# Spectral Theory for Systems of Ordinary Differential Equations with Distributional Coefficients

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#### Results in Contemporary Mathematical Physics

#### Santiago

#### 20. December 2018

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Spectral Theory

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I am reporting on joint work with

• Ahmed Ghatasheh (UAB)

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## The Sturm-Liouville equation

• On the real interval (a, b) consider the equation

$$-(pu')'+qu=\lambda wu.$$

Here 1/p, q, and w are locally integrable and real-valued. Also w > 0.

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## The Sturm-Liouville equation

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$$-(pu')'+qu=\lambda wu.$$

Here 1/p, q, and w are locally integrable and real-valued. Also w > 0.

• This guarantees existence and uniqueness of solutions for initial-value problems and symmetry of the resulting minimal operator

$$u\mapsto rac{1}{w}(-(pu')'+qu)$$

in  $L^2(w dx)$ .

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It is useful to note that this equation is equivalent to the system

$$Ju' + qu = \lambda wu$$

where  $u_1 = y$  and

$$J = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \quad q = \begin{pmatrix} v & -s \\ -s & -1/p \end{pmatrix}, \text{ and } w = \begin{pmatrix} r & 0 \\ 0 & 0 \end{pmatrix}.$$

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• Given a self-adjoint realization T of Ju' + qu = wf in the Hilbert space  $L^2(w)$  with scalar product  $\langle f, g \rangle = \int f^* wg$ :

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  - Three additional conditions to be discussed later

• In the presence of discrete components of *q* and *w* existence and uniqueness of solutions become an issue.

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- The DE gives, in general, only relations not operators.
- Fortunately, there is an abstract spectral theory for linear relations.
- The definiteness condition

$$Ju'+qu=0$$
 and  $wu=0$  (or  $\|u\|=0$ ) implies  $u\equiv 0$ 

is not required.

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- $u \in \mathsf{BV}_{\mathrm{loc}}$  implies qu and wu are distributions of order 0.
- Thus each term in

$$Ju' + qu = \lambda wu + wf$$

is a distribution of order 0.

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- The existence and uniqueness theorem for initial value problems may fail if the measures have discrete components.
- $iu' = \alpha \delta_0 u$  is equivalent to  $i(u_r u_\ell) = \alpha u(0)$
- If u left-continuous, i.e.,  $u(0) = u_\ell$  implies  $u_r = (1 i\alpha)u_\ell$

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• The existence and uniqueness theorem for initial value problems may fail if the measures have discrete components.

•  $iu' = \alpha \delta_0 u$  is equivalent to  $i(u_r - u_\ell) = \alpha u(0)$ 

- If u left-continuous, i.e.,  $u(0) = u_\ell$  implies  $u_r = (1 i\alpha)u_\ell$
- If u right-continuous, i.e.,  $u(0) = u_r$  implies  $(1 + i\alpha)u_r = u_\ell$

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- If u balanced, i.e.,  $u(0) = (u_{\ell} + u_r)/2$  implies  $(2 + i\alpha)u_r = (2 i\alpha)u_{\ell}$ .

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- If  $F = tF^+ + (1-t)F^-$  and  $G = tG^+ + (1-t)G^-$  for some fixed t
- $\int_{[x_1,x_2]} (FdG + GdF) = (FG)^+(x_2) (FG)^-(x_1) + (2t-1)\int_{[x_1,x_2]} (G^+ G^-)dF.$

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- Therefore we want our BV<sub>loc</sub> functions balanced.

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Spectral Theory

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•  $T_{\min}^* = T_{\max}$ 

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• Deficiency indices:  $n_{\pm} = \dim\{(u, \pm iu) \in T_{\max}\}.$ 

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$$(v^*Ju)^-(b) - (v^*Ju)^+(a) = \langle v, f \rangle - \langle g, u \rangle.$$

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•  $(u, f) \in \ker A$  if and only if  $0 = (g_j^* J u)^- (b) - (g_j^* J u)^+ (a) = 0$  for  $j = 1, ..., n_{\pm}$ .

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- If ([u], [f]) ∈ T<sub>max</sub> and if the definiteness condition is violated, the class [u] may have many balanced representatives in BV<sub>loc</sub>.
- However, there is a unique balanced representative u such that u(x<sub>0</sub>) is perpendicular to N<sub>0</sub> = {v(x<sub>0</sub>) : Jv' + qv = 0 & wv = 0}.

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$$E : T_{\max} \to \mathsf{BV}_{\mathrm{loc}} : ([u], [f]) \mapsto u$$
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• Define  $E_{\lambda} : L^2(w) \to \mathsf{BV}_{\mathrm{loc}} : f \mapsto E(u, \lambda u + f)$  where  $u = R_{\lambda}f$  whenever  $\lambda \in \rho(T)$ .

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- Each component of  $f \mapsto (E_{\lambda}f)(x)$  is a bounded linear functional.
- Green's function:  $(E_{\lambda}f)(x) = \langle G(x, \cdot, \lambda)^*, f \rangle = \int G(x, \cdot, \lambda) w f$ .

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• The variation of constants formula: if  $\lambda \notin \Lambda$  and  $x > x_0$ 

$$(E_{\lambda}f)^{-}(x) = U(x,\lambda)(u_0 + J^{-1}\int_{(x_0,x)} U(\cdot,\overline{\lambda})^* wf)$$

where  $u_0 = (E_\lambda f)(x_0)$  and  $U(\cdot, \lambda)$  is a fundamental matrix with  $U(x_0, \lambda) = \mathbb{1}$ .

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  - $(1 P)u_0 = 0$  where P is the orthogonal projection onto  $N_0^{\perp}$ .
- This gives rise to a (rectangular) linear system

$$F(\lambda)u_0 = \int (b_{-}(\lambda)\chi_{(a,x_0)} + b_{+}(\lambda)\chi_{(x_0,b)})U(\cdot,\overline{\lambda})^* wf.$$

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• F has a left inverse  $F^{\dagger}$ .

$$u_0 = \int (PF^{\dagger}b_{-}(\lambda)\chi_{(a,x_0)} + PF^{\dagger}b_{+}(\lambda)\chi_{(x_0,b)})U(\cdot,\overline{\lambda})^* wf.$$

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- On span $(B_+ \cup B_-)^\perp = N_0$  we set M = 0.

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• Then

$$(E_{\lambda}f)(x) = U(x,\lambda)M(\lambda)\int_{(a,b)}U(\cdot,\overline{\lambda})^*wf$$
  
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- Last two terms of  $E_{\lambda}f$  are also analytic on  $\mathbb{R}$ .
- All singularities and hence all spectral information is contained in M.

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• M is analytic away from  $\mathbb R$  and  $\Lambda$ 

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- Such a function cannot have isolated singularities (except removable ones).
- *M* is a Herglotz-Nevanlinna function

$$M(\lambda) = A\lambda + B + \int \left(\frac{1}{t-\lambda} - \frac{t}{t^2+1}\right) \nu(t)$$

where  $\nu = N'$  and N a non-decreasing matrix.

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- $(u, f) \in T$  if and only if  $(\mathcal{F}f)(t) = t(\mathcal{F}u)(t)$ .

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Spectral Theory

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- Determine the asymptotic distribution of eigenvalues

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Spectral Theory

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