of all maximal intervals complementary to B .

Step B6. If $U \in \mathcal{B}$ then $(\text{int } fU) \cap B = \emptyset$ and either \bar{U} has pairwise disjoint forward iterates or for some m, n we have $f^{m+n}\bar{U} \subset f^m\bar{U}$.

Follows from Step B5.

Step B7. *Let $x \in B$ and T be a source side of x . Then for any $V_T(x)$ we have $(\text{int } V_T(x)) \cap B \neq \emptyset$ (and so B is a perfect set).*

Suppose that there exists $V_T(x)$ such that $(\text{int } V_T(x)) \cap B = \emptyset$. We may assume that $V_T(x) \in \mathcal{B}$. By Step B6 and the definition of a source side it is easy to see that $f^n \overline{V_T(x)} \subset \overline{V_T(x)}$ for some n and $\bigcup_{i=0}^{n-1} f^i \overline{V_T(x)} = [0, 1]$. But B is infinite which implies that $(\text{int } f^i V_T(x)) \cap B \neq \emptyset$ for some i . Clearly, it contradicts Step B6.

Step B8. *Let $\phi : [0, 1] \rightarrow [0, 1]$ be the standard continuous monotone increasing surjective map such that for any interval U the set $\phi(U)$ is degenerate if and only if $(\text{int } U) \cap B = \emptyset$. Then ϕ almost conjugates $f|_B$ to a transitive continuous map $g : [0, 1] \rightarrow [0, 1]$.*

The existence of the needed map ϕ is a well-known fact. Moreover, by Steps B6 and B7 one can easily see that there exists the continuous map g with $g \circ \phi = \phi \circ f$. Now let us take any open interval $W \subset [0, 1]$ and prove that its g -orbit is dense in $[0, 1]$. Indeed, by the construction $\phi^{-1}W$ is an open interval containing points from B , so the f -orbit of $\phi^{-1}W$ is dense in $[0, 1]$ which implies that g -orbit of W is dense in $[0, 1]$ as well. So g -orbit of any open set is dense and g is transitive.

Step B9. *$f|_B$ is transitive.*

Follows from Step B8.

Statements a)-c) of Theorem 4.1 are proved. Statements d)-f) follow from the lemmas which will be proved later. Namely in Lemma 9.3 we will prove that $h(g) \geq 1/2 \cdot \ln 2$ provided $g : [0, 1] \rightarrow [0, 1]$ is transitive. Clearly, it implies statement d). In Lemma 8.3 we establish the connection between transitive and mixing maps of the interval into itself and show that $\overline{\text{Per } g} = [0, 1]$ provided $g : [0, 1] \rightarrow [0, 1]$ is transitive; statements e) and f) will follow from Lemma 8.3. These remarks complete the proof of the theorem. Q.E.D.

Corollary 4.4. *Let B be a basic set. Then B is either a cycle of intervals or a*

Cantor set.

Proof. Follows from the fact that B is a perfect set. Q.E.D.

Now we may construct the “spectral” decomposition for the sets $\overline{Per f}$ and $\omega(f)$. However to extend the decomposition to the set $\Omega(f)$ we need some more facts. Let I be a k -periodic interval, $M = orb I$. Set $E(M, f) \equiv \{x \in M: \text{there exists a side } T \text{ of } x \text{ in } M \text{ such that } P_M^T(x) = M\}$ (in the case of a basic set we call such side *a source side*). By Theorem 4.1 if there exists the set $B = B(orb I, f)$ then $E(M, f) = B$. In particular, if $card E(M, f) = \infty$ then $E(M, f) = B(M, f)$. The other possibilities are described in the following

Lemma 4.5. *Let $N = [a, b]$ be an s -periodic interval, $M = orb N$, $E = E(M, f)$ is finite and non-empty. Then $E = orb x$ is a cycle of period k , $M \setminus E$ is an invariant set and one of the following possibilities holds:*

- 1) $k = s, f^s[a, x] = [x, b], f^s[x, b] = [a, x];$
- 2) $k = s$ and either $x = a$ or $x = b;$
- 3) $k = 2s$ and we may assume $x = a, f^s = b.$

Remark. Note that by Theorem 4.1 and Lemma 4.5 $E(M, f) \subset \overline{Per f}$.

Proof. Let us assume $N = M = [0, 1]$. Clearly, f is surjective. Let \mathcal{B} be the family of all intervals complementary to B . As in Steps B5-B6 of the proof of Theorem 4.1 we have that

(E1) *for any $U \in \mathcal{B}$ there exists $V \in \mathcal{B}$ such that $f\bar{U} \subset \bar{V}$.*

Surjectivity of f implies that

(E2) *\mathcal{B} consists of several cycles of intervals; moreover, if $U, V \in \mathcal{B}$ and $f\bar{U} \subset \bar{V}$ then $f\bar{U} = \bar{V}$.*

Let us now consider some cases.

Case 1. *There are no fixed points $a \in (0, 1)$.*

Clearly, Case 1 corresponds to the possibility 2) of the lemma.

Case 2. *There is a fixed point $a \in (0, 1) \setminus E$.*

Let $a \in U = (\alpha, \beta) \in \mathcal{B}$. First assume that $U \not\supset (0, 1)$. Then by E1 we see that \overline{U} is f -invariant and by E2 we see that $\overline{[0, 1] \setminus U}$ is f -invariant. Clearly, it implies that neither α nor β have a source side which is a contradiction.

So we may assume that $U \supset (0, 1)$. First suppose that $0 \in E$ and there exists $x \in (0, 1)$ such that $fx = 0$. Then by Property C1 from Section 2 we see that $(0, 1) \cap E \neq \emptyset$ which is a contradiction. The similar statement holds for 1. We conclude that E is invariant and $M \setminus E$ is invariant.

It remains to show that the possibility “ $E = \{0, 1\}, f(0) = 0, f(1) = 1$ ” is excluded (the other possibilities correspond to the possibilities 2) and 3) of Lemma 4.5); note that we will prove it without making use of the fact that there is a fixed point $a \in (0, 1) \setminus E$. Suppose that $E = \{0, 1\}, f(0) = 0, f(1) = 1$. Then for any $b \in (0, 1)$ neither $[0, b]$ nor $[b, 1]$ are invariant. Choose $\eta < 1$ such that $|x - y| \leq 1 - \eta$ implies that $|fx - fy| \leq \eta$ for any x, y .

Let us show that if $[c, d] \neq [0, 1]$ is invariant then $d - c \leq \eta$. Indeed, otherwise $[0, d]$ and $[c, 1]$ are invariant which is a contradiction. Thus if $J = [c, d]$ is a maximal by inclusion invariant proper subinterval containing the fixed point a then $\lambda(J) \leq \eta$. Suppose that $c \neq 0$. Then by the maximality of J for any $\gamma \in [0, c)$ we get $[0, c] \supset \overline{\bigcup_{i \geq 0} f^i[\gamma, c]}$ and hence $[0, 1] = \overline{\bigcup_{i \geq 0} f^i[\gamma, c]}$ which contradicts the fact that $c \notin E$.

Case 3. *There is a fixed point $a \in (0, 1) \cap E$ and there is no fixed point in $(0, 1) \setminus E$.*

Let $U = (c, a)$ and $V = (a, d)$ be the components of \mathcal{B} . At least one of them is not invariant (because of the fact that $a \in E$). By E1-E2 we have $f[c, a] = [a, d]$ and $f[a, d] = [c, a]$; so $c = 0$ and $d = 1$. But by what has been proved in the end of consideration of Case 2 we have $0 \notin E([0, a], f^2)$ and $1 \notin E([a, 1], f^2)$. Hence $c, d \notin E$, i.e. $E = \{a\}$. Now it is easy to see that $M \setminus E$ is invariant which completes the proof. Q.E.D.

Now let us describe the properties of Ω -basic sets and the set $\Omega(f) \setminus \omega(f)$ (more detailed investigation of the properties of this set one can find in Section 7). To this

end we will need the following theorem of Coven and Nitecki.

Theorem CN [CN]. *Let $f : [0, 1] \rightarrow [0, 1]$ be an arbitrary continuous map of the interval $[0, 1]$ into itself. Then the following statements hold:*

- 1) $\Omega(f) = \Omega(f^n)$ for any odd n ;
- 2) if x has an infinite orbit and $x \in \Omega(f)$ then $x \in \Omega(f^n)$ ($\forall n$);
- 3) if $x \in \Omega(f)$ then $x \in \overline{\bigcup_{n>0} f^{-n}x}$;
- 4) if $0 \in \Omega(f)$ then $0 \in \overline{Per f}$ and if $1 \in \Omega(f)$ then $1 \in \overline{Per f}$.

We will also need Theorem Sh2 which was formulated in Subsection 1.1 of Introduction.

Lemma 4.6. *Let $x \in \Omega(f) \setminus \omega(f)$. Then there exist a number m and an m -periodic interval I such that the following statements are true:*

- 1) $x \in \Omega(f^m)$;
- 2) x is one of the endpoints of I ;
- 3) if x does not belong to a solenoidal set then the following additional facts hold:
a) $x \in B'(orb I, f)$; b) $f^k x \in B(orb I, f)$ provided $f^k x \in int(orb I)$; c) $f^{2m}x \in B(orb I, f)$.

Proof. First of all note that since by Theorem Sh2 $\omega(f) \subset \overline{Per f}$ we have $x \notin \overline{Per f}$. By Theorem CN.4) and Theorem Sh2 we have $x \neq 0, 1$. By Theorem CN.3) we may assume that there exist sequences $n_i \nearrow \infty$ and $x_i \nearrow x$ such that $f^{n_i}x_i = x$ ($\forall i$). Finally by Theorem Sh2 we may assume that there exists $\eta > 0$ such that the interval $(x - \eta, x)$ has disjoint from itself forward iterates and the same is true for the interval $(x, x + \eta)$.

Fix j such that $x_j \in (x - \eta, x)$ and consider the set $\overline{\bigcup_{i \geq n_j} f^i[x_j, x]}$. By Lemma 2.1 there exists a weakly periodic interval $J = [x, z]$ of period u such that $\overline{\bigcup_{i \geq n_j} f^i[x_j, x]} = orb J$ and $orb J \cap [x_j, x) = \emptyset$. Moreover, $\bigcap_{r \geq 0} f^{ru}J = N$ is a u -periodic interval such that $x \in N$ is its endpoint. In other words, we have proved the existence of a periodic interval having x as its endpoint.

Remark that $f^k|[x - \delta, x]$ is not degenerate for any $\delta > 0$ and any positive integer k (otherwise $x \in \text{Per } f$). Moreover, for any positive integer k and any side T of $f^k x$ such that $T \in f^k(L, x)$ we have $x \in P^T(f^k x)$ and if x does not belong to a solenoidal set then $P^T(f^k x)$ is a cycle of intervals. Now if x belongs to a solenoidal set then $\text{orb } x$ is infinite and by Theorem CN.2) x belongs to $\Omega(f^n)$ for any n . So in case when x belongs to a solenoidal set we are done and it remains to consider the case when x does not belong to a solenoidal set.

Note that if $M = [x, \zeta]$ is a periodic interval then $x \notin E(\text{orb } M, f)$. Indeed, by Lemma 4.5 and Theorem 4.1 (see Remark after the formulation of Lemma 4.5) $E(M, f) \subset \overline{\text{Per } f}$ and at the same time $x \notin \overline{\text{Per } f}$ so $x \in E(M, f)$ is impossible. Let us assume that $I = [x, y]$ is the minimal by inclusion periodic interval among all periodic intervals having x as an endpoint. Let I have a period m . Let us consider two possibilities.

A) There exists a positive integer k and a side T of $f^k x$ in $f^k I$ such that $(f^k x, T) \in f^k(x, L)$ (for example this holds provided that $f^k x \in \text{int}(f^k I)$).

Choose the minimal integer k among those existing by the supposition and prove that $f^k x \in E(\text{orb } I, f)$ and $E(\text{orb } I, f) = B(\text{orb } I, f) = B$ is infinite. Indeed, by the minimality of the interval I for any semi-neighborhood $V_T(f^k x)$ we easily have that $\overline{\text{orb } V_T(f^k x)} = \text{orb } I$ and so $f^k x \in E(\text{orb } I, f)$. Now we see that $E(\text{orb } I, f)$ is not an f^{-1} -invariant set; so by Lemma 4.5 the set $E(\text{orb } I, f) = B(\text{orb } I, f) = B$ is infinite. Hence $f^k x \in B$ and by the choice of k we see that $f^v x \notin \text{int}(\text{orb } I)$ for any $0 \leq v < k$. It proves that $x \in B'(\text{orb } I, f)$; moreover, we have also proved statement 3b) of Lemma 4.6.

In the preceding paragraph we have shown that $\overline{\text{orb } V_T(f^k x)} = \text{orb } I$ where T is a side of $f^k x$ in $f^k I$ such that $(f^k x, T) \in f^k(x, L)$; clearly, it implies that $x \in \Omega(f^m)$. Furthermore, if $f^m x \in \text{int } I$ or $f^{2m} x \in \text{int } I$ then $f^{2m} x \in B$. Otherwise we may assume that $f^m x = f^{2m} x = y$; now the fact that $f^k x \in B$ and the choice of k easily

imply that $y = f^{2m}x \in B$ which completes the consideration of the possibility A).

B) *There are no positive integers k and side T of $f^k x$ in $f^k I$ such that $T \in f^k(x, L)$.*

Clearly, we see that $f^m x = f^{2m} x = y$ and $f^{km}(x, L) = (y, R)$ for any $k \geq 1$. Let us consider the set $P^R(y)$. By Lemma 2.2 $P^R(y) = orb K \ni y$ is a cycle of intervals; we may assume that $y \in K$. Clearly, the fact that $f^m(x, L) = (y, R)$ implies that $x \in orb K$ and $[x - \eta, x) \cap orb K = \emptyset$, so x is an endpoint of one of the intervals of $orb K$. Now by the minimality of I we see that x is an endpoint of K and $I \subset K$. Moreover, it is easy to see that $I \neq K$ (otherwise the possibility B) is excluded) which implies that $y \in int K$. At the same time $y \in E(orb K, f)$ by the definition. Repeating now the arguments from the previously considered possibility A) we obtain the conclusion. Q.E.D.

5. The decomposition.

The aim of this section is to prove the Decomposition Theorem. First let us describe intersections between basic sets, solenoidal sets and sets of genus 0.

Lemma 5.1. 1) Let $B_1 = B(\text{orb } I_1, f)$ and $B_2 = B(\text{orb } I_2, f)$ be basic sets, B'_1 and B'_2 be the corresponding Ω -basic sets. Let $B_1 \neq B_2$ and $B_1 \cap B_2 \neq \emptyset$. Finally let A be the union of endpoints of intervals from $\text{orb } I_1$ and endpoints of intervals from $\text{orb } I_2$. Then $B_1 \cap B_2 \subset B'_1 \cap B'_2 \subset A$ and so $B_1 \cap B_2$ and $B'_1 \cap B'_2$ are finite. Moreover, if $x \in B'_1 \cap B'_2$ then x is not a limit point for both B_1 and B_2 from the same side.

2) Intersection of any three Ω -basic sets is empty and intersection of any two basic sets is finite.

Proof. 1) Obviously it is enough to consider the case when $x \in B_1 \cap B_2$. It is easy to see that there is no side T of x such that T is a source side for both $f|_{\text{orb } I_1}$ and $f|_{\text{orb } I_2}$. For the definiteness let L be the only source side of x for $f|_{\text{orb } I_1}$ and R be the only source side of x for $f|_{\text{orb } I_2}$. Let us suppose that $x \in \text{int}(\text{orb } I_2)$ and prove that x is an endpoint of one of the intervals from $\text{orb } I_1$. Indeed, otherwise for open U such that $\text{int}(\text{orb } I_2) \cap \text{int}(\text{orb } I_1) \supset U \ni x$ we have $\overline{\text{orb } U} = \text{orb } I_1 = \text{orb } I_2$ which is a contradiction.

2) Follows from 1). Q.E.D.

Example. Suppose that $g : [0, 1] \rightarrow [0, 1]$ has the following properties:

- 1) $g[0, 1/2] = [0, 1/2]$, $g|_{[0, 1/2]}$ is transitive;
- 2) $g[1/2, 1] = [1/2, 1]$, $g|_{[1/2, 1]}$ is transitive.

Then $B_1 = [0, 1/2]$ and $B_2 = [1/2, 1]$ are basic sets and $B_1 \cap B_2 = \{1/2\}$.

Lemma 5.2. The family of all basic sets of f is at most countable.

Proof. First consider basic sets B with non-empty interiors. Properties of basic sets easily imply that these interiors are pairwise disjoint so the family of such sets is at most countable.

Now let us consider a basic set $B = B(M, f)$ with an empty interior; then by Corollary 4.4 B is a Cantor set. We will show that there exists an interval $W \equiv W(B)$ in M complementary to B and such that its forward iterates are disjoint from itself and its endpoints belong to B and do not coincide with the endpoints of intervals from M . Indeed, denote by \mathcal{B} the family of all complementary to B in M intervals; by Theorem 4.1 they are mapped one into another by the map f . Choose two small intervals $I \in \mathcal{B}$ and $J \in \mathcal{B}$ belonging to the same interval $K \in M$. If one of them is not periodic then it has the required properties. Otherwise we may suppose that $f^N I \subset I$, $f^N J \subset J$ for some N ; moreover, denoting by L the interval lying between I and J we may assume that L is non-degenerate and there are no intervals from $orb I$ or $orb J$ in L . If for some n we have $f^n L \cap I \neq \emptyset$ then one may take as the required interval the subinterval of L which is complementary to B in M and is mapped by f^n in I . The same argument shows that one can find the required interval if $f^m L \cap J \neq \emptyset$. On the other hand if for any i we have $f^i L \cap (I \cup J) = \emptyset$ then we get to the contradiction with the fact that by the definition of a basic set $\overline{orb L} = M \supset K \supset (I \cup J)$.

Now suppose that there are two basic sets $B_1 \neq B_2$; then it is easy to see that $W(B_1) \cap W(B_2) = \emptyset$. Indeed, $\overline{W(B_1)}$ and $\overline{W(B_2)}$ have no common endpoints (otherwise by Lemma 5.1 these points are endpoints of intervals from generating B_1 and B_2 cycles of intervals which contradicts the choice of $W(B_1)$ and $W(B_2)$). On the other hand by the choice of $W(B_2)$ no endpoints of $\overline{W(B_1)}$ can belong to $W(B_2)$ because the endpoints of $\overline{W(B_1)}$ are non-wandering. Similarly no endpoints of $\overline{W(B_2)}$ belong to $W(B_1)$. Hence $W(B_1) \cap W(B_2) = \emptyset$ which implies that the family of intervals $W(B)$ and the family of all basic sets are at most countable. Q.E.D.

Lemma 5.3 1) Let $I_0 \supset I_1 \supset \dots$ be generating intervals and $Q = \bigcap_{j \geq 0} orb I_j$. Then $Q \cap B = \emptyset$ for any basic set B and if $J_0 \supset J_1 \supset \dots$ are generating intervals and $Z = \bigcap_{i \geq 0} orb J_i$ then either $Z \cap Q = \emptyset$ or $Z = Q$.

2) There is at most countable family of those solenoidal sets $Q = \bigcap_{j \geq 0} orb I_j$ which

have non-empty interiors.

Proof. The proof easily follows from the properties of solenoidal sets (Theorem 3.1) and is left to the reader. Q.E.D.

Now we can prove the Decomposition Theorem. Recall that by X_f we denote the union of all limit sets of genus 0 of a map f .

Theorem 5.4 (Decomposition Theorem)[B14,B17]. *Let $f : [0, 1] \rightarrow [0, 1]$ be a continuous map. Then there exist an at most countable family of pairs of basic and Ω -basic sets $\{B_i \subset B'_i\}$ and a family of collections of solenoidal sets of corresponding types $\{S^{(\alpha)} \subset S_p^{(\alpha)} \subset S_\omega^{(\alpha)} \subset S_\Omega^{(\alpha)} \subset Q^{(\alpha)}\}_{\alpha \in A}$ with the following properties:*

- 1) $\Omega(f) = X_f \cup (\bigcup_\alpha S_\Omega^{(\alpha)}) \cup (\bigcup_i B'_i)$;
- 2) $\omega(f) = X_f \cup (\bigcup_\alpha S_\omega^{(\alpha)}) \cup (\bigcup_i B_i)$;
- 3) $\overline{Per f} = X_f \cup (\bigcup_\alpha S_p^{(\alpha)}) \cup (\bigcup_i B_i)$;
- 4) the set $S_\Omega^{(\alpha)} \setminus S^{(\alpha)}$ is at most countable set of isolated points, the set $\{\alpha : \text{int } Q^{(\alpha)} \neq \emptyset\}$ is at most countable and $S^{(\alpha)} = Q^{(\alpha)}$ for all other $\alpha \in A$;

5) intersections in this decomposition are possible only between different basic or Ω -basic sets, each three of them have an empty intersection and the intersection of two basic sets or two Ω -basic sets is finite.

Remark. Note that in statement 5) of the Decomposition Theorem we do not take into account intersections between a basic set and an Ω -basic set with the same subscript or between different solenoidal sets with the same superscripts.

Proof of the Decomposition Theorem. We start with statement 2). Let us consider some cases assuming that $x \in \omega(f)$. If $x \in X_f$ then we have nothing to prove. If $x \in Q$ for some solenoidal set Q then by Theorem 3.1 $x \in S_\omega^{(\alpha)}$ for the corresponding solenoidal set $S_\omega^{(\alpha)}$. Thus we may assume that $x \notin X_f \cup (\bigcup_\alpha Q_\omega^{(\alpha)})$. Hence there exists $\omega(z) \ni x$ such that $\omega(z)$ is neither a cycle nor a solenoidal set. Clearly, we may assume that $\omega(z)$ is infinite.

Let us construct a special cycle of intervals $orb I$ such that $x \in B(orb I, f)$. Recall

that we say that a point y is a *limit point of orb* ξ from the side T or that a side T is a *limit side of* $y \in \omega(\xi)$ if for any open semi-neighborhood $W_T(y)$ we have $W_T(y) \cap \text{orb}\xi \neq \emptyset$. If T is a limit side of $x \in \omega(z)$ then by Property P4 $P^T(x) \supset \omega(z)$ and hence $P^T(x) = \text{orb}I$ is a cycle of intervals. Moreover, the fact that $\omega(z) \subset P^T(x)$ is infinite implies that if $\zeta \in \omega(z)$ and N is a limit side of $\zeta \in \omega(z)$ then N is a side of ζ in $P^T(x)$. Thus $P^N(\zeta) \subset P^T(x)$; the converse is also true and thus $P^N(\zeta) = P^T(x) = \text{orb}I$ for any $\zeta \in \omega(z)$ and any limit side N of ζ . By the definition we have $\omega(z) \subset E(\text{orb}I, f) = B(\text{orb}I, f)$ which proves statement 2).

It remains to note that now statement 1) follows from Lemma 4.6, statement 3) follows from Theorem 3.1 and Theorem 4.1, statement 4) follows from Theorem 3.1 and Corollary 3.2 and statement 5) follows from Lemma 5.1 and Lemma 5.3. Moreover, the family of all basic sets is at most countable by Lemma 5.2. It completes the proof. Q.E.D.

Corollary 5.5. *For an arbitrary $x \in [0, 1]$ one of the following possibilities holds:*

- 1) $\omega(x)$ is a set of genus 0;
- 2) $\omega(x)$ is a solenoidal set;
- 3) $\omega(x) \subset \text{orb}I$ where $\text{orb}I$ is cycle of intervals and $f|_{\text{orb}I}$ is transitive;
- 4) $\omega(x) \subset B$ for some basic set B , B is a Cantor set and if x does not belong to a wandering interval then $\omega(x)$ is a cycle or $f^n x \in B$ for some n .

Proof. The proof is left to the reader. Q.E.D.

6. Limit behavior for maps without wandering intervals

In this section we describe topologically generic limit sets for maps without wandering intervals.

We will need the following notions: $Z_f \equiv \{x : \omega(x) \text{ is a cycle}\}$, $Y_f \equiv \text{int} Z_f$.

Lemma 6.1. *A map $f : [0, 1] \rightarrow [0, 1]$ has no wandering intervals if and only if the*

set Z_f is dense.

Proof. By the definition if a map f has a wandering interval J then Z_f is not dense because $\text{int } J \cap Z_f = \emptyset$. Now suppose that f has no wandering intervals and at the same time there is an interval I such that $I \cap Z_f = \emptyset$ (and so Z_f is not dense). Let us show that I is a wandering interval. Suppose that there exist n and m such that $f^n I \cap f^{n+m} I \neq \emptyset$. Hence the set $\bigcup_{i=0}^{\infty} f^{n+im} I = K$ is an interval, $f^m K \subset K$ and on the other hand K contains no cycle of f . It is easy to see now that all points from $\text{int } K$ tend under iterations of f^m to one of the endpoints of \overline{K} which is a periodic point of f . In other words all points from $\text{int } K$ belong to Z_f which is a contradiction. So I has pairwise disjoint forward iterates. But $I \cap Z_f = \emptyset$ and so I is a wandering interval which is a contradiction. This completes the proof. Q.E.D.

Remark that all solenoidal sets of f are in fact solenoids provided f has no wandering intervals.

Theorem 6.2[B18]. *Let f have no wandering intervals. Then there is a residual set $G \subset [0, 1]$ such that for any $x \in G$ one of three possibilities holds:*

- 1) $\omega(x)$ is a cycle;
- 2) $\omega(x)$ is a solenoid;
- 3) $\omega(x) = \text{orb } I$ is a cycle of intervals.

Proof. Let us investigate the set $\Gamma_f = [0, 1] \setminus Y_f$. It is easy to see that Γ_f has the following properties:

- NW1. Γ_f is closed and invariant;
- NW2. $f|_K$ is non-degenerate for any interval $K \subset \Gamma_f$;
- NW3. for any non-degenerate component I of Γ_f there exist a non-degenerate component J of Γ and integers m, n such that J is a weakly m -periodic interval and $f^n I \subset J$.

Clearly, property NW2 easily implies the following property:

- NW4. if there are two intervals L, M , $fL \subset M$ and, moreover, W is a residual

subset of M then $f^{-1}W \cap L$ is a residual subset of L .

Thus it remains to show that if an interval J is a weakly periodic component of Γ_f then Theorem 6.2 holds for $f|_{orb J}$. We may assume that $J = [0, 1]$. Then $Y_f = \emptyset$ and $f|_K$ is non-degenerate for any open interval K . Let B be a nowhere dense basic set. Then $f^{-n}B$ is nowhere dense for any n . On the other hand by Lemma 5.2 the family of all basic sets is at most countable. Let $D_f = \{x : \text{there is no nowhere dense basic set } B \text{ such that } f^l x \in B \text{ for some positive integer } l\}$. Clearly, it follows from what we have shown that D_f is residual in $[0, 1]$ and by Corollary 4.5 for $x \in D_f$ one of the following three possibilities holds:

- i) $\omega(x)$ is a cycle;
- ii) $\omega(x)$ is a solenoid;
- iii) there is a cycle of intervals $orb I$ such that $f|_{orb I}$ is transitive and $\omega(x) \subset orb I$.

Denote by \mathcal{T} the family of all cycles of intervals $orb I$ such that $f|_{orb I}$ is transitive. Suppose that there are chosen residual invariant subsets $\Pi_{orb I}$ of any cycle of intervals $orb I \in \mathcal{T}$. Now instead of condition iii) let us consider the following condition:

- iii*) there is a cycle of intervals $orb I \in \mathcal{T}$ such that $orb x$ eventually enters the set $\Pi_{orb I}$.

Then it is easy to show that the set G_Π of all the points for which one of the conditions i), ii) and iii*) is fulfilled is a residual subset of $[0, 1]$. Indeed, since D_f is residual in $[0, 1]$ we may assume that $D_f = \bigcap_{i=0}^{\infty} H_i$ where H_i is an open dense in $[0, 1]$ set for any i . Consider the set $R = \{x : orb x \text{ enters an interior of some cycle of intervals } orb I \in \mathcal{T}\}$. Then R is an open subset of D_f . Now set $T_i \equiv int(H_i \setminus R)$ and replace every H_i by $H'_i = R \cup T_i$. Then T_i is an open set, $T_i \cap R = \emptyset$ for any i and H'_i is dense in $[0, 1]$ so that $D'_f = \bigcap H'_i$ is a residual in $[0, 1]$ set.

At the same time by the choice of sets $\Pi_{orb I}$ and by the property NW4 we may conclude that preimages of points from the set $\bigcup_{orb I \in \mathcal{T}} \Pi_{orb I}$ form a residual subset of R . So by what we have proved in the previous paragraph it implies that the set of

the points for which one of the conditions i), ii) and iii*) is fulfilled is a residual subset of $[0, 1]$. To prove Theorem 6.2 it is enough to observe now that one can choose as $\Pi_{orb I}$ the set of all points in $orb I$ with dense in $orb I$ orbit. However, in Section 10 we will show that choosing sets $\Pi_{orb I}$ in a different way one can further specify the limit behavior of generic points whose orbits are dense in cycles of intervals. Q.E.D.

7. Topological properties of the sets $Per f$, $\omega(f)$ and $\Omega(f)$

In this section we are going mostly to investigate the properties of the set $\Omega(f) \setminus \overline{Per f}$. Set $A(x) \equiv (\bigcup_{n \geq 0} f^{-n}x) \cap \Omega(f)$.

Lemma 7.1. 1) If $x \notin \Omega(f)$ then $A(x) = \emptyset$.

2) Let $x \in \Omega(f)$, $I \ni x$ be a weakly periodic interval and $f^n x \in \text{int}(orb I)$ for some n . Then $A(x) \subset orb I$.

3) Let $x \in \Omega(f) \setminus \overline{Per f}$, I be periodic interval such that x is an endpoint of I . Then $A(x) \cap \text{int}(orb I) = \emptyset$.

Proof. The proof is left to the reader; note only that statement 3) follows from Theorem CN.4) (Theorem CN was formulated in Section 4). Q.E.D.

Corollary 7.2. Let $x \in \Omega(f) \setminus \overline{Per f}$, I be periodic interval such that x is an endpoint of I and $f^n x \in \text{int}(orb I)$ for some n . Then $A(x) \subset \partial(orb I)$.

Proof. Follows immediately from Lemma 7.1, statements 2) and 3). Q.E.D.

Lemma 7.3. If $x \in \Omega(f) \setminus \omega(f)$ then there exists a periodic interval J such that x is an endpoint of J and $A(x) \subset \partial(orb J)$; if x does not belong to a solenoidal set then we may also assume that $x \in B'(orb J, f)$.

Proof. By Lemma 4.6 we may assume that there exists a periodic interval $I = [x, y]$ having x as one of its endpoints and if, moreover, x does not belong to a solenoidal set then $x \in B'(orb I, f)$. Let us consider two possibilities.

1) There exists k such that $f^k x \in \text{int}(orb I)$.

Then by Corollary 7.2 $A(x) \subset \partial(\text{orb } I)$ which proves Lemma 7.3 in this case.

2) For any k we have $f^k x \notin \text{int}(\text{orb } I)$.

Then by Lemma 4.6 we may assume that $x \in B'(\text{orb } I, f)$, $I = [x, y]$ has a period m and $f^m x = y = f^m y$. By Lemma 7.1.3) $A(x) \cap \text{int}(\text{orb } I) = \emptyset$. Suppose $A(x) \not\subset \partial(\text{orb } I)$ and show that there exists a periodic interval J such that $x \in B'(\text{orb } J, f)$ and $A(x) \subset \partial(\text{orb } J)$.

Indeed, if $A(x) \not\subset \partial(\text{orb } I)$ then there exists $z \in A(x) \setminus \text{orb } I$. By Lemma 4.6 $z \in B'(\text{orb } J, f)$ for some n -periodic interval J . We may assume $x \in J$; then $f^{nm} x = y \in f^{nm} J = J$ and thus $I = [x, y] \subset J$, $I \neq J$. Clearly, $x \in B'(\text{orb } J, f) \setminus B(\text{orb } J, f)$ because $z \in B'(\text{orb } J, f)$ is a preimage of x under the corresponding iteration of f and at the same time $x \notin \omega(f)$; so x is an endpoint of $J = [x, \zeta]$. Hence $f^m x = y \in \text{int } J$ and as in case 1) we see that by Corollary 7.2 $A(x) \subset \partial(\text{orb } J)$. This completes the proof. Q.E.D.

To formulate the next corollary connected with the results from [Y] and [N] we need some definitions. Let c be a local extremum of f . It is said to be an *o-extremum* in the following cases:

1) c is an endpoint of an interval $[c, b]$ such that i) $f|_{[c, b]}$ is degenerate, ii) f is not degenerate in any neighborhood of each c and b , iii) c and b are either both local minima or both local maxima;

2) there is no open interval (c, b) such that $f|_{(c, b)}$ is degenerate (note that neither in case 1) nor in case 2) we assume that $c < b$).

In [Y] the following theorem was proved.

Theorem Y. *Let $f : [0, 1] \rightarrow [0, 1]$ be a pm-map and $x \in \Omega(f) \setminus \overline{\text{Per } f}$. Then there exists $n > 0$ and a turning point c such that $f^n c = x$.*

On the other hand [N1] contains the following

Theorem N. *If f is a pm-map then $\overline{\text{Per } f} = \omega(f)$.*

Remark. Note, that Theorem N may be also easily deduced from Lemma PM2 (see

Subsection 1.11 of Introduction) and the Decomposition Theorem.

So the following Corollary 7.4 generalizes Theorem Y.

Corollary 7.4. *If $x \in \Omega(f) \setminus \omega(f)$ then there exist an o-extremum c and $n > 0$ such that $f^n c = x$.*

Proof. Take the interval J existing for the point x by Lemma 7.3. Then $f|_{orb J}$ is a surjective map and at the same time x is not a periodic point. Hence we may choose the largest n such that there exists an endpoint y of an interval from $orb J$ with the following properties: $f^n y = x$ and $y, fy, \dots, f^n y$ are endpoints of intervals from $orb J$. Then by the choice of y there exists a point z and an interval $[a, b]$ from $orb J$ such that $z \in (a, b)$, $fz = y$, $fa \neq y$, $fb \neq y$. Now it is easy to see that we may assume z to be an o-extremum. Q.E.D.

Remark. Corollary 7.4 was also proved in the recent paper [Li] (see Theorem 2 there).

Theorem 7.5 describes another sort of connection between the sets $\omega(f)$ and $\Omega(f)$.

Theorem 7.5[B11],[B17]. $\omega(f) = \bigcap_{n \geq 0} f^n \Omega(f)$.

Remark. Another proof of Theorem 7.5 may be found in [BCo].

Proof. By the properties of limit sets for any z we have $f\omega(z) = \omega(z)$. It implies that $\omega(f) = f\omega(f) \subset \bigcap_{n \geq 0} f^n \Omega(f)$. At the same time by Lemma 7.3 the set $A(x)$ is finite for any $x \in \Omega(f) \setminus \omega(f)$. So by the definition of $A(x)$ we see that if $x \in \Omega(f) \setminus \omega(f)$ then $x \notin \bigcap_{n \geq 0} f^n \Omega(f)$ which implies the conclusion. Q.E.D.

Finally in the following Theorem 7.6 we study the structure of the set $\Omega(f) \setminus \overline{Per f}$.

Theorem 7.6. *Let $U = (a, b)$ be an interval complementary to $\overline{Per f}$. Then up to the orientation one of the following four possibilities holds.*

- 1) $\Omega(f) \cap U = \emptyset$.
- 2) $\Omega(f) \cap U = \{x_1 < x_2 < \dots < x_n\}$ is a finite set, $card(orb x_1) < \infty, \dots, card(orb x_{n-1}) < \infty$, $(\bigcup_{i=1}^{n-1} x_i) \cap \omega(f) = \emptyset$ and there exist periodic intervals $J_i =$

$[x_i, y_i]$ such that $x_i \in B'(\text{orb } J_i, f)$ for $1 \leq i \leq n-1$ and $J_i \supset J_{i+1}$ for $1 \leq i \leq n-2$. Moreover, for x_n there exist two possibilities: a) x_n belongs to a solenoidal set; b) x_n belongs to an Ω -basic set $B'(\text{orb } J_n, f)$ where $J_n = [x_n, y_n] \subset J_{n-1}$.

3) $\Omega(f) \cap U = (\bigcup_{i=1}^{\infty} x_i) \cup x$, $x_1 < x_2 < \dots$, $x = \lim x_i$, and there exist generating intervals $J_i = [x_i, y_i]$ such that:

a) $x_i \in B'(\text{orb } J_i, f)$, $\text{card}(\text{orb } x_i) < \infty$ ($\forall i$) and $(\bigcup_{i=1}^{\infty} x_i) \cap \omega(f) = \emptyset$;

b) $x \in S_{\omega}(\{\text{orb } J_i\}_{i=1}^{\infty}) = \omega(f) \cap (\bigcap_{i=1}^{\infty} \text{orb } J_i)$.

4) $\Omega(f) \cap U = \bigcup_{i=1}^{\infty} x_i$, $x_1 < x_2 < \dots$, $\lim x_i = b$, $\text{card}(\text{orb } x_i) < \infty$ ($\forall i$), $(\bigcup_{i=1}^{\infty} x_i) \cap \omega(f) = \emptyset$ and there exist periodic intervals $J_i = [x_i, y_i]$ such that $x_i \in B'(\text{orb } J_i, f)$, $J_i \supset J_{i+1}$ ($\forall i$) and $\bigcap_{i=1}^{\infty} J_i = \{b\}$. Moreover, either periods of J_i tend to infinity, $\{J_i\}$ are generating intervals and b belongs to the corresponding solenoidal set, or periods of J_i do not tend to infinity and b is a periodic point.

In any case $\text{card}\{\omega(f) \cap U\} \leq 1$.

Remark. Some results related to Theorem 7.6 are proved in [BCo] (see the corresponding remark in Subsection 1.7).

Proof. We divide the proof into steps.

Step A. $\text{card}\{\omega(f) \cap U\} \leq 1$ and if $x \in \omega(f) \cap U$ then x belongs to a solenoidal set.

If $x \in \omega(f) \cap U$ then by the Decomposition Theorem there exists a solenoidal set $Q \ni x$; so if $x \in \omega(f) \cap U$ then $\text{card}(\text{orb } x) = \infty$. Now it follows from Theorem 3.1 and Corollary 3.2 that if J is the component of Q containing x then up to the orientation we may assume that $J = [x, b]$ and, moreover, J is a wandering interval.

Suppose that there exists $y \in \omega(f) \cap U$, $y \neq x$. Then the fact that J is a wandering interval implies that $a < y < x$. Moreover, similarly to what we have seen in the previous paragraph it is easy to see now that there exists a solenoidal set \tilde{Q} such that $K = [a, y]$ is its component. By the properties of solenoidal sets there exist intervals $M = [\tilde{a}, \tilde{y}]$ and $N = [\tilde{x}, \tilde{b}]$ such that $y < \tilde{y} < \tilde{x} < x$ and $f^n M = M$, $f^n N = N$ for some n . Clearly, it implies that $f^n[\tilde{y}, \tilde{x}] \supset [\tilde{y}, \tilde{x}]$ and so there exists a point $z \in [\tilde{y}, \tilde{x}]$

such that $f^n z = z$ which is a contradiction. So $\text{card}\{\omega(f) \cap U\} \leq 1$.

Step B. $\Omega(f) \cap U$ has in U at most one limit point, which necessarily belongs to some solenoidal set S_ω .

By Theorem Sh2 limit points of $\Omega(f)$ belong to $\omega(f)$. Thus Step B follows from Step A.

Let J be a periodic interval and suppose that one of the endpoints of J belongs to U . Then the endpoint of U belonging to J is uniquely determined; we denote this endpoint of U by $e = e(J)$.

Step C. The point e is uniquely defined and does not depend on J .

Clearly, it is sufficient to show that there is no pair of periodic intervals $I = (a', y)$ and $J = (x, b')$ where $x, y \in U, a' < a, b' > b$. To prove this fact observe that if these intervals existed then the interval K with endpoints x, y would have the property $f^n K \supset K$ for some n which is impossible.

In the rest of the proof we assume that $e = b$.

Step D. If $z \in \Omega(f) \cap U$ and $\text{orb } z$ is infinite then $[z, b]$ has pairwise disjoint forward iterates.

If z belongs to a solenoidal set then Step D is trivial by the properties of solenoidal sets (see Theorem 3.1 and Corollary 3.2). So we may assume that there exists a periodic interval $J = [z, c]$ such that $z \in B'(\text{orb } J, f) = B'$. Hence there exists an interval $[z, d]$, $d \geq b$, which is a complementary to $B(\text{orb } J, f) = B$ in $\text{orb } J$ interval. If $[z, d]$ does not have pairwise disjoint iterates then there exists a weakly periodic interval K which is a complementary to B in $\text{orb } J$ interval and, moreover, $f^m z \in \text{int}(\text{orb } K)$ for large m . At the same time by the definition of an Ω -basic set $f^m z \in B$ for a large m . Clearly, this is a contradiction.

Step E. If $x, y \in \Omega(f) \cap U, x < y$, then $\text{card}(\text{orb } x) < \infty$ and x belongs to an Ω -basic set $B'(\text{orb } [x, d], f)$ for some periodic interval $[x, d]$.

If $\text{card}(\text{orb } x) = \infty$ then $[x, b]$ has pairwise disjoint forward iterates which is

impossible because $y \in \Omega(f) \cap (x, b)$. Hence $\text{card}(\text{orb } x) < \infty$; by the Decomposition Theorem it implies that x belongs to an Ω -basic set $B'(\text{orb } [x, d], f)$ for some periodic interval $[x, d]$.

Step F. Let $x, y \in \Omega(f) \cap U$, $x < y$, $x \in B'(\text{orb } J, f)$ and $y \in B'(\text{orb } I, f)$, where $J = [x, c]$ and $I = [y, d]$. Then $d < c$.

Suppose that $c \leq d$. Then by Step C those iterations of I which do not coincide with I have empty intersections with U . Thus by the definition of a basic set we have $B(\text{orb } J, f) \cap (y, c) = \emptyset$. Moreover, by the Decomposition Theorem $B(\text{orb } J, f) \subset \overline{\text{Per } f}$ and so $[x, y] \cap B(\text{orb } J, f) = \emptyset$. Hence $B(\text{orb } J, f) \cap J \subset \{c\}$ which contradicts the definition of a basic set.

Step G. The point a is not a limit point of $\Omega(f) \cap U$.

Suppose that a is a limit point of $\Omega(f) \cap U$. We may assume that $x_{-i} \searrow a$ while $i \rightarrow \infty$ and (by Step E) that $\text{card}(\text{orb } x_{-i}) < \infty$ ($\forall i > 0$). By Step F we may assume also that for any $i > 0$ there exists an n_i -periodic interval $J_i = [x_{-i}, d_i]$ such that $x_{-i} \in B'(\text{orb } J_i, f)$ and $J_{i+1} \supset J_i$ ($\forall i > 0$). Clearly, we may assume that $n_i = 1$ ($\forall i > 0$). Indeed, as we have just shown $J_{i+1} \supset J_i$, so periods of J_i decrease and hence become equal to some constant; we will consider the case when this constant is 1, the arguments in the general case are similar.

By the definition and Theorem 4.1 $B(\text{orb } J_{i+1}, f) = B_{i+1} \subset [d_i, d_{i+1}]$ for any $i > 0$. Indeed, basic sets belong to $\overline{\text{Per } f}$, so $B_{i+1} \cap U = \emptyset$ and $B \subset [b, d_{i+1}]$. But by the definition of a basic set and the fact that $[x_{-i}, d_i]$ is invariant we see that there are no points of B_{i+1} in $[b, d_i)$ which implies that $B_{i+1} \subset [d_i, d_{i+1}]$.

Let us choose $i > 0$ such that for any y, z we have $|fz - fy| < |d_1 - x_{-1}|$ provided $|z - y| < d_{i+1} - d_i$; clearly, it is possible because $d_{i+1} - d_i \rightarrow 0$ while $i \rightarrow \infty$. We are going to show that the interval $[x_{-i}, d_{i+1}]$ is invariant. Indeed, let $z \in [x_{-i}, d_{i+1}]$. If in fact $z \in [x_{-i}, d_i]$ then $fz \in [x_{-i}, d_i] \subset [x_{-i}, d_{i+1}]$. If $z \in [d_i, d_{i+1}]$ then by the choice of i we see that $|fz - f\zeta| < |d_1 - x_{-1}|$ for any $\zeta \in [d_i, d_{i+1}]$. Choose any $\zeta \in B_{i+1} \subset$

$[d_i, d_{i+1}]$; then $f\zeta \in B_{i+1} \subset [d_i, d_{i+1}]$ as well and so $|d_1 - x_{-1}| > |fz - f\zeta| > |fz - d_i|$ which implies that $fz \in [x_{-i}, d_{i+1}]$. Hence $[x_{-i}, d_{i+1}]$ is invariant which contradicts the definition of a basic set and the existence of the basic set B_{i+1} .

Recall that by Steps B and G the set $\Omega(f) \cap U$ has at most one limit point which we denote by x . By Step G $x \neq a$. Now if $x = b$ then $\Omega(f) \cap U < b$. If $x \in U$ then by Step B x belongs to some solenoidal set S_ω and the fact that $e = b$ (see Step C) implies that $[x, b]$ is a wandering interval and so all non-limit points of $\Omega(f) \cap U$ are less than x . This observation shows that the formulation of Step H is correct.

Step H. *Let $\Omega(f) \cap U \supset \{x_i\}_{i=0}^\infty$ where $\{x_i\}_{i=0}^\infty$ is the whole set of non-limit points of $\Omega(f) \cap U$; moreover, let $x_0 < x_1 < \dots$, $x_n \rightarrow x$. Then there exist periodic intervals $J_i = [x_i, d_i]$, $J_0 \supset J_1 \supset \dots$ such that $x_i \in B'(orb J_i, f)$ ($\forall i$) and $\cap J_i = [x, b]$. Moreover, if periods of the intervals J_i tend to infinity then $[x, b]$ belongs to a solenoidal set and either $x = b$ and $\Omega(f) \cap U = \{x_i\}_{i=0}^\infty$ or $x < b$ and $\Omega(f) \cap U = \{x_i\}_{i=0}^\infty \cup \{x\}$. On the other hand, if periods of J_i do not tend to infinity then $x = b$ and so $\cap J_i = \{b\}$.*

The existence of the intervals $J_i = [x_i, d_i]$ such that $x_i \in B'(orb J_i, f)$ and $J_i \supset J_{i+1}$ ($\forall i$) follows from Steps E and F. If periods of J_i tend to infinity then the required property follows from the properties of solenoidal sets (Theorem 3.1, Corollary 3.2). Now suppose that periods of J_i do not tend to infinity; consider the case when all J_i are invariant (i.e. have period 1), the general case may be considered in the similar way.

We are going to show that $\cap J_i = \{b\}$. Indeed, let $\cap J_i = [b', d']$, $b' < d'$; then clearly $\lim x_i = b' \leq b$. Choose i such that for any y, z we have $|fz - fy| < d' - b'$ provided $|z - y| < |d_i - d'|$. Now repeating all the arguments from Step G we get the same contradiction. Indeed, for any i the set $B(orb J_i, f) = B_i$ has an empty intersection with $[x_i, b')$ because $B_i \subset \overline{Per f}$ by Theorem 4.1 and at the same time there is no points of $\overline{Per f}$ in $[x_i, b')$. On the other hand the choice of i and the fact that B_i is invariant imply (as in Step G) that $[b', d_i]$ is an invariant interval

which contradicts the definition of a basic set and the existence of the set B_i . This contradiction shows that $b' = d' = b$ which completes Step H.

Now let us consider different cases depending on the properties of the set $\Omega(f) \cap U$. First of all let us note that the properties of points $x \in \Omega(f) \cap U$ such that $(x, b) \cap \Omega(f) \neq \emptyset$ are fully described in Steps E and F; together with the definitions it completes the consideration of case 2) and proves the corresponding statements from the other cases. Furthermore, by Step B we see that $\Omega(f) \cap U$ has at most one limit point in U and if so then Steps E-H imply case 3) and also the first part of case 4) of Theorem 7.4. The second part of case 4) follows from Step H. This completes the proof of Theorem 7.4. Q.E.D.

8. Transitive and mixing maps

In this section we will investigate the properties of transitive and mixing interval maps which are closely related to the properties of maps on their basic sets as it follows from Theorem 4.1. Let us start with the following simple

Lemma 8.1[B17]. *Let $f : [0, 1] \rightarrow [0, 1]$ be a transitive map, $x \in (0, 1)$ be a fixed point, $\eta > 0$. Then there exists $y \in (x, x + \eta)$ such that $f^2y > y$ or $y \in (x - \eta, x)$ such that $f^2y < y$.*

Proof. First suppose there is a point $z \in (x, x + \eta)$ such that $fz > z$. Then choose the maximal fixed point ζ among fixed points which are smaller than z . Clearly, if we take $y > \zeta$ close enough to ζ we will see that $f^2y > y$. Moreover, we can similarly consider the case when there is a point $z \in (x - \eta, x)$ such that $fz < z$. So we may assume that for points from $(x - \eta, x + \eta)$ we have $fz < z$ if $x < z$ and $fz > z$ if $x > z$.

Now choose $\delta > 0$ such that $\delta < \eta, f[x, x + \delta] \subset (x - \eta, x + \eta)$. The map f is transitive so $f[x, x + \delta] = [a, b]$ where $a < x$ and $b \geq x$. Moreover, by the transitivity

of f one can easily see that there is a point $d \in [a, x]$ such that $fd > x + \delta$ (otherwise $[a, x + \delta]$ is an invariant interval). Take $y \in [x, x + \delta]$ such that $fy = d$; clearly, y is the required point. Q.E.D.

Lemma 8.2. *Let $f : [0, 1] \rightarrow [0, 1]$ be a transitive map, $\eta > 0$. Then there exist a fixed point $x \in (0, 1)$, a periodic point $y \in (0, 1)$, $y \neq x$ with minimal period 2 and an interval $U \subset [x - \eta, x + \eta]$ such that $x \in U \subset fU$.*

Proof. The existence of a fixed point in $(0, 1)$ easily follows from the transitivity of f . Let us show that there exists a point y of minimal period 2. We may assume that 1 is not a periodic point of minimal period 2. Suppose that x is a fixed point and there exists $\varepsilon > 0$ such that for points from $(x - \varepsilon, x + \varepsilon)$ we have $fz < z$ if $x < z$ and $fz > z$ if $x > z$. By Lemma 8.1 there exists, say, $\zeta \in (x, x + \varepsilon)$ such that $f^2\zeta > \zeta$. Now if there are no fixed points in $(x, 1]$ then set $\xi = 1$; otherwise let ξ be the nearest to ζ fixed point which is greater than ζ . By the construction for any $\alpha \in (\zeta, \xi)$ we have $f\alpha < \alpha$; it easily implies that if a point $\beta \in (\zeta, \xi)$ is sufficiently close to ξ then $f^2\beta < \beta$. Together with $f^2\zeta > \zeta$ it shows that there is a periodic point $y \in (\zeta, \beta)$ such that $f^2y = y$; at the same time by the choice of ξ we have $fy \neq y$, so the minimal period of y is 2.

Now suppose that there is no fixed point x for which there exists $\varepsilon > 0$ such that for points from $(x - \varepsilon, x + \varepsilon)$ we have $fz < z$ if $x < z$ and $fz > z$ if $x > z$. Then clearly, there are at least two fixed points, say, a and b , and we may assume that $a < b$ and $z < fz$ for $z \in (a, b)$. Let us show that $a \in f[b, 1]$. Indeed, otherwise $I = [b, 1] \cup f[b, 1] \neq [0, 1]$ is an f -invariant interval which contradicts the transitivity. Choose the smallest $c \in [b, 1]$ such that $fc = a$; then $b < c$. It is easy to see that again by the transitivity there exists $d \in (a, c)$ such that $fd = c$. Choose the fixed point a' in such a way that the interval (a', d) does not contain fixed points. Then for z sufficiently close to a' we have $f^2z > z$ which together with the fact that $f^2d = a < d$ implies that there is a periodic point $y \in (z, d)$ of minimal period 2.

The proof of the existence of the interval $U \subset (x - \eta, x + \eta)$ with $x \in U \subset fU$ uses arguments similar to those from Lemma 8.1. Indeed, if there is a point $z \in (x, x + \delta)$ such that $fz > z$ or $z \in (x - \delta, x)$ such that $fz < z$ then it is sufficient to take $U = (x, z)$. So we may assume that for points from $(x - \eta, x + \eta)$ we have $fz < z$ if $x < z$ and $fz > z$ if $x > z$. Now take a point $y \in (x - \eta, x + \eta)$ which exists by Lemma 8.1; we may assume that $y \in (x, x + \delta)$, $f(x, x + \delta) \subset (x - \eta, x + \eta)$ and $f^2y > y$. Then it is easy to see that $U = [x, y] \cup f[x, y]$ is the required interval. Q.E.D.

Lemma 8.3[B17]. *Let $f : [0, 1] \rightarrow [0, 1]$ be a transitive map. Then one of the following possibilities holds:*

1) *the map f is mixing and, moreover, for any $\eta > 0$ and any non-degenerate interval U there exists n_0 such that $f^n U \supset [\eta, 1 - \eta]$ for any $n > n_0$;*

2) *the map f is not mixing and, moreover, there exists a fixed point $a \in (0, 1)$ such that $f[0, a] = [a, 1]$, $f[a, 1] = [0, a]$, $f^2|_{[0, a]}$ and $f^2|_{[a, 1]}$ are mixing.*

In any case $\overline{Per f} = [0, 1]$.

Proof. 1) First suppose there exists a fixed point $x \in (0, 1)$ such that $x \in \text{int } f[0, x]$ or $x \in \text{int } f[x, 1]$. To be definite suppose that $x \in \text{int } f[0, x]$ and prove that f is mixing and has all the properties from statement 1). Clearly, we may assume that $x \in \text{int } f[b, x]$ for some $0 < b < x$. By Lemma 8.2 there exists a closed interval $U \subset f[0, x]$ such that $x \in U \subset fU$. Let V be any open interval. By Lemma 2.1 the set $[0, 1] \setminus \bigcup_{m \geq 0} f^m V$ is finite. On the other hand, the set $\bigcup_{n \geq 0} f^{-n} x$ is infinite. So $x \in f^k V$ for some k . Now the transitivity implies that $f^l V \supset [b, x]$ for some l and so $U \subset f^{l+1} V$.

At the same time the inclusion $U \subset fU$ and the transitivity imply that for any $\varepsilon > 0$ there exists $N = N(\varepsilon)$ such that $f^n U \supset [\varepsilon, 1 - \varepsilon]$ for $n \geq N$. Thus $f^m V \supset [\varepsilon, 1 - \varepsilon]$ for $m > N + l$. It completes the consideration of the case 1).

2) Suppose there exists a fixed point $a \in (0, 1)$ such that $a \notin \text{int } f[0, a]$ and $a \notin \text{int } f[a, 1]$. By the transitivity $f[0, a] = [a, 1]$, $f[a, 1] = [0, a]$; moreover, $f^2|_{[0, a]}$

and $f^2|_{[a, 1]}$ are transitive and hence by the case 1) $f^2|_{[0, a]}$ and $f^2|_{[a, 1]}$ are mixing. The fact that $\overline{Per f} = [0, 1]$ easily follows from what we have proved. Q.E.D.

In the proof of Theorem 4.1 we announced that statements e) and f) of it would follow from Lemma 8.3. Let us prove the statements now; for the sake of convenience we will recall their formulations.

e) *If $B = B(\text{orb } I, f)$ is a basic set then $B \subset \overline{Per f}$.*

Proof. Clearly, it is enough to consider the case when the period of the interval I is 1. In this case by the preceding statements of Theorem 4.1 $f|_B$ is almost conjugate by a monotone map $\phi : I \rightarrow [0, 1]$ to a transitive map $g : [0, 1] \rightarrow [0, 1]$. By Lemma 8.3 $\overline{Per g} = [0, 1]$. Now the fact that B is perfect (statement a) of Theorem 4.1) and monotonicity of ϕ easily imply that $B \subset \overline{Per f}$.

f) *there exist an interval $J \subset I$, an integer $k = n$ or $k = 2n$ and a set $\tilde{B} = \overline{\text{int } J \cap B}$ such that $f^k J = J$, $f^k \tilde{B} = \tilde{B}$, $f^i \tilde{B} \cap f^j \tilde{B}$ contains no more than 1 point ($0 \leq i < j < k$), $\bigcup_{i=0}^{k-1} f^i \tilde{B} = B$ and $f^k|_{\tilde{B}}$ is almost conjugate to a mixing interval map (one can assume that if $k = n$ then $I = J$).*

Proof. Again consider the case when the period of the interval I is 1 and $f|_B$ is almost conjugate by a monotone map $\phi : I \rightarrow [0, 1]$ to a transitive map $g : [0, 1] \rightarrow [0, 1]$. If g is in fact mixing then set $k = n = 1$, $J = I$; clearly then all the properties from statement b) hold. If g is not mixing then by Lemma 8.3 there exist such $a \in (0, 1)$ that $g[0, a] = [a, 1]$, $g[a, 1] = [0, a]$, $g^2|_{[0, a]}$ and $g^2|_{[a, 1]}$ are mixing. Set $k = 2$, $J = \phi^{-1}[0, a]$; again it is easy to see that all the properties from statement f) hold which completes the proof.

Corollary 8.4[B17]. *If $f : [0, 1] \rightarrow [0, 1]$ is mixing then there exist a fixed point $a \in (0, 1)$ and a sequence of intervals $\{U_i\}_{i=-\infty}^{\infty}$ with the following properties:*

- 1) $U_i \subset U_{i+1} = fU_i$ ($\forall i$);
- 2) $\bigcap U_i = \{a\}$;
- 3) for any open V there exists $n = n(V)$ such that $f^n V \supset U_0$;

4) $\bigcup_{i=-\infty}^{\infty} U_i \supset (0, 1)$.

Proof. Follows from Lemmas 8.2 and 8.3. Q.E.D.

Let $A(f) \equiv A$ be the set of those from points $0, 1$ which have no preimages in $(0, 1)$.

Lemma 8.5[B17]. *If $f : [0, 1] \rightarrow [0, 1]$ is mixing then there are the following possibilities for A :*

- 1) $A = \emptyset$;
- 2) $A = \{0\}, f(0) = 0$;
- 3) $A = \{1\}, f(1) = 1$;
- 4) $A = \{0, 1\}, f(0) = 0, f(1) = 1$;
- 5) $A = \{0, 1\}, f(0) = 1, f(1) = 0$.

Moreover, if I is a closed interval, $I \cap A = \emptyset$, then for any open U there exists n such that $f^m U \supset I$ for $m > n$ (in particular, if $A = \emptyset$ then for any open U there exists n such that $f^n U = [0, 1]$).

Remark. Results closely related to Lemmas 8.3-8.5 were also obtained in [BM1-BM2].

Proof. The map f is surjective; thus A is f^{-1} -invariant set which together with Lemma 8.3 implies the conclusion. Q.E.D.

Lemma 8.6[B17]. 1) *Let $A \neq \emptyset$, $a \in A$, $f(a) = a$. If f is mixing then there exists a sequence $c_n \rightarrow a$, $c_n \neq a$ of fixed points.*

2) *Let $A = \{0, 1\}, f(0) = 1, f(1) = 0$. If f is mixing then there exists a sequence of periodic points $\{c_n\}$ of minimal period 2 such that $c_n \rightarrow 0, c_n \neq 0$.*

Proof. It is sufficient to consider the case $0 \in A, f(0) = 0$. Suppose that 0 is an isolated fixed point. Then by the transitivity $fx > x$ for some $\eta > 0$ and any $x \in (0, \eta)$. At the same time $0 \in A$ and so $0 \notin f[\eta, 1]$. Let $z = \inf f[[\eta, 1]$; by the transitivity $z < \eta$. Then because of the properties of $f[[0, \eta]$ we see that in fact $z = \inf_k f^k[[\eta, 1]$ and so $[z, 1] \subset (0, 1]$ is an invariant interval which is a contradiction.

Q.E.D.

Let us prove that a mixing map of the interval has the specification property. In fact we introduce a property which is slightly stronger than the usual specification property (we call it *the i-specification property*) and then prove that mixing maps of the interval have the i-specification property. Actually, we need this variant of the specification property to make possible the consideration of interval maps on their basic sets; they are closely related to mixing maps (see Theorem 4.1).

We will not repeat the definition of the specification property (see Section 1); instead let us introduce the notion of the i-specification property. To this end we first need the following definition. Let $z \in \text{Per } f$ have a period m . Moreover, let $f^m[z, z + \eta]$ lie to the left of z and $f^m[z - \eta, z]$ lie to the right of z for some $\eta > 0$. Then we say that *the map f^m at the point z (of period m) is reversing*; otherwise we say that *the map f^m at the point z (of period m) is non-reversing*.

Now let $f : I \rightarrow I$ be a continuous interval map. The map f is said to have *the i-specification property* or simply *i-specification* if for any $\varepsilon > 0$ there exists an integer $M = M(\varepsilon)$ such that for any $k > 1$, any k points $x_1, x_2, \dots, x_k \in I$, any semi-neighborhoods $U_i \ni x_i$ with $\lambda(U_i) = \varepsilon$, any integers $a_1 \leq b_1 < a_2 \leq b_2 < \dots < a_k \leq b_k$ with $a_i - b_{i-1} \geq M$, $2 \leq i \leq k$ and any integer p with $p \geq M + b_k - a_1$ there exists a point $x \in I$ of period p such that f^p is non-reversing at the point x and, moreover, $d(f^n x, f^n x_i) \leq \varepsilon$ for $a_i \leq n \leq b_i$, $1 \leq i \leq k$ and $f^{a_i} x \in U_i$, $1 \leq i \leq k$. The additional properties which are required by the i-specification property compare to the usual specification property give us the possibility to lift some properties of mixing interval maps (which as we are going to prove have i-specification) to interval maps on basic sets.

Theorem 8.7[B17]. *If a map $f : [0, 1] \rightarrow [0, 1]$ is mixing then it has the i-specification property.*

Proof. We will consider some cases depending on the structure of the set $A(f)$ (see

Lemma 8.5).

First we consider the case $A(f) = \emptyset$. Suppose that $\eta > 0$. Choose $M = M(\eta)$ such that for any interval U we have $f^M U = [0, 1]$ provided $\lambda(U) > \eta/2$ (which is possible by Lemma 8.5). Let us consider points x_1, \dots, x_n with semi-neighborhoods $U_i \ni x_i$ of length η and integers $a_1 \leq b_1 < a_2 \leq b_2 < \dots < a_n \leq b_n$, p such that $b_i - a_{i-1} \geq M$ ($2 \leq i \leq n$), $p \geq M + b_n - a_1$. From now on without loss of generality we will suppose that $a_1 = 0$. We have to find a periodic point z of period p such that f^p is non-reversing at z and, moreover, $|f^t z - f^t x_i| \leq \eta$ for $a_i \leq t \leq b_i$ and $f^{a_i} z \in U_i$ ($1 \leq i \leq n$).

First let us find an interval W with an orbit which approximates pieces of orbits $\{f^t x_i : a_i \leq t \leq b_i\}_{i=1}^n$ quite well; we show that one can find W in such a way that $f^p W = [0, 1]$. Recall the following

Property C4(see Section 2). *Let U be an interval, $x \in U$ be a point, $\lambda(U) \geq \eta > 0$, $n > 0$. Then there exists an interval V such that $x \in V \subset U$, $\lambda(f^i V) \leq \eta$ ($0 \leq i \leq n$) and $\lambda(f^j V) = \eta$ for some $j \leq n$.*

By Property C4 there exists an interval V_1 such that $x_1 \in V_1 \subset U_1$, $\lambda(f^i V_1) \leq \eta$ ($a_1 \leq i \leq b_1$) and $\lambda(f^{t_1} V_1) = \eta$ for some t_1 , $0 = a_1 \leq t_1 \leq b_1$. Clearly, $[0, 1] = f^{a_2 - b_1}(f^{b_1} V_1) = f^{a_2 - t_1}(f^{t_1} V_1)$ since $a_2 - t_1 \geq a_2 - b_1 \geq M$. Then we can find an interval $W_1 \subset V_1$ such that $f^{a_2} W_1 = U_2$. Repeating this argument we get an interval $W = [\alpha, \beta]$ such that for any $1 \leq i \leq n$ and $a_i \leq t \leq b_i$ we have $f^t W \subset [f^t x_i - \eta, f^t x_i + \eta]$, $f^{a_i} W \subset U_i$ and for some $a_n \leq l \leq b_n$ we have $\lambda(f^l W) = \eta$. Since $p \geq M + b_n - a_1 = M + b_n$ we see that $f^p W = f^{p-l}(f^l W) = [0, 1]$.

It remains to show that there exists a periodic point $z \in W$ of period p such that f^p is non-reversing at z . Suppose that f^p is reversing at all p -periodic points in W . Then it is easy to see that there is only one p -periodic point $z \in W$ and $z \in \text{int } W = (\alpha, \beta)$. At the same time $\lambda(f^l W) \geq \eta$ and so we may assume that, say, $\lambda([f^l z, f^l \beta]) \geq \eta/2$; by the choice of M it implies that $f^p[z, \beta] = f^{p-l}(f^l[z, \beta]) = [0, 1]$

and hence there is another p -periodic point in $(z, \beta]$ which is a contradiction. It completes the consideration of the case $A(f) = \emptyset$.

Consider the case $A(f) = \{0\}, f(0) = 0$; the other cases which are left may be considered similarly. Again suppose that $\eta > 0$. We will say that a point y δ -approximates a point x if $|f^n x - f^n y| \leq \delta$ ($\forall n$). Let us prove the following

Assertion 1. *There exists a closed interval I such that $I \cap A(f) = \emptyset$ and for any $x \in [0, 1]$ there exists $y \in I$ which $\eta/3$ -approximates x ; moreover, if $x \in I$ then we can set $y = x$.*

Indeed, by Lemma 8.6 we can find two fixed points $0 < e < d$ such that $d < \eta/3, f[0, e] \subset [0, d]$. Let us show that $I = [e, 1]$ has the required property.

We may assume that $x \in [0, e]$ (otherwise we can set $y = x$). If $\text{orb } x \subset [0, e]$ then set $y = e$. If $\text{orb } x \not\subset [0, e]$ then first let us choose the smallest n such that $f^n x \notin [0, e]$. Clearly, $f^n x \in (e, d]$. Now it is easy to see that there exists $y \in (e, d]$ such that $f^i y \in (e, d]$ for $0 \leq i \leq n - 1$ and $f^n y = f^n x$. Obviously y is the required point which completes the proof of Assertion 1.

Let $M = M(\eta)$ be an integer such that for any interval U longer than $\eta/6$ we have $f^m U \supset I$ for any $m \geq M$. To show that f has the i -specification property let us consider points x_1, \dots, x_n with semi-neighborhoods $U_i \ni x_i$ of length η and integers $0 = a_1 \leq b_1 < a_2 \leq b_2 < \dots < a_n \leq b_n, p$ such that $b_i - a_{i-1} \geq M$ ($2 \leq i \leq n$), $p \geq M + b_n - a_1$. We have to find a periodic point z of period p such that f^p is non-reversing at z and, moreover, $|f^t z - f^t x_i| \leq \eta$ for $a_i \leq t \leq b_i$ and $f^{a_i} z \in U_i$ ($1 \leq i \leq n$).

First let us find points $y_i \in I$ which $\eta/3$ -approximate points x_i and belong to U_i (it is possible by Assertion 1 and the fact that if $x_i \notin I$ then the only semi-neighborhood of x_i of length η is $U_i = [x_i, x_i + \eta)$). Then choose one-sided semi-neighborhoods V_i of y_i such that $V_i \subset U_i, \lambda(V_i) = \eta/3, V_i \subset I$ ($1 \leq i \leq n$). Now it is easy to see that one can replace U_i by V_i , then repeat the arguments from the case $A(f) = \emptyset$ and get

a point z with the required properties. This completes the proof. Q.E.D.

9. Corollaries concerning periods of cycles

Let us pass to the corollaries concerning periods of cycles of continuous maps of the interval. Theorem Sh1 and well-known properties of the topological entropy imply that $h(f) = h(f|_{\overline{Per f}})$. However, it is possible to get a set D such that $h(f) = h(f|_D)$ using essentially fewer periodic points of f . Indeed, let A be some set of positive integers and define the set $K_f(A)$ as follows: $\{y \in Per f: \text{minimal period of } y \text{ belongs to } A\}$.

Theorem 9.1[B14,B17]. *The following two properties of A are equivalent:*

- 1) $h(f) = h(f|_{\overline{K_f(A)}})$ for any f ;
- 2) for any k there exists $n \in A$ which is a multiple of k .

Proof. First suppose that statement 2) holds and prove that it implies statement 1). By the Decomposition Theorem it is enough to show that $\overline{\cup B_i} \subset \overline{K_f(A)}$ where $\cup B_i$ is the union of all basic sets of f . Fix a basic set $B = B(\text{orb } I, f)$; then by Theorem 4.1.f) we see that there is an interval $J \subset I$, a number m such that $f^m J = J$, a set $\tilde{B} = \overline{\text{int } J \cap B}$ and a monotone map $\phi : J \rightarrow [0, 1]$ such that $\cup_{i=0}^{m-1} f^i \tilde{B} = B$ and $f^m|_{\tilde{B}}$ is almost conjugate by ϕ to a mixing map $g : [0, 1] \rightarrow [0, 1]$. By Theorem 8.7 the map g has the specification property. Now we need the following easy property of maps with specification.

Property X. *If $\psi : X \rightarrow X$ is a map with specification and H is some infinite set of positive integers then $\overline{K_\psi(H)} = X$.*

To prove Property X it is necessary to observe first that there exist at least two different ψ -periodic orbits. Now we need to show that for any $z \in X$ there is a point from $K_\psi(H)$ in any open $U \ni z$. To this end we may apply the specification property and pick up a point $y \in U$ which first approximates the orbit of z for a lot of time, then approximates one of the previously chosen periodic orbits for only one iteration of f and also has the property $\psi^N y = y$ where $N \in H$ is a large number

(the periodic orbit we consider here should not contain z ; that is why first we needed to find two distinct periodic orbits). Clearly, taking the appropriate constants and large enough number N from H we can see that the minimal period of y is exactly N which completes the proof of Property X.

Let us return to the proof of Theorem 9.1. Consider the set $A' = \{n : mn \in A\}$. Then by statement 2) from Theorem 9.1 we see that A' is infinite; so by Property X we have that $K_g(A')$ is dense in $[0, 1]$. Now by the properties of almost conjugations we see that $\tilde{B} \subset \overline{K_f(A)}$ and hence $B \subset \overline{K_f(A)}$. It completes the proof of the fact that statement 2) implies statement 1) of Theorem 9.1.

To show that statement 1) implies statement 2) suppose that A is a set of positive integers such that for some k there are no multiples of k in A . We need to construct a map f such that $h(f) > h(f|_{\overline{K_f(A)}})$. To this end consider some pm-map g with a periodic interval I of period k . Let us construct a new map f which coincides with g on the set $[0, 1] \setminus orb_g I$ and may be obtained by changing of the map g only on the set $orb_g I$ in such a way that $orb_g I = orb_f I$ remains the cycle of intervals for the map f as well as for the map g and

$$h(f|_{orb_f I}) > h(f|\{x : f^n x \notin orb_f I (\forall n)\}) = h(f|\{x : f^n x \notin orb_f I (\forall n)\}).$$

Clearly, it is possible and this way we will get a map f such that $h(f) > h(f|_{\overline{K_f(A)}})$. It completes the proof of Theorem 9.1. Q.E.D.

Now we are going to study how the sets $\Omega(f), \Omega(f^2), \dots$ vary for maps with a fixed set of periods of cycles. In what follows by a period of a periodic point we always mean the minimal period of the point. In [Sh1] A.N. Sharkovskii introduced the notion of L-scheme.

L-scheme. If there exist a fixed point x and a point y such that either $f^2 \leq x < y < fy$ or $fy < y < x \leq f^2y$ then it is said that f has *L-scheme* and points x, y form *L-scheme*.

Theorem Sh4[Sh1]. *If f has L-scheme then f has cycles of all periods.*

Lemma 9.2. *If f has L-scheme then $h(f) \geq \ln 2$.*

Proof. It follows from the well-known results on the connection between symbolic dynamics and one-dimensional dynamical systems (see, for example, [BGM]). Q.E.D.

Lemma 9.3[B12,B17]. *Let $f : [0, 1] \rightarrow [0, 1]$ be a transitive continuous map. Then:*

- 1) f^2 has L-scheme;
- 2) $h(f) \geq 1/2 \cdot \ln 2$;
- 3) f has cycles of all even periods.

Proof. By Theorem Sh4 and Lemma 9.2 it is sufficient to prove statement 1). Consider some cases.

Case 1. *There exist $0 \leq a < b \leq 1$ such that $fa = a, fb = b$.*

Assuming $z < fz$ for $z \in (a, b)$ let us prove that $a \in f[b, 1]$. Indeed, otherwise $I = [b, 1] \cup f[b, 1] \neq [0, 1]$ is an f -invariant interval which contradicts the transitivity. Choose the smallest $c \in [b, 1]$ such that $fc = a$; then $b < c$. It is easy to see that there exists $d \in (a, c)$ such that $fd = c$ and points a, d form L-scheme. In other words, we have shown that in this case the map f itself has L-scheme; in particular, if $f(0) = 0$ or $f(1) = 1$ then f has L-scheme.

Case 2. *There exists a fixed point $t \in (0, 1)$ such that $fy > y$ for any $y \in [0, t)$ and $fy < y$ for any $y \in (t, 1]$.*

If $f[0, t] = [t, 1], f[t, 1] = [0, t]$ then by Case 1 we may conclude that f^2 has L-scheme. Hence it is enough to consider the maps for which these equalities do not hold. Then by Lemma 8.3 we may assume that f is mixing which implies that f^2 is transitive and has an f^2 -fixed point $y \neq t$ (by Lemma 8.2). Now Case 1 implies the conclusion. Q.E.D.

Note that Lemma 9.3 implies statement d) of Theorem 4.1.

Theorem 9.4[B14,B17,B18]. *Let $n \geq 0, k \geq 0$ be fixed, f have no cycles of period $2^n(2k + 1)$. Then:*

- 1) *if $B = B(\text{orb } I, f)$ is a basic set and I has a period m then $2^n(2k + 1) \prec m \prec$*

2^{n-1} ;

2) $\Omega(f) = \Omega(f^{2^n})$;

3) if f is of type 2^l , $l \leq \infty$ then $\Omega(f) = \Omega(f^r)$ ($\forall r$).

Remark. Another proof of statements 2) and 3) of Theorem 9.4 is given in Chapter 4 of [BCo]. The statement 3) was also proved in [N3] and [Zh].

Proof. 1) By the Sharkovskii theorem about the coexistence of periods of cycles for interval maps and by the definition of a periodic interval we have $2^n(2k+1) \prec m$. Suppose $m = 2^i$, $i \leq n-1$. Then by Lemma 9.3 and Theorem 4.1 f has a cycle of period $2^i \cdot 2(2k+1) \prec 2^n(2k+1)$ which is a contradiction.

2) It is sufficient to prove that if $x \in \Omega(f) \setminus \omega(f)$ then $x \in \Omega(f^{2^n})$; indeed, obviously $\omega(f) \in \Omega(f^r)$ for any r and so $\omega(f) \subset \Omega(f^{2^n})$. By Theorem 3.1 if x belongs to a solenoidal set then $orb x$ is infinite and so by Theorem CN $x \in \Omega(f^{2^n})$ (remind that Theorem CN was formulated in Section 4). Now let $x \in B'(orb I, f)$ where I is chosen by Lemma 4.6; then I has a period m and $x \in \Omega(f^m)$. On the other hand by statement 1) $m = 2^n j$, $1 \leq j$ and so $x \in \Omega(f^m) \subset \Omega(f^{2^n})$.

3) Follows from statement 2) and Theorem CN.1). Q.E.D.

10. Invariant measures

It is well-known that the specification property has a lot of consequences concerning invariant measures (see, for example, [DGS]). We summarized some of them in Theorem DGS in Section 1. In the rest of Section 10 we rely on the results of Sections 2-5 to make use of Theorem 8.7 and Theorem DGS. First we need the following

Lemma 10.1. *Let $f : [0, 1] \rightarrow [0, 1]$ be continuous, $B = B([0, 1], f) \neq \emptyset$ and $f|_B$ be mixing. Let also $\eta > 0$ and $x_1, x_2, \dots, x_m \in Per(f|_B)$. Then one can find $M = M(\{x_i\}_{i=1}^m, \eta)$ such that for any integers $a_1 \leq b_1 < a_2 \leq b_2 < \dots < a_m \leq b_m$, p with $a_{i+1} - b_i \geq M$ ($1 \leq i \leq m-1$), $p \geq M + b_m - a_1$ there exists a periodic point*

$z \in B$ of period p such that f^p is non-reversing at z and, moreover, $|f^n z - f^n x_i| \leq \eta$ for $a_i \leq n \leq b_i$ ($1 \leq i \leq m$).

Proof. First consider the case when $m = 2$; let $x_2 = y$. For the sake of convenience let us reformulate our lemma in this situation. Namely, $x, y \in \text{Per}(f|B)$ and we have to find $M = M(\{x, y\}, \eta)$ such that for any $a_1 \leq b_1 < a_2 \leq b_2$, p with $a_2 - b_1 \geq M, p \geq M + b_2 - a_1$ there exists a periodic point $z \in B$ of period p such that f^p is non-reversing at z and, moreover, $|f^n z - f^n x| \leq \eta$ for $a_1 \leq n \leq b_1$ and $|f^n z - f^n y| \leq \eta$ for $a_2 \leq n \leq b_2$.

Let us assume that x and y are fixed points; the result in the general situation may be deduced from this case or may be proved by the similar arguments. Choose a semi-neighborhood V of x in the following way. First choose a side T of x such that x is a limit point for B from the side T . If x is not an endpoint of some interval complementary to B then let $V = V_T(x)$ be a semi-neighborhood of x of length smaller than η . If, for example, (x, α) is an interval complementary to B then let $V = V_T(x)$ have the properties $f\bar{V} \not\supset \alpha$ and $\lambda(V) < \eta$. Similarly we find a semi-neighborhood W of y .

By Theorem 4.1 there exist a mixing map $g : [0, 1] \rightarrow [0, 1]$ and a non-strictly increasing map $\phi : [0, 1] \rightarrow [0, 1]$ such that ϕ almost conjugates f to g . We may assume that $\phi(W) = W'$ and $\phi(V) = V'$ have the same length δ and, moreover, $W = \phi^{-1}(W')$ and $V = \phi^{-1}V'$; by the construction V' and W' are semi-neighborhoods of $\phi(x) = x'$ and $\phi(y) = y'$ respectively. Furthermore, we may assume that if x is not an endpoint of an interval complementary to B then $[x' - \delta, x' + \delta] \subset \text{int}(\phi[x - \eta, x + \eta])$ and the similar property holds for y .

By Theorem 8.7 there exists $M = M(\delta)$ corresponding to the constant δ in the i -specification property for g . Again we may assume without loss of generality that $a_1 = 0$. Now let $0 = a_1 \leq b_1 < a_2 \leq b_2$, p be integers with the properties from Lemma 10.1 with this number M . Applying Theorem 8.7 to the points x', y' with the

semi-neighborhoods V', W' and the integers $0 = a_1 \leq b_1 < a_2 \leq b_2$, p we can find a periodic point z' such that g^p is non-reversing at z' and, moreover, $|g^n z' - g^n x'| \leq \delta$ for $a_1 \leq n \leq b_1$, $|g^n z' - g^n y'| \leq \delta$ for $a_2 \leq n \leq b_2$ and $z' = g^{a_1} z' \in V', g^{a_2} z' \in W'$.

Properties of ϕ imply that $\phi^{-1}(z')$ is either a point or a closure of an interval complementary to B . In the first case set $z = \phi^{-1}(z')$. In the second case it is easy to see that since z' is a g -periodic point of period p at which g^p is non-reversing then there exists an endpoint z of the interval $\phi^{-1}(z')$ such that $f^p z = z$. In any case we get a f -periodic point $z \in B$ of period p such that f^p is non-reversing at z and $\phi(z) = z'$.

Let us show that z is the required point. Suppose that x is not an endpoint of an interval complementary to B . Then $|g^n z' - g^n x'| = |g^n z' - x'| \leq \delta$ implies $|f^n z - f^n x| = |f^n z - x| < \eta$ by the choice of δ . So we may assume that (x, α) is an interval complementary to B . By the construction $z' = g^{a_1} z' \in V'$ and so $z = f^{a_1} z \in V$. Suppose that there exist numbers $r \leq b_1$ such that $f^r z \notin V$ and let n be the smallest such number. If $f^n z$ lies to the left of V then $|\phi(f^n z) - x'| = |g^n z' - x'| > \delta$ although by the i -specification property $|g^n z' - x'| \leq \delta$ (since $n \leq b_1$). Thus $f^n z$ lies to the right of V which means that it lies to the right of α . At the same time $f^{n-1} z \in V$, $f x = x$ and by the choice of V we have $f \bar{V} \not\ni \alpha$. Clearly, we get to the contradiction and so $f^r z \in V, a_1 \leq r \leq b_1$. Applying the similar arguments to the point y we obtain the conclusion.

The proof in case when $m > 2$ is similar and left to the reader. Q.E.D.

Corollary 10.2. *Let d_1, \dots, d_n be periodic points belonging to a basic set B , l be a positive integer and $\mu = \sum_{i=1}^n \alpha_i \cdot \nu(d_i)$ be an invariant measure. Then μ can be approximated by CO-measures with supports in B and minimal periods greater than l .*

Proof. We only outline here the proof which is very similar to that of Proposition 21.8 from [DGS] (note that we are going to apply Lemma 10.1 instead of the

specification property).

Namely, suppose that a neighborhood of μ is given. We may assume that $n > 1$ and orbits of d_1, \dots, d_n are pairwise distinct. Choose η such that $\text{dist}(\text{orb } d_i, \text{orb } d_j) > 10\eta$ ($i \neq j$). Then approximate the measure μ by a measure of the same type, i.e. by a measure $\mu' = \sum_{i=1}^n \beta_i \cdot \nu(d_i)$, where β_i are properly chosen and very close to α_i rationals. The next step is to construct a collection of integers $a_1 = 0 < b_1 < a_2 < b_2 < \dots < a_n < b_n$, p which are required in Lemma 10.1 in such a way that for any $1 \leq i \leq n$ we have $(b_i - a_i)/p = \beta_i$, $b_i - a_i \gg M = M(\{d_i\}_{i=1}^n, \eta)$ and $a_{i+1} = b_i + M$; furthermore, we may assume that $p \gg l$. Take the periodic point z of period p which exists for this collection of integers and periodic points by Lemma 10.1 and approximates pieces of orbits of d_1, \dots, d_n . Then because of the choice of η it is easy to see that p is the minimal period of z . At the same time similarly to the proof of Proposition 21.8 from [DGS] it is easy to see that in fact the constants may be chosen in such a way that the point z generates the required CO-measure $\nu(z)$; in other words, we may assume that $\nu(z)$ approximates μ , lying in the previously given neighborhood of μ . It completes the proof. Q.E.D.

Theorem 10.3 (cf. Theorem DGS). *Let B be a basic set. Then the following statements are true.*

- 1) *For any positive integer l the set $\bigcup_{p \geq l} P_f(p)$ is dense in $M_{f|B}$.*
- 2) *The set of ergodic non-atomic invariant measures μ with $\text{supp } \mu = B$ is residual in $M_{f|B}$.*
- 3) *The set of all invariant measures which are not strongly mixing is a residual subset of $M_{f|B}$.*
- 4) *Let $V \subset M_{f|B}$ be a non-empty closed connected set. Then the set of all points $x \in B$ such that $V_f(x) = V$ is dense in B (in particular, every measure $\mu \in M_{f|B}$ has generic points).*
- 5) *The set of points with maximal oscillation for $f|B$ is residual in B .*

Proof. First observe that if g is a transitive non-strictly periodic map then it is easy to see that Theorem 10.3 holds for g by Theorem DGS, Theorem 8.7 and Lemma 8.3. Now let us pass to the proof of statement 1) assuming that B is a Cantor set.

Let $B = B(\text{orb} I, f)$, g be a transitive non-strictly periodic map and ϕ almost conjugate $f|_{\text{orb} I}$ to g (maps ϕ and g exist by Theorem 4.1). Let $\mu \in M_{f|_B}$ and l be a positive integer. We have to prove that μ belongs to the closure of $\bigcup_{p \geq l} P_f(p)$ in $M_{f|_B}$.

The case when μ is non-atomic is quite clear and we leave it to the reader (indeed, it is enough to consider the measure $\mu' \in M_g$ which is the ϕ -image of μ , apply Theorem DGS to the measure μ' and then lift the approximation we found for the measure μ' to the approximation of the measure μ which is possible since μ is non-atomic). On the other hand it is easy to see that any invariant measure from $M_{f|_B}$ may be approximated by a measure μ of type $\mu = \alpha_0 \cdot \tilde{\mu} + \sum_{i=1}^N \alpha_i \cdot \nu(e_i)$ where $\tilde{\mu}$ is non-atomic and $N < \infty$. By the non-atomic case we can approximate $\tilde{\mu}$ by a CO-measure $\nu(e_0)$. Applying Corollary 10.2 we can approximate the measure $\sum_{i=0}^N \alpha_i \cdot \nu(e_i)$ by a CO-measure $\nu(c)$ where c is a periodic point with a minimal period $m \geq l$. This completes the proof of statement 1).

Looking through the proofs of Propositions 21.9-21.21 from [DGS, Section 21] which correspond to statements 2)-5) of Theorem DGS one can check that they are based on statement 1) of Theorem DGS and the property of invariant measures which is proved in Corollary 10.2. Hence repeating the arguments from [DGS, Section 21] one can prove statements 2)-5) of Theorem 10.3. Q.E.D.

Property 5) from Theorem 10.3 shows that if f is a transitive interval map then points with maximal oscillation form a residual subset of the interval. Applying this result we can easily specify Theorem 6.2 as it was explained in the proof of this theorem. Namely, in the proof of Theorem 6.2 we need to choose a residual subset $\Pi_{\text{orb} I}$ of any cycle of intervals $\text{orb} I$ such that $f|_{\text{orb} I}$ is transitive and in the previous

version of this theorem we chose $\Pi_{orb I}$ to be the set of all points with dense orbits in $orb I$. Now to specify Theorem 6.2 one can now choose the set of points with maximal oscillation as the set $\Pi_{orb I}$. It leads to the following

Theorem 6.2'(cf.[B11],[B18]). *Let $f : [0, 1] \rightarrow [0, 1]$ be a continuous map without wandering intervals. Then there exists a residual subset $G \subset [0, 1]$ such that for any $x \in G$ one of the following possibilities holds:*

- 1) $\omega(x)$ is a cycle;
- 2) $\omega(x)$ is a solenoid;
- 3) $\omega(x) = orb I$ is a cycle of intervals and $V_f(x) = M_{f|_{orb I}}$.

Theorem 10.4. *Let μ be an invariant measure. Then the following properties of μ are equivalent:*

- 1) there exists $x \in [0, 1]$ such that $supp \mu \subset \omega(x)$;
- 2) the measure μ has a generic point;
- 3) the measure μ can be approximated by CO-measures.

Remark. For non-atomic measures Theorem 10.4 was proved in [B14,BL7].

Proof. Clearly, 2) \Rightarrow 1). If $\omega(x)$ is a cycle then the implications 1) \Rightarrow 2) and 1) \Rightarrow 3) are trivial. If $\omega(x)$ is a basic set then the implications 1) \Rightarrow 2) and 1) \Rightarrow 3) follow from Theorem 10.3. The case when $\omega(x)$ is a solenoidal set may be easily deduced from Theorem 3.1; this case is left to the reader.

It remains to prove that 3) \Rightarrow 1). Let $\{e_i\}$ be a sequence of periodic points such that $\nu(e_i) \rightarrow \mu$. Set $L \equiv \{z : \text{for any open } U \ni z \text{ there exists a sequence } n_k \rightarrow \infty \text{ such that } orb e_{n_k} \cap U \neq \emptyset (\forall k)\}$. Obviously, L is compact, $supp \mu \subset L, fL = L$. We may assume that $e_i \searrow e$. Consider the set $P^R(e) = P^R$; then $L \subset P^R$. Finally we have $supp \mu \subset L \subset P^R$. By Lemma 2.2 there are the following possibilities for P^R .

1) P^R is a cycle. This case is trivial since $supp \mu$ belongs to a cycle and hence statement 1) holds.

2) P^R is a solenoidal set. Then by Theorem 3.1 the fact that $supp \mu \subset L \subset P^R$

implies that $\text{supp } \mu = S$ where S is the unique minimal subset of P^R . This completes the consideration of the case 2).

3) $\{P^R\}$ is a cycle of intervals. Consider two subcases.

3a) e is the right endpoint of a component $[d, e]$ of P^R . Then $\text{orb } e_i \cap P^R = \emptyset$ and hence $L \subset \partial(P^R)$. Surjectivity of $f|L$ implies that $e \in \text{Per } f$ and we may assume that $fe = e$. Clearly, it implies that $\{L\} = \{e\}$ and completes the consideration of the subcase 3a).

3b) $e \in [z, y]$ where $[z, y]$ is a component of P^R . Then it is easy to see that $L \subset E(P^R, f)$ (the definition of the set $E(\text{orb } I, f)$ for cycle of intervals $\text{orb } I$ may be found in Section 4 before Lemma 4.5). Indeed, we may assume that $\text{orb } e_i \subset P^R$ for any i . Let $\zeta \in L$ and T is a side of ζ from which points of $\text{orb } e_{n_k}$ approach the point ζ . Then T is a side of ζ in the corresponding component of P^R .

Consider $P^T(\zeta)$; clearly, $P^T(\zeta) \subset P^R$. At the same time it is easy to see that any iterate of any semi-neighborhood $W_T(\zeta)$ is not wandering as a set and so by Lemma 2.1 the set $\overline{\bigcup_{i>n} f^i W_T(\zeta)}$ is a weak cycle of intervals for any n . Since this set contains infinitely many periodic orbits $\text{orb } e_i$ we can conclude that for any n the set $\overline{\bigcup_{i>n} f^i W_T(\zeta)}$ contains some right semi-neighborhood of e which implies that $P^T(\zeta) \supset P^R$. Finally $P^T(\zeta) = P^R$ and so $L \subset E(P^R, f)$ by the definition. Hence by Theorem 4.1 and Lemma 4.5 either L is a cycle or $L \subset B(P^R, f)$. In both cases statement 1) holds so this completes the proof of Theorem 10.4. Q.E.D.

Corollary 10.5[B14,B17]. *CO-measures are dense in all ergodic measures.*

Remark. In [B14,B17] Corollary 10.5 was deduced from the version of Theorem 10.4 for non-atomic measures proved in [B14,B17].

Proof. Follows immediately from Theorem 10.4 and the fact that every ergodic measure has a generic point. Q.E.D.

11. Discussion of some recent results of Block and Coven and Xiong Jincheng

There are some recent papers ([BC], [X]) in which the authors investigate the sets $\omega(f) \setminus \overline{Per f}$ and $\Omega(f) \setminus \overline{Per f}$. Let us discuss some of their results.

First observe that by the Decomposition Theorem if $x \in \omega(f) \setminus \overline{Per f}$ then $x \in S_\omega$ for some solenoidal set S_ω and thus by Theorem 3.1 $\omega(x) = S$ is a minimal solenoidal set. It implies the following theorem proved in [BC].

Theorem BC. *If $x \in \omega(f) \setminus \overline{Per f}$ then $\omega(x)$ is an infinite minimal set.*

In [X] some new notions were introduced. Let us recall them. For a set $Y \subset [0, 1]$ by $\Lambda(Y)$ we denote the set $\bigcup_{x \in Y} \omega(x)$; let $\Lambda^1 = \Lambda([0, 1]) = \omega(f)$, $\Lambda^2 = \Lambda(\Lambda^1)$ etc. Obviously $\Lambda^1 \supset \Lambda^2 \supset \dots$; let $\Lambda^\infty \equiv \bigcap_{n=1}^\infty \Lambda^n$.

By $\alpha(x)$ we denote the set of all α -limit points of x ; in other words, $y \in \alpha(x)$ if and only if there exist sequences $x_{-i} \rightarrow y$ and $n_i \rightarrow \infty$ such that $f^{n_i} x_{-i} = x$ for any i . A point y is called a γ -limit point of x if $y \in \omega(x) \cap \alpha(x)$. Let $\gamma(x) \equiv \omega(x) \cap \alpha(x)$ and $\Gamma(f) \equiv \Gamma \equiv \bigcup_{x \in [0, 1]} \gamma(x)$.

In the following lemma we use the notation from the Decomposition Theorem.

Lemma 11.1. $\Gamma = (\bigcup_i B_i) \cup (\bigcup_{\beta \in \mathcal{A}} S^{(\beta)}) \cup X_f$.

Proof. First let us prove that $\Gamma \supset (\bigcup_i B_i) \cup (\bigcup_{\beta \in \mathcal{A}} S^{(\beta)}) \cup X_f$. Clearly, $X_f \cup (\bigcup_{\beta \in \mathcal{A}} S^{(\beta)}) \subset \Gamma$ (for $S^{(\beta)}$ it follows for example from the fact that $f|_{S^{(\beta)}}$ is minimal by Theorem 3.1). By Theorem 4.1 to prove that $B_i \subset \Gamma$ ($\forall i$) it is sufficient to show that $\Gamma(g) = [0, 1]$ provided $g : [0, 1] \rightarrow [0, 1]$ is a transitive map. Consider this case. If $x \in (0, 1)$ then by Lemma 8.3 $\alpha(x) = [0, 1]$. Thus if $x \in (0, 1)$ has a dense orbit in $[0, 1]$ then $\gamma(x) = [0, 1]$ and so $\Gamma(g) = [0, 1]$. Hence finally we may conclude that $\Gamma \supset (\bigcup_i B_i) \cup (\bigcup_{\beta \in \mathcal{A}} S^{(\beta)}) \cup X_f$.

Now let us prove that $\Gamma \subset (\bigcup_i B_i) \cup (\bigcup_{\beta \in \mathcal{A}} S^{(\beta)}) \cup X_f$. Indeed, $\Gamma \subset \omega(f) = (\bigcup_i B_i) \cup (\bigcup_{\beta \in \mathcal{A}} S_\omega^{(\beta)}) \cup X_f$ by the definition of Γ . So to prove Lemma 11.1 it remains

to show that if $x \in S_\omega^{(\beta)} \setminus S^{(\beta)}$ then $x \notin \Gamma$ (here $\beta \in \mathcal{A}$). Suppose there exists z such that $x \in \omega(z) \cap \alpha(z)$. Then the fact that $x \notin S^{(\beta)}$ implies that $z \notin Q^{(\beta)}$ because otherwise $x \in \omega(z) = S^{(\beta)}$ by Theorem 3.1. Hence $\alpha(z) \cap Q^{(\beta)} = \emptyset$ which contradicts the fact that $x \in \alpha(z) \cap Q^{(\beta)}$. It completes the proof of Lemma 11.1. Q.E.D.

Let us show how to deduce some of the results of [X] from our results.

Theorem X1[X]. 1) $\Omega(f) \setminus \Gamma$ is at most countable.

2) $\Lambda^1 \setminus \Gamma$ is either empty or countable.

3) $\overline{Per f} \setminus \Gamma$ is either empty or countable.

Proof. 1) By the Decomposition Theorem $\Omega(f) \setminus \overline{Per f}$ is at most countable. By Theorem 3.1 $S_p^{(\beta)} \neq S^{(\beta)}$ for at most countable family of solenoidal sets and $S_p^{(\beta)} \setminus S^{(\beta)}$ is at most countable. This implies statement 1).

2) First recall that $\Lambda^1 = \omega(f)$. If $\Lambda^1 \setminus \Gamma \neq \emptyset$ then by the Decomposition Theorem and Lemma 11.1 there exist a solenoidal set $\omega(z)$ and a point $x \in \omega(z) \setminus S$ where S is the unique minimal set belonging to $\omega(z)$ (see Theorem 3.1); actually $\omega(z) \setminus S \subset \Lambda^1 \setminus \Gamma$. Now the fact that $f|_{\omega(z)}$ is surjective implies that $\omega(z) \setminus S$ is countable and the inclusion $\omega(z) \setminus S \subset \Lambda^1 \setminus \Gamma$ implies the conclusion.

3) Consider the case when $\overline{Per f} \setminus \Gamma \neq \emptyset$. Similarly to the proof of statement 2) we see that then there exists a solenoidal set $Q = \bigcap_i orb J_i$ such that $(\overline{Per f} \cap Q) \setminus S \neq \emptyset$ where S is the unique minimal set belonging to Q . Denote $(\overline{Per f} \cap Q)$ by R .

We are going to prove the fact that $R \setminus S$ is a countable set by repeating the arguments from the proof of statement 2) replacing $\omega(z)$ by R . However, to this end we need to show that $f|R$ is surjective. Consider a point $y \in R$ and show that it has f -preimages in R . The fact that $y \in R \subset \overline{Per f}$ implies that there is a point $z \in \overline{Per f}$ such that $fz = y$. Let us prove that $z \in Q^{(\beta)}$. Suppose that $z \notin Q^{(\beta)}$. Then the fact that $fz = y$ and Theorem 3.1 imply that there exist an open $U \ni z$ and a number N such that $U \cap Q^{(\beta)} = \emptyset$ and for any $n > N$ we have $f^n U \subset Q^{(\beta)}$. Clearly, it contradicts the fact that $z \in \overline{Per f}$ and shows that actually $z \in Q^{(\beta)}$; hence

$z \in Q^{(\beta)} \cap \overline{Per f} = R$ and so $f|_R$ is surjective. Now the fact that $R \setminus S$ is a countable set may be proved similarly to statement 2). Q.E.D.

Theorem X2[X]. $\Lambda^\infty = \dots = \Lambda^3 = \Lambda^2 = \Lambda(\overline{Per f}) = \Lambda(\Omega(f)) = \Gamma$.

Proof. By Lemma 11.1 and properties of basic sets (Theorem 4.1), solenoidal sets (Theorem 3.1) and cycles we have $\Lambda(\Gamma) = \Gamma$ and so $\Lambda(\Omega(f)) \supset \Gamma$ since $\Omega(f) \supset \Gamma$. On the other hand the Decomposition Theorem and the definition of Γ imply that $\Lambda(\Omega(f)) \subset \Gamma$; indeed, in the notation from the Decomposition Theorem we have $\Lambda(B'_i) \subset B_i$ for all i , $\Lambda(X_f) \subset X_f$ and $\Lambda(Q^{(\alpha)}) \subset S^{(\alpha)}$ for any α (the last assertion follows from Theorem 3.1). So $\Lambda(\Omega(f)) = \Gamma = \Lambda(\Gamma)$ which completes the proof. Q.E.D.

Theorem X3[X]. *The following properties of a map f are equivalent:*

- 1) *the type of f is 2^i , $i \leq \infty$;*
- 2) *every γ -limit point of f is recurrent.*

Proof. As we have shown in Section 1 the fact that f has type 2^i , $i \leq \infty$ is equivalent to the absence of basic sets (see the part of Section 1 where we discuss the connection between the Misiurewicz theorem on maps with zero entropy and the “spectral” decomposition). So in this case by Theorem X1 we see that $\Gamma = (\bigcup_{\beta \in \mathcal{A}} S^{(\beta)}) \cup X_f$. But by Theorem 3.1 every point of $S^{(\beta)}$ is recurrent and, clearly, every point of X_f is recurrent. Hence if the type of f is 2^i , $i \leq \infty$ then every γ -limit point of f is recurrent.

On the other hand if there is a basic set B of f then it is easy to find a non-recurrent point $z \in B$ (it follows, for example, from Theorem 4.1 and Lemma 8.3). Now by Lemma 11.1 $B \subset \Gamma$ which shows that there exist non-recurrent points in Γ and completes the proof. Q.E.D.

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